

FINANCIAL DISCLOSURE REPORT

Nomination Report

Report required by the Ethics in Government Act of 1978, as amended (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting <i>(Last name, first, middle initial)</i> Bea, Carlos T.	2. Court or Organization Court of Appeals, Ninth Circuit	3. Date of Report 04/11/2003
4. Title <i>(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</i> Circuit Judge (nominee)	5. Report Type (check type) X <u>Nomination</u> , Date <u>04/11/2003</u> Initial Annual Final	6. Reporting Period 01/01/2002 to 03/31/2003
7. Chambers or Office Address 400 McAllister Street San Francisco, California 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS *(Reporting individual only; see pp. 9-13 of Instructions.)*

	POSITION	NAME OF ORGANIZATION / ENTITY
<input type="checkbox"/>	NONE (No reportable positions.)	
1	[REDACTED]	[REDACTED]
2		
3		

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 FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS *(Reporting individual only; see pp. 14-16 of Instructions.)*

	DATE	PARTIES AND TERMS
<input type="checkbox"/>	NONE (No reportable agreements.)	
1	1/23/90	Judges Retirement System (State of California)PERS
2	1/23/90	City and County of San Francisco Deferred Compensation Plan (Aetna)
3	1/23/90	401(k) Employer Contribution Program, Dept Personnel Administration Savings Plus Program (Nationwide Retirement Solutions)

III. NON-INVESTMENT INCOME *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
<input type="checkbox"/>	NONE (No reportable non-investment income.)		
1	02-3/03	State of California/City and County of San Francisco; Judge of the Superior Court	\$168,309.03
2	02-3/03	[REDACTED] Managing Partner	\$ 68,935.00
3	2002	State of California/ City and County of San Francisco; Judge of the Superior Court.	\$133,314.08
4	2001	State of California/City and County of San Francisco; Judge of the Superior Court	\$128,513.08

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IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

<input type="checkbox"/> NONE (No such reportable reimbursements.)	SOURCE	DESCRIPTION
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

<input type="checkbox"/> NONE (No such reportable gifts.)	SOURCE	DESCRIPTION	VALUE
1	EXEMPT		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)

<input type="checkbox"/> NONE (No reportable liabilities.)	CREDITOR	DESCRIPTION	VALUE CODE*
1	Visa Credit Cards; First USA Bank. N.A. per license from Visa U.S.A.	Charges for living expenses, including travel and tuition charges	K
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS-- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
1 [REDACTED] California: \$79,741	A	Rent	L	S	EXEMPT				
2									
3 Ishares Trust Russell 1000 Value Index Fund	B	Dividend	N	T	EXEMPT				
4 Adobe Systems Inc Common stock	A	Dividend	L	T	EXEMPT				
5 Alltel Corp Common stock	A	Dividend	L	T	EXEMPT				
6 Avon Common Stock	A	Dividend	L	T	EXEMPT				
7 Carnival Common Stock	A	Dividend	L	T	EXEMPT				
8 Coca Cola Common stock	A	Dividend	L	T	EXEMPT				
9 Computer Associates	A	Dividend	L	T	EXEMPT				
10 Fannie Mae Common stock	A	Dividend	L	T	EXEMPT				
11 Franklin Resources Common Stock	A	Dividend	L	T	EXEMPT				
12 Home Depot Common Stock	A	Dividend	L	T	EXEMPT				
13 Intel Corp Common Stock	A	Dividend	L	T	EXEMPT				
14 MBNA Corporation Common Stock	A	Dividend	L	T	EXEMPT				
15 McKesson HBOC Common Stock	A	Dividend	L	T	EXEMPT				
16 Merck & Co Common Stock	B	Dividend	L	T	EXEMPT				
17 Pharmacia Common Stock	A	Dividend	L	T	EXEMPT				

Line/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
 (Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

Line/Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

Val Meth Codes: Q=Appraisal R=Cos. (real estate only) S=Assessment T=Cash/Markets
 (Col. C2) U=Bank Value V=Other W=Unstated

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VII. Page 2 INVESTMENTS and TRUSTS-- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period			
					If not exempt from disclosure			
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)								
18 Target Common Stock	A	Dividend	L	T	EXEMPT			
19 Dodge & Cox Stock Fund	A	Dividend	N	T	EXEMPT			
20 Vanguard Capital California Intermediate tax free fund	A	Dividend	N	T	EXEMPT			
21 UBS PaineWebber Money Fund	A	Interest	J	T	EXEMPT			
22 Franklin Templeton Cal Tax Exempt Fund	B	Dividend	M	T	EXEMPT			
23 Franklin Templeton Cal Tax Free Fund	B	Dividend	M	T	EXEMPT			
24 UBS PaineWebber Money Market Fund	A	Dividend	P1	T	EXEMPT			
25 Bedford Ppty Inv ("bed"NYSE)	E	Dividend	N	T	EXEMPT			
26 Cal St Dept Wtr Res 5.9% '05	C	Interest	L	T	EXEMPT			
27 Cal St G.O. Ambac 5.5% '09	C	Interest	L	T	EXEMPT			
28 Cal St Veteran GO 5.75% '10	C	Interest	L	T	EXEMPT			
29 Fresno Ca Jt Pwrs FNG 4% '08	C	Interest	L	T	EXEMPT			
30 Lassen Ca Mun Util Rev FSA 4.5% '11	C	Interest	L	T	EXEMPT			
31 Los Angeles Co Met Serv 6% '11	C	Interest	L	T	EXEMPT			
32 San Diego Cnty partn rf ambac 5.25% '05	C	Interest	L	T	EXEMPT			
33 San Fran Ca Int'l Airport 4% '10	C	Interest	L	T	EXEMPT			
34 San Mateo Cnty Jt Pwr 3.6% '09	C	Interest	L	T	EXEMPT			

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
 (Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
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3 Val Mth Codes: Q=Appraisal R=Cos. (real estate only) S=Assessment T=Cash/Market
 (Col. C2) W=Real Estate

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VII. Page 3 INVESTMENTS and TRUSTS-- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period			
			(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure		
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(2) Date: Month-Day	(3) Value Code (J-P)		(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)	
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)								
35 Ford Motor Credit 6.875% '06	C	Interest	L	T	EXEMPT			
36 Genl Motors Corp 7.1% '06	C	Interest	L	T	EXEMPT			
37 Target Corp Notes 6.35% '11	C	Interest	L	T	EXEMPT			
38 US Tsy Note 3.25% '07	B	Interest	L	T	EXEMPT			
39 US Tsy Note 5.0% '11	D	Interest	M	T	EXEMPT			
40 US Tsy Note 5.5% '09	D	Interest	M	T	EXEMPT			
41 US Tsy Note 6.125% '07	D	Interest	M	T	EXEMPT			
42 Ford Motor Credit 6.875% '06	C	Interest	K	T	EXEMPT			
43 Genl Motors Corp 7.1%	C	Interest	L	T	EXEMPT			
44 Target Corp NTS 6.35% '11	C	Interest	L	T	EXEMPT			
45 US Tsy Note 5.0% '11	C	Interest	M	T	EXEMPT			
46 US TSY Note 5.5% '09	D	Interest	M	T	EXEMPT			
47 [REDACTED]	G	Distribut ion	O	S	EXEMPT			
48 Hummer/Winblad III Ltd Part	A	Distribut ion	K	W	EXEMPT			
49 F&F Flair Center El Monte, Ltd Part	C	Distribut ion	K	W	EXEMPT			
50								
51								

1 Inc/Gain Codes: A=\$1,000 or less (Col. E1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val. Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 N=\$250,001-\$500,000 P4=\$50,000,001 or more

3 Val. Mtn Codes: Q=Appraisal (Col. C1) R=Real Estate Value U=Other V=Other W=Estimated S=Assessment T=Cash/Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

PART 1: Position re: [REDACTED], cntd ...

PART 2: Date re: 1/23, cntd .../1990

Part 7: Assessment of [REDACTED] by Los Angeles County Assessor for tax year 2002-2003 was \$1,783, 424.44 for entire property. Filer owns 45% of partnership, which owns the land and buildings.

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SECTION HEADING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
5	2002	 Managing Partner	\$ 55,943.47
6	2001	 Managing Partner	\$ 48,031.52

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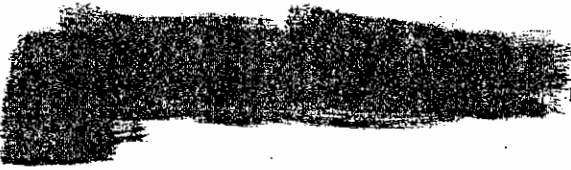
04/11/2003

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

MAY - 7 2003

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS**Mail original and three additional copies to:**

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544