AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Alarcon, Arthur L.	U.S. Court of Appeals	03/08/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Circuit Judge - Senior Status	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2008 to 12/31/2008
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
U.S. Courthouse 312 No. Spring Street Los Angeles, CA 90012	Reviewing Officer__________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Las Familias del Pueblo (a not-for-profit corporation)
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	6/76	Employee's Retirement Benefit (Los Angeles County)
2.	6/76	Employee's Retirement Benefit (State of California)
3.	6/92	State Judge's Pension

Name of Person Reporting	Date of Report
Alarcon, Arthur L.	03/08/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008	Retirement Benefits, State of California	$2,405.00
2. 2008	Retirement Benefits, Los Angeles County	$11,097.00
3. 2008	Retirement Benefits, State of California Judges	$41,920.00
4. 2008	West Services Inc.	$10,875.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2008	Retirement Plan of Citibank
2. 2008	Retirement Plan of Honeywell
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Alarcon, Arthur L.	03/08/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cal Western Life Ins Co	A	Interest	K	W					
2. U.S. Treasury Bills	C	Interest	K	T	Redeemed (part)	04/03	J		
3.					Redeemed (part)	05/01	L		
4.					Redeemed (part)	08/07	K		
5.					Buy (add'l)	08/27	K		
6. United Airline Stock		None	J	T					
7. Wachovia Bank Accounts	B	Interest			Closed	09/27	K		
8. Citibank Accounts	A	Interest	K	T	Open	10/17	K		
9. Brokerage Account #1									
10. - TD Ameritrade MMDA Sweep Account	A	Interest	K	T					
11. - Citigroup Stock	C	Dividend	K	T	Buy (add'l)	02/15	J		
12.					Buy (add'l)	10/10	J		
13. - Coca Cola Stock	A	Dividend	J	T					
14. - Hewlett Packard Stock	A	Dividend	K	T					
15. - Microsoft Stock	A	Dividend	J	T					
16. - Proctor & Gamble Stock	A	Dividend	J	T					
17. - Nokia Stock	A	Dividend	J	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Alarcon, Arthur L.	03/08/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - IBM Stock	A	Dividend	J	T					
19. - Sara Lee Stock	A	Dividend	J	T	Buy (add'l)	02/15	J		
20. - Mastercard Inc Stock	A	Dividend	J	T					
21. - Paterson-UTI Energy Inc Stock	A	Dividend	J	T					
22. Brokerage Account #2									
23. - TD Ameritrade MMDA Sweep Account	A	Interest	J	T					
24. - Honeywell Stock	A	Dividend	K	T	Sold (part)	10/15	J	C	
25. - Cisco Stock		None	J	T					
26. - Pfizer Stock	A	Dividend	J	T					
27. - Merck Stock	A	Dividend	J	T					
28. - International Paper Stock	A	Dividend	J	T					
29. - EXXON Mobile Corp Stock	A	Dividend	J	T	Buy (add'l)	10/24	J		
30. - 3M Company Stock	A	Dividend	J	T					
31. - Intel Stock	A	Dividend	J	T					
32. - Clorox Company Stock	A	Dividend	J	T					
33. - Newell Rubbermaid Inc Stock	A	Dividend	J	T					
34. - Citigroup Inc Stock	A	Dividend	J	T	Buy (add'l)	10/15	J		

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(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35.					Buy (add'l)	10/24	J		
36.					Buy (add'l)	11/14	J		
37. - GE Stock	A	Dividend	J	T	Buy	02/04	J		
38. Brokerage Account #3									
39. - Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
40. - Capmark Bank CD	C	Interest			Redeemed	06/13	L		
41. - Doral Bank CD	C	Interest			Redeemed	12/08	L		
42. - Enterprise Bank CD	C	Interest			Redeemed	05/02	L		
43. - Franklin Bank CD	C	Interest			Redeemed	07/10	L		
44. - Lehman Commercial Bank CD	C	Interest	L	T					
45. - Stillwater Bank CD	C	Interest			Redeemed	01/24	L		
46. - Calif State Bond		None	M	T	Buy	12/15	M		
47. - Carolina First Bank CD		None	L	T	Buy	07/21	L		
48. - National Bank CD		None	L	T	Buy	06/16	L		
49. - Silverton Bank CD	B	Interest	L	T	Buy	08/07	L		
50. - Southwest Bank CD	C	Interest	L	T	Buy	01/28	L		
51. - Wright Exp CD	A	Interest			Buy	05/05	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52.					Redeemed	08/07	L		
53. Brokerage Account #4									
54. - Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
55. - Firstbank CD	C	Interest			Redeemed	02/14	L		
56. - New Frontier Bank CD	C	Interest			Redeemed	06/27	L		
57. - Washington Mutual CD	C	Interest			Redeemed	05/05	L		
58. - Pyramax Bank CD	C	Interest			Redeemed	08/08	L		
59. - American Exp Bank CD		None	M	T	Buy	11/25	M		
60. - Bank of India CD	A	Interest			Buy	02/14	L		
61.					Redeemed	05/21	L		
62. - Bank India CD		None	L	T	Buy	07/02	L		
63. - Colonial Bank CD		None	L	T	Buy	05/28	L		
64. - First Banking CD	B	Interest			Buy	05/12	L		
65.					Redeemed	11/21	L		
66. - First National Bank CD	A	Interest			Buy	02/14	K		
67.					Redeemed	04/28	K		
68. - Merrick Bank CD	B	Interest			Buy	05/12	L		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3): J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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69.					Redeemed	11/17	L		
70. - Wachovia Bank CD	A	Interest	L	T	Buy	09/12	L		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1) Part VII, lines 10 thru 37: differences between 2007 and 2008 for entries in Column B (Income) and C (Gross Value) are due to fluctuation in stock prices and dividends.
2) Part VII, the "Audit" flagged lines 9, 22, 38, and 53 with "...if the entry in column A is not a header then...." The entries in lines 9, 22, 38, and 53 are headers.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatur[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544