

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

<b>1. Person Reporting (last name, first, middle initial)</b> Amon, Carol B	<b>2. Court or Organization</b> U. S. District Court, EDNY	<b>3. Date of Report</b> 05/15/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Article III Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> 225 Cadman Plaza East Room 908S Brooklyn, New York 11201	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 3/6/2006	Brooklyn Law School - Teaching Law Clerk Ethics	\$ 1,000.00
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. (S)	Self-Employed Lawyer - Law Partnership Distribution
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Pepperdine University School of Law	Malibu, California: March 16 - 19, 2006 - Lecturer at the Judicial Law Clerk Institute. (Transportation, lodging and meals)
2. Federal Bar Council - Fall Bench & Bar Retreat	Lenox, MA: October 27 - 29, 2006 - Program participant. (Transportation, lodging and meals)
3.	
4.	

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Intenal Revenue Service	Federal Income Tax	K
2.			
3.			
4.			
5.			



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Uniphyd, Inc.		None	J	T					
19. Biodeisel America, Inc.		None	J	T					
20. Encore Networks, Inc.		None	L	T	See VIII				
21. Webcasting TV, Inc.		None	J	T					
22. Rental Property - Newport, Vt.	B	Rent	N	W	See VIII				
23. Equitable Variable Life Policy	B	Interest	J	T					
24. Sivault Systems, Inc.		None	M	T	Buy	VIII	J		██████████ from Issuer
25. Sivault Systems, Inc. (option)		None	J	T					
26. Alpha Int'l Holdings Inc.		None	J	T					
27. Alternative Construction Co., Inc.		None			Buy	1/06	P2		See VIII
28. Rental Property Newport, Vt.			M	R					
29. Cranston II, LLC		None	M	Q					
30. Aim Technology FD	A	Dividend	J	T					
31. New Century Structure, Inc.		None	K	W	Buy	1/06	K		██████████ from Issuer
32. LIFP, Inc.		None	K	W	Buy	6/06	M		██████████ from Issuer

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Re: Part VII

Item 9 - FastComm Communications - Company filed Chapter 11; shares are deemed worthless.

Items 10, 13 & 14 - Funds' names changed. (13&14) SmithBarney to Legg Mason Partners - April 2006.

Item 20 - Market value of vested options less exercise price on 12/31/06.

Item 22 - Rental property located in City of Newport, Chittendon County, Vermont [redacted] in 1994. Value at date acquired [redacted]

Item 24 - [redacted] were acquired on 6/6/06; 8/4/06; 9/20/06; 11/2/06; 12/2/06.

Item 25 - Market value of vested options less exercise price at 12/31/06.

Item 27 - [redacted] acquired by [redacted] as founder; value based on market value - 12/31/06.

Item 28 - Rental property located in City of Newport, Chittendon County, Vermont acquired by [redacted] in 2005. Value at date acquired [redacted]

Item 29 - Interest in Cranston II, LLC is owned through Denmark Management, LLC.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date May 15, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544