

AO-10  
Rev. 1/2004

# FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) ALSOP, DONALD D	2. Court or Organization U.S. DISTRICT COURT, MINN.	3. Date of Report 04/29/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5. ReportType (check appropriate type) <input type="radio"/> Nomination      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 316 NORTH ROBERT St., Room 754 ST. PAUL, MN 55101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

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# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting ALSOP, DONALD D	Date of Report 04/29/2004
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### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

#### A. Filer's Non-Investment Income

NONE - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. _____	_____	_____

#### B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

NONE - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____

### IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. _____	_____

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. MINNEAPOLIS CLUB OF MINNEAPOLI	HONORARY MEMBERSHIP	\$4,080

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- R)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. BELL SOUTH-COM ST	B	Dividend	K	T					
2. Qwest - COM ST		None	J	T					
3. Verizon - COM ST	B	Dividend	K	T					
4. SBC - COM ST	C	Dividend	L	T					
5. AMERICAN TEL&TEL-COM ST	A	Dividend	J	T					
6. DREYFUS FUND-MUT FUND	A	Div	K	T					
7. CITIZENS BANK CORP-COMST	C	Dividend	M	W					
8. DREYFUS PREMIER NEW LEADERS FUND Class A	A	Dividend	J	T	Name Change				
9. NEW ULM TELECOM-COM ST	A	Div	J	W					
10. SELIGMAN GROWTH-MUT FUND		None	K	T					
11. Invesco Dynamics - Mut Fund		None	J	T					
12. COTTONWOOD LAND-COM ST		None	J	W					
13. NORTH OAKS GOLF CLUB-ST		None	J	W					
14. USE FED CRED UNION-SHARE	A	Dividend	K	T					
15. Federated Growth Strategies Fund - Class A - Mut Fund		None	J	T					
16. DAIN [BOSWORTH] RAUSER -MONEY MKT	C	Interest	K	T					
17. GS CAPITAL GROWTH - Mut Fund		None	K	T					
18. NUVEEN INV QUALITY MN - Mut Fund	B	Dividend	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000  
 3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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ALSOP, DONALD D.

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	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Xcel Energy - COM ST	A	Dividend	J	T					
20. FIRST TRUST - MINN. SERIES	A	Interest			Sold	12/31	J	A	
21. Vodafone Airtouch - COM ST	A	Dividend	J	T					
22. Westbrook Village Golf Club		None	J	W					
23. Dreyfus Balanced Fund - MUT FUND	A	Dividend	J	T					
24. First Trust Target Five	A	Dividend			Sold	03/05	J	A	
25. Lucent Technologies - COM ST		None	J	T					
26. Target Corp. - COM ST	A	Dividend	K	T					
27. Nuveen Large Cap Value-Stock A	A	Dividend	J	T					
28. Nuveen Insured Municipal Bond Fund	A	Dividend	J	T					
29. ADC Telecommunications - COM ST		None	J	T					
30. Kopp Emerging Growth		None	J	T					
31. Putnam FD, Growth & Income - MUT FUND	A	Dividend	J	T					
32. TCF Financial - COM ST		None	J	T					
33. Minnesota State GO	A	Interest	J	T					
34. Guidant Corp. Common Stock	A	Dividend	J	T					
35. St. Paul, MN ISD #625	A	Interest	J	T					
36. AVAYA, INC. - COM ST		None	J	T					

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2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
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	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. AT&T Wireless		None	J	T					
38. Agere Systems		None	J	T					
39. Comcast		None	J	T					
40. Connecticut Mutual Insurance - Whole Life Policy	C	Dividend	M	T					
41. National Service Life - Permanent Life Ins.	A	Dividend	K	T					

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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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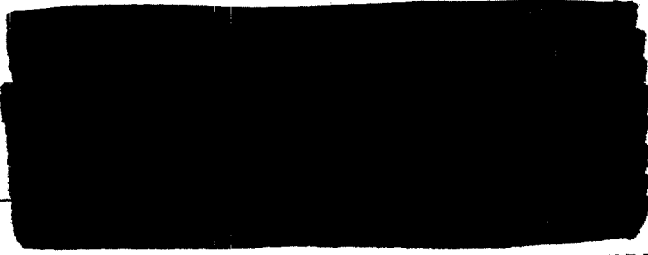
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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

*April 29, 2004*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544