

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 5**

<b>1. Person Reporting (last name, first, middle initial)</b> Aldisert, Ruggero J	<b>2. Court or Organization</b> Third Circuit	<b>3. Date of Report</b> 03/18/06
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Senior U.S. Circuit Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 1/1/2005 to 1/31/2005
<b>7. Chambers or Office Address</b> 120 Cremona Drive (D) Santa Barbara CA 93117-5511	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Emeritus Trustee	University of Pittsburgh
2. Board of Visitors, Law School	University of Pittsburgh
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting <b>Aldisert, Ruggero J</b>	Date of Report 03/18/06
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2/1	Nat'l Institute of Trial Advocacy (Publications Royalties)	\$ 8136.33
2. 7/28	Nat'l Institute of Trial Advocacy (Publications Royalties)	\$ 2878.54
3. 1/8	West Group (Publications Royalties)	\$ 1313.14
4. 12/5	AuthorHouse (Publications Royalties)	\$ 717.00
5.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Bar Association Council of Appellate Lawyers	9/29-9/30 San Francisco Appellate Seminar (Travel, Food, Lodging)
2. Italian Sons & Daughters of America	10/5-10/9 Pittsburgh PA Recipient of Humanitarianism Award, 75th Anniversary Program (Travel, Food, Lodging)
3.	
4.	
5.	

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Blair Cty Hosp. Bond	A	Interest	J	T					
2. Santa Barbara Bank & Trust Co	A	Interest	L	T					
3. Morg. Stan. Dean Witter IRA	A	Dividend	K	T					
4. -Div. Growth Mutual F									
5. -Utilities Mutual Fund									
6. -Global Dividend Fund									
7. MUTUAL FUNDS									
8. Gabelli Asset	A	Dividend	K	T					
9. Davis New York Venture	A	Dividend	K	T					
10. Columbia Acorn Fund C1 A	A	Dividend	J	T					
11. Growth Fund of America	A	Dividend	J	T					
12. American Funds Tax Exempt "F"	B	Interest	L	T					
13. T. Rowe Price High Yield	A	Interest	L	T					
14. Picom Short Duration Muni "A"					sell	5/25	J		
15. Templeton Foreign	A	Dividend	J	T					
16. AMCAP Fund	A	Dividend	K	T					
17. Royce Total Return	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. First Eagle Overseas Fund	A	Dividend	K	T					
19. Van Kampen High Yield Muni	A	Interest	K	T					
20. Van Kampen Comstock C1 A	A	Dividend	K	T					
21. Franklin Invs Sec. Fund	A	Dividend	J	T					
22. Pimco FDS Pac Int. Mgmt	A	Dividend	J	T					
23. Alliance Bernstein CA T.F.	A	Interest	K	T					
24. COMMON STOCKS									
25. Intel	A	Dividend	K	T					
26. Johnson & Johnson	A	Dividend	K	T					
27. Mellon Finl Corp	A	Dividend	K	T					
28. Pfizer	A	Dividend	K	T					
29. General Electric	A	Dividend	K	T					
30. Citigroup	A	Dividend	J	T					
31.									
32. INVESTMENT CLUB									
33. Mission Impossible Partners	A	Dividend	M	T					
34. Johnson & Johnson									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Intel					sell	2/29	J		
36. -Ctigroup									
37. -Berkshire Hathaway									
38. -State Street Corp					sell	2/25	J		
39. -J M Smucker Co									
40. -General Electric									
41. -Proctor & Gamble									
42. -Costco Wshl Corp									
43. -IBM					sell	2/25	J		
44. -Sysco Corp					sell	2/25	J		
45. -3M Co									
46. -Biogen Idec Inc.					sell	6/29	J		
47. -Donaldson Co. Inc					sell	6/29	J		
48. -Hewlett Packard					sell	6/29	J		
49. -Pfizer, Inc									
50. -Scheid Vineyards, Inc					sell	10/29	J	A	
51. -Simpson Mfg. Co					sell	10/29	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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52. --United Parcel Service, Inc									
53. --Whole Foods Markets, Inc					sell	5/4	J		
54. --First Data Corp					buy	5/4	J		
55. --Exxon Mobil Corp					buy	5/26	J		
56. --Valero Energy Corp.					buy	5/26	J		
57. --Lowe's Companies Inc					buy	10/29	J		
58. --Schlumberger Ltd.					buy	10/29	J		
59. --Apple Computer Co					buy	10/29	J		
60.									
61. MONEY MARKET FUNDS									
62. UBS Financial Services	A	Interest	J	T					
63. Bear Steans Securities Corp	A	Interest	J	T					
64. LIFE INSURANCE POLICIES									
65. Phoenix Whole Life	A	Dividend	J	T					
66. Transamerica Life Companies Whole Life	A	Dividend	K	T					
67. Penn Mutual Whole Life	A	Dividend	J	T					

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

3/20/06

NOTE: ANY PERSON WHO KNOWINGLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544