

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Alarcon, Arthur L	2. Court or Organization U.S. Circuit Court of Appeals	3. Date of Report 3/16/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Senior Status	5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. Courthouse 312 No. Spring Street Los Angeles, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director

Las Familias del Pueblo (a not-for-profit corporation)

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## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 6/76

Employee's Retirement Benefit (Los Angeles County)

2. 6/76

Employee's Retirement Benefit (State of California)

3. 6/92

State Judge's Pension

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Southwestern University Law Class (13 weeks)	4,500
2.	2003	Retirement Benefits, State of California	2,095
3.	2003	Retirement Benefits, Los Angeles County	9,355
4.	2003	Retirement Benefits, State of California Judges	32,881

**B. Spouse's Non-Investment Income** (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Retirement Plan of Citibank

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.  

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**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.  

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Cal Western Life Ins Co	A	Interest	K	T					
2. L.A. Financial Credit Union	C	Interest	M	T					
3. First Federal Bank Accounts	A	Interest	J	T					
4. Washington Mutual Bank Accounts	B	Interest	L	T					
5. TD Waterhouse Bank Accounts	A	Interest	K	T					
6. U.S. Treasury Bills	B	Interest	M	T	Redemption	6/5	K		
7.					Redemption	6/12	K		
8.					Purchase	6/26	K		
9.					Purchase	6/30	K		
10. United Airlines Common Stock		None	J	T					
11. E*Trade Bank Accounts	C	Interest	M	T					
12. Citibank Accounts	B	Interest	L	T					
13. Brokerage Account #1:									
14. - Agilent Technology Common Stock		None	J	T					
15. - Citigroup Common Stock	C	Dividend	M	T					
16. - Coca Cola Common Stock	A	Dividend	J	T					
17. - Hewlett Packard Common Stock	A	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
18. – Microsoft Common Stock	A	Dividend	J	T					
19. – Worldcom Common Stock		None	J	T	Partial sale	12/4	J		
20. – Proctor & Gamble Common Stock	A	Dividend	J	T	Buy	8/21	J		
21. – Nokia Common Stock	A	Dividend	J	T					
22. – Ford Motor Common Stock	A	Dividend	J	T					
23. – IBM Common Stock	A	Dividend	J	T					
24. – Sara Lee Common Stock	A	Dividend	J	T	Buy	2/13	J		
25.					Buy	3/4	J		
26.					Buy	4/24	J		
27.					Buy	5/6	J		
28.					Buy	6/20	J		
29.					Buy	7/11	J		
30.					Buy	8/21	J		
31. Brokerage Account #2									
32. – Honeywell Common Stock	A	Dividend	K	T	Buy	3/31	J		
33. – AT&T Common Stock	A	Dividend			Sell	11/12	J		
34. – AT&T Wireless Common Stock		None			Sell	11/12	J		
35. – COMCAST Corp Common Stock		None			Sell	11/12	J		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
36. -- Cisco Common Stock		None	K	T					
37. -- Corning Common Stock		None	J	T					
38. -- EMC Common Stock		None	J	T					
39. -- Pfizer Common Stock	A	Dividend	J	T					
40. -- Merck Common Stock	A	Dividend	J	T	Buy	10/17	J		
41. -- MEDCOHEALTH Solutions Common Stock		None			MRK spinoff	8/25	J		
42.					Sell	11/12	J		
43. -- International Paper Common Stock	A	Dividend	J	T					
44. -- Intel Common Stock	A	Dividend	J	T					
45. -- TYCO International LTD Common Stock	A	Dividend	J	T					
46. -- EXXON Mobil Corp Common Stock	A	Dividend	J	T					

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS** (Indicate part of Report.)

- 1) Part VII, page 1, line 2 – institution name change.
- 2) Part VII, pages 1 through 3, lines 10 through 46, column A – Added the word "Common" to denote common stock. Removed the stock ticker symbol after each company name, to comply with page 37 instructions "For stocks,... indicate the type of holding, "common," etc., and its name" and instruction examples.
- 3) Part VII, page 1, line 17, and page 3, line 36, column C(1) – gross value is from 12/31/03 brokerage account "market value". Change from preceding year is due to increase in stock price.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

March 16, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544