

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) BARKER, SARAH E	2. Court or Organization U.S. District Court, SD, IND	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5. Report Type (check appropriate type) <input type="radio"/> Nomination, Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 210 U.S. COURTHOUSE 46 EAST OHIO STREET INDIANAPOLIS, INDIANA 46204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Board of Advisors	Indiana University-Purdue University at Indianapolis
2. Emeritus Member, Board of Visitors	Indiana University, School of Law, Bloomington
3. Member	Distinguished Alumni Service Award Club, Indiana University
4. Director and Vice President	Federal Judges Association
5. Member	Indianapolis, Federal and Indiana State Bar Associations
6. Member	The Lawyers Club, Indianapolis
7. Director	Clarian Health Partners (non-profit)
8. Member	Indiana University, President Search, Dean Search, and Chancellor Search Committees
9. Member	Conner Prairie Awards Dinner Planning Committee
10. Member	Downtown Kiwanis Club of Indianapolis
11. Director	Indiana Historical Society
12. Co-Chair	Women in Law Conference, Indianapolis
13. Director	Einstein Institute for Science, Health and the Courts
14. Member, Executive Committee	The Gathering and Indiana Leadership Celebration
15. Board of Advisors	Center for International & Comparative Law, Indiana University School of Law -- Indianapolis
16. Member	Women Judges Association
17. Director/Member	Methodist Health Group Inc. (non-profit)

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 FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2003

Nineveh-Hensley-Jackson United School Corporation

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)SOURCEDESCRIPTION

- | | | |
|----|---|---|
| 1. | Federal Judges Association | 2/1-2; Washington, DC, Executive Committee Meeting (transportation, housing and food) |
| 2. | Einstein Institute for Science, Health and the Courts | 2/24 reimbursement for 11/15-17, 2002, Washington, DC, Board Meeting, (transportation and food) |
| 3. | Federal Judges Association | 5/2-5, Washington, DC, Executive Committee and Board Meeting (transportation, housing and food) |
| 4. | Indiana State University | 5/23, Terre Haute, Ind., Receiving honorary degree (transportation, housing and food) |

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- M)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. 1. Bose McKinney & Evans Retirement Plan, Common Trust Fund	B	Dividend	M	T					See explanatory note.
2. 1a. -Kirr Marbach Equity Fund		None	K	T					
3. 1b. -Private Capital Management Equity Fund		None	L	T					
4. 1c. -Fidelity Investment Grade Bond Fund	B	Dividend	K	T					
5. 2. Indianapolis Post Office Credit Union, Account	B	Interest	K	T					
6. 3. Bank One, Indianapolis, IN, Account	A	Interest	K	T					
7. 4. EuroPacific Growth Fund, Los Angeles, CA, (IRA)									
8. Mutual Fund	A	Dividend			Sold	12/01	J	C	
9. 5. EuroPacific Growth Fund, Los Angeles, CA, (IRA)									
10. Mutual Fund	A	Dividend	K	T					
11. 6. Fundamental Investors, San Francisco, CA, (IRA)									
12. Mutual Fund	A	Dividend			Sold	12/01	J	D	
13. 7. Merrill Lynch Global Allocation Fund, Inc., (IRA)									
14. Mutual Fund	B	Dividend	K	T					
15. 8. Merrill Lynch Retirement Reserves (IRA Money Accounts)	A	Interest	J	T					See explanatory note.
16. 9. Bank One, Indianapolis, IN, Account		None	J	T					
17. 10. Bank One, Indianapolis, IN, Account	A	Interest	J	T					
18. 11. CISCO Systems, Inc. Common Stock		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. 12. Align Technology, Inc. Common Stock		None	J	T					
20. 13. Bank One, Indianapolis, IN, Account	A	Interest	K	T					
21. 15. Hartford Whole Life		None	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Section VII (1): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest of [REDACTED] in a tax-deferred common trust fund for retirement purposes which is maintained by [REDACTED] former employer. [REDACTED] interest is in three named but comingled funds, each of which is managed by independent professional managers who engage in various and/or numerous transactions within their respective funds. The names of such managed funds are listed at 1 (a), 1(b), and 1(c). None of such funds is publically traded. The overall Retirement Plan itself is governed by trustees who are elected from within the partnership of the Bose McKinney & Evans law firm. [REDACTED] is not eligible to vote for such trustees and has no voice in nominating or otherwise selecting any of such trustees. The Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other dispositions of assets within each listed fund. [REDACTED] accordingly has no more control over individual assets than would be had as an investor in any publically-traded mutual fund. [REDACTED] interest in the Plan as a whole represents less than one percent of the Plan's assets, and [REDACTED] is making no contributions to the Plan outside the reinvestment sources generated from within the above-listed funds themselves.

Section VII (8) "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holding, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544