AO 10
Rev. 1/2006

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BAER, JR. , HAROLD	US DISTRICT	05/08/2006

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
JUDGE	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2005 to 12/31/2005

7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.
ROOM 2230 U.S. COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007	Reviewing Officer________________ Date________

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☒ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		NEW YORK CITY MANAGEMENT WELFARE FUND
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		MATTHEW BENDER & CO., INC. - BOOK ROYALTIES	$ 1,020.00
2.		PENSION - NY STATE EMPLOYEES' RETIREMENT SYSTEM	$ 20,780.00
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DESCRIPTION
1. NON-FJC EDUCATION SEMINAR OR PROGRAM - FUNDED BY: AMER. LAW INST/AMER BAR ASSOC.	03/01/05 TO 03/04/05 MAUI, HAWAII $3,499
2. MEETING OR SIMEINAR WITH ANOTHER GOVERNMENT ENTITY FUNDED BY: NY STATE JUDICIAL INST	04/21/05 TO 04/21/05 WHITE PLAINS, NY N/A
3. NON-FJC EDUCATIONAL SEMINAR OR PROGRAM FUNDED BY: GEORGE MASON UNIVERSITY	05/13/05 TO 05/14/05 ARLINGTON, VA $1,841
4. NON-FJC EDUCATIONAL SEMINAR OR PROGRAM FUNDED BY: GEORGE MASON UNIVERSITY FOUNDATION	11/11/05 TO 11/18/05 KEY WEST, FL $2,646
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	WACHOVIA SECURITIES[redacted]	SECURITIES MARGIN ACCOUNT	J
2.	WACHOVIA SECURITIES[redacted]	SECURITIES MARGN ACCOUNT	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GILLETTE CO.	A	Dividend			SELL	6/1	J	B	
2. ROCHESTER FUND	D	Dividend	L	T					
3.									
4. EXXON MOBIL CORP.	A	Dividend	K	T					
5. HOME DEPOT INC.	A	Dividend	K	T					
6. PROCTOR & GAMBLE CO.	A	Dividend	L	T					
7. VANGUARD FUND (IRA) SHORT TERM INV GRADE FUND INV SHS	A	Dividend			SELL	12/31	K	A	
8. SCUDDER GLOBAL FUND	A	Dividend	J	T					
9. VERIZON COMM.	A	Dividend	J	T					
10. BELL SHOUTH CORP	A	Dividend			SELL	6/1	J	D	
11. INTEL CORP.	A	Dividend	K	T					
12. EXXON MOBILE CORP.	A	Dividend	K	T					
13. ROCHESTER MUNICIPAL FUND, INC.	C	Dividend	M	T					
14. FIRSDT ENERGEY CORP.	C	Dividend	L	T					
15. PFIZER, INC.	C	Dividend	L	T					
16. PUBLIC SERVICE ENTERPRISE GROUP	C	Dividend	L	T	PART SALE	6/1	J	C	
17. CYPRESS SEMICONDUCTOR CORP		None	K	T	PART SALE	4/22	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *– income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. OPPENHEIMER CAPITAL APPREC. FD IRA		None	J	T					
19. QUEST FOR DUAL PURPOSE FUND IRA	A	Dividend	J	T					
20. US SAVINGS BONDS	B	Interest	J	W					
21. ADVANTAGE PRIMARY 40 PD (NAME CHANGE)	A	Dividend	J	T					
22. **MFS CHARTER INCOME TRUST-SBI	A	Dividend	J	T					
23. ** ENTERTAINMENT PROPERTIES TRUST	B	Dividend	K	T					
24. ** ABOVE PROPERTY SOLD 12/05 & FDS DISTR TO DANIEL FREY									BENEFICIARY OF TRUST
25. I HAVE NO BENEFICIARY INTEREST IN THE TRUST									
26. 3.67% INTEREST BUILDING BROOKLYN, NY	B	Rent	J	W					
27. OCC CASH RESERVE	A	Dividend	J	T					
28. OPENHEIMER QUEST CAPITAL VALUE FUND		None	J	T					
29. PLAINS ALL AMERICAN PIPELINE LP	B	Dividend	K	T					
30. SMJCKER J.M. CO	A	Dividend	J	T					
31. MEDCO HEALTH SOLUTIONS	A	Dividend	J	T					
32. GENERAL ELECTRIC	A	Dividend	J	T					
33. ALLIANCE RESOURCES PARTNERS	A	Dividend			SELL	3/24	J	D	
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only) V =Other	S =Assessment	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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BAER, JR., HAROLD	05/08/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** 5/15/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544