

ASSURANCES - NON-CONSTRUCTION PROGRAMS

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-224) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(C) of the Clean Air Act of 1955, as amended (42 U.S.C. §87401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93- 205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations".
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

 AGREE NOT AGREE

Signature Of Authorizing Official	
Authorizing Official Name	Ms. Lynda Curtis
Applicant Organization	NEW YORK CITY HEALTH & HOSPITALS CORPORATION
Date Submitted	5/10/2007

PI: Goldfrank, LR

PROJECT TITLE: HRSA UDCD Grant							
PI: Lewis Goldfrank, MD							
DETAILED BUDGET FOR FIRST TWELVE MONTH BUDGET PERIOD							
		FROM:	01-Sep-07	THROUGH:	31-Aug-08		
PERSONNEL:	POSITION/	ANNUAL	PCT	ACTUAL	FRINGE	TOTALS	
NAME	TITLE	SALARY	EFFRT	SALARY	BNFITS		
Lewis Goldfrank, MD	Principal Investigator	186,600	20%	37,320	11,569	48,889	
Stephen Wall, MD, MS	Methodologist/Statistician	0	0%	0	0	0	
Lewis Teperman, MD	Co-Investigator	186,600	10%	18,660	5,785	24,445	
SUBTOTALS-->				55,980	17,354	73,334	
CONSULTANTS: Market Research Company							
0							
EQUIPMENT:							
Autopulse CPR Device and Supplies							
				19,000	19,000		
SUPPLIES (ITEMIZE BY CATEGORY):							
0							
TRAVEL: DOMESTIC							
				2,500	2,500		
OTHER EXPENSES (ITEMIZE):							
0							
SUBCONTRACT(S):							
NYODN (Direct Costs only)							
				188,397			
FDNY (Direct Costs only)							
				118,149			
Montefiore Hospital (Direct Costs only)							
				10,213			
SUBTOTALS-->				316,758			
TOTAL DIRECT COSTS							
Bellevue F&A Costs @ 14.32%							
				13,580			
NYODN SubK #1 (F&A costs only) - 14.32%							
				26,978			
FDNY Subk #2 (F&A Costs only) - 14.32%							
				16,919			
Montefiore Subk #3 (F&A Costs only) - 14.32%							
				1,462			
Total Costs							
				470,532			

PROJECT TITLE: HRSA UDCD Grant							
PI: Lewis Goldfrank, MD							
DETAILED BUDGET FOR SECOND TWELVE MONTH BUDGET PERIOD							
		FROM:	01-Sep-08	THROUGH:	31-Aug-09		
PERSONNEL:	POSITION/	ANNUAL	PCT	ACTUAL	FRINGE	TOTALS	
NAME	TITLE	SALARY	EFFRT	SALARY	BNFITS		
Lewis Goldfrank, MD	Principal Investigator	186,600	20%	37,320	11,569	48,889	
Stephen Wall, MD, MS	Methodologist/Statistician	0	0%	0	0	0	
Lewis Teperman, MD	Co-Investigator	186,600	10%	18,660	5,785	24,445	
SUBTOTALS-->				55,980	17,354	73,334	
CONSULTANTS:							
0							
EQUIPMENT:							
0							
SUPPLIES (ITEMIZE BY CATEGORY):							
0							
TRAVEL: DOMESTIC							
				2,500	2,500		
OTHER EXPENSES (ITEMIZE):							
0							
SUBCONTRACT(S):							
NYODN Subk #1 (Direct Costs only)							
				228,572			
FDNY Subk #2 (Direct Costs only)							
				233,308			
Montefiore Subk #3 (Direct Costs only)							
				10,519			
SUBTOTALS-->				472,399			
TOTAL DIRECT COSTS							
Bellevue F&A Costs @ 14.32%							
				548,233	10,859		
NYODN SubK #1 (F&A costs only) - 14.32%							
				32,732	33,410		
FDNY Subk #2 (F&A Costs only) - 14.32%							
				1,506	1,552		
TOTAL COSTS							
				626,740			

PROJECT TITLE: HRSA UDCD Grant							
PI: Lewis Goldfrank, MD							
DETAILED BUDGET FOR THIRD TWELVE MONTH BUDGET PERIOD							
		FROM:	01-Sep-09	THROUGH:	31-Aug-10		
PERSONNEL:	POSITION/	ANNUAL	PCT	ACTUAL	FRINGE	TOTALS	
NAME	TITLE	SALARY	EFFRT	SALARY	BNFITS		
Lewis Goldfrank, A Principal Investi		186,600	20%	37,320	11,569	48,889	
Stephen Wall, MD, Methodologist/S		0	0%	0	0	0	
Lewis Teperman, A Co-Investigator		186,600	10%	18,660	5,785	24,445	
SUBTOTALS-->				55,980	17,354	73,334	
CONSULTANTS:							
0							
EQUIPMENT:							
0							
SUPPLIES (ITEMIZE BY CATEGORY):							
0							
TRAVEL: DOMESTIC							
				2,500	2,500		
OTHER EXPENSES (ITEMIZE):							
0							
SUBCONTRACT(S):							
NYODN Subk #1 (Direct Costs only)							
				185,827			
FDNY Subk #2 (Direct Costs only)							
				182,863			
Montefiore Subk #3 (Direct Costs only)							
				10,835			
SUBTOTALS-->				379,524			
TOTAL DIRECT COSTS							
Bellevue F&A Costs @ 14.32%							
				455,358	10,859		
NYODN SubK #1 (F&A costs only) - 14.32%							
				26,610	26,186		
FDNY Subk #2 (F&A Costs only) - 14.32%							
				1,552	1,552		
TOTAL COSTS							
				520,565			

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3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
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17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations".
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

 AGREE NOT AGREE

Signature Of Authorizing Official	
Authorizing Official Name	Ms. Lynda Curtis
Applicant Organization	NEW YORK CITY HEALTH & HOSPITALS CORPORATION
Date Submitted	5/10/2007

OTHER PROJECT INFORMATION	
1. Are Human Subjects Involved?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.a. If Yes to Human Subjects	Is the IRB Review Pending? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No IRB Approval Date: Exemption Number: Human Subject Assurance Number:
2. Are Vertebrate Animals Used?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.a. If YES to Vertebrate Animals	Is the IACUC review pending? Yes No IACUC Approval Date: Animal Welfare Assurance Number:
3. Is proprietary/privileged information included in the application?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.a. Does this project have an actual or potential impact on the environment?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.b. If Yes, please explain:	
4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed?	Yes No
4.d. If Yes, please explain:	
5.a. Does this project involve activities outside the U.S. or partnership with International Collaborators?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.b. If Yes, identify countries:	
5.c. Optional Explanation:	

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