

# FINANCIAL DISCLOSURE REPORT

Calendar Year - 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) ALSUP, WILLIAM H	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III, ACTIVE	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address UNITED STATES DISTRICT COURT 450 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

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## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income

 NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Judicial Salary	N/A
2.	2003	Yosemite Association (royalties from two books)	N/A
3.	2003	California Judicial Center (sold photographs)	N/A

### B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

 NONE - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Mississippi State	Transportation to Mississippi State (airfare) and food.

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1- (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. MERRILL LYNCH ACCOUNT (HR 10)									
2. CASH AND MONEY FUNDS	B	Interest	M	T					
3. CD MBNA AMERICAN BANK	C	Interest	M	T	Redemption	8/21	M		
4. CD BLOOMFIELD	A	Interest	M	T	Buy	10/03	M		
5. CD BANCO BIL V12 ARG	C	Interest	M	T					
6. CD FIRESIDE	A	Interest	L	T	Buy	10/02	L		
7. CD FLAGSTAR BANK FSB	A	Interest	M	T					
8. CD ALEXANDRIA	A	Interest	L	T	Buy	11/03	L		
9. GNMA'S – COMBINED	C	Interest	K	T					
10. BOND – CHASE CAP IV	C	Interest	L	T	Redemption	11/03	K		
11. BOND – GRAND METRO	D	Interest	L	T					
12. BOSTON – PPTYS INC REIT	A	Dividend	K	T					
13. GENERAL ELECTRIC CM	A	Dividend	J	T	Sell	7/03	J		
14. MACK CAL I REALTY	A	Dividend	L	T					
15. M FUND – DAVIS NY VENTURE	A	Dividend	O	T					
16. M FUND – PUTNAM HEALTH	A	Dividend	M	T	Sell	11/03	M		
17. MERRILL LYNCH INVESTMENT ACCOUNTS									
18. CASH AND MONEY FUNDS	B	Interest	M	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. CD MBNA AMERICAN BANK	A	Interest	K	T	Redemption	8/03	K		
20. LOS ANGELES COUNTY CALIFORNIA MET TRANSIT	D	Interest	M	T	Redemption	8/03	M		
21. CALIFORNIA PCF AUTH POLLUTION CONTROL	C	Interest	L	T					
22. SACRAMENTO CALIFORNIA MUNICIPAL UTILITY DIST	C	Interest	L	T	Redemption	5/03	L		
23.									
24. ML CALIFORNIA MUNICIPAL BD C	A	Dividend	M	T					
25. ML DEV CAP MARKETS FOCUS (NOW MANULIFE ANNUITY)	A	Dividend	L	T					
26. GRAND METRO DEV LP SERIES A PFD	C	Dividend	K	T					(moved from [redacted] acct;
27. MERRILL LYNCH ACCOUNT FOR [redacted]	A	Interest	K	T	Closed				
28. MERRILL LYNCH ACCOUNT FOR [redacted]									
29. CASH AND MONEY FUNDS	A	Interest	L	T					
30. CALIFORNIA ST CPM MTR ZERO COUPON	A	Zero Coupon	L	T	Redemption	10/03	L	A	
31. AIM GLBL HEALTH FUND	L	Dividend	K	T					
32. PRUDENTIAL SECURITIES ACCOUNTS									
33. CASH AND MONEY FUNDS	A	Interest	J	T					
34. RIVERSIDE UTIL ZERO COUPON		None	K	T					
35. UNIVERSITY OF CALIFORNIA	B	Interest	K	T	Redemption	11/03	K	A	
36. CALIFORNIA EDL ZERO COUPON	A	None	L	T					

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37. CALIFORNIA GEN OBLIGATION	B	Interest	K	T					
38. SAN DIEGO REDEVELOPMENT	B	Interest	L	T					
39. SAN FRANCISCO CITY AIRPORT	A	Interest	K	T					
40. ROCKLIN CERTIF	A	Interest	K	T	Buy	11/03	K		
41. AMERICAN BALANCED FUND INC	D	Dividend	N	T					
42. BANK OF AMERICA BANK ACCOUNT	C	Interest	L	T					
43. FED THRIFT SAVINGS PLAN (MONTHLY DEDUCTION)	A	Dividend	J	T					
44. FEDERAL CREDIT UNION (PROVIDENT)	B	Interest	L	T					

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	P5 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

3-13-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544