

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

*Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)*

<b>1. Person Reporting</b> <i>(Last name, first, middle initial)</i> Sotomayor, Sonia	<b>2. Court or Organization</b> US Court of Appeals Second Circuit	<b>3. Date of Report</b> 5/6/08
<b>4. Title</b> <i>(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</i> Active US Circuit Judge	<b>5a. Report Type</b> (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final	<b>6. Reporting Period</b> 1/1/07 - 12/31/07
<b>7. Chambers or Office Address</b> 500 Pearl Street, Room 610 New York, NY 10007	<b>5b. ___ Amended Report</b> <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of Instructions.)*

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lecturer-In-Law	Columbia Law School
2.	Adjunct Professor	New York Law School
3.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of Instructions.)*

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u>
1.	Present	Lecturer-In-Law Columbia Law School	\$ 10,000.00
2.	2007	Adjunct Professor New York Law School	\$ 14,780.00
3.			\$

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, please complete this section (dollar amount not required except for honoraria).

NONE (No reportable non-investment income.)

1.		
2.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting  
Sonia Sotomayor

Date of Report  
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**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

NONE (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PROVIDED OR PAID</u>
1.	Univ. Of PR	1/13/2007	San Juan, PR	Visiting Professor 2007 Winter Term	Travel, lodging and meals.
2.	Federal Judicial Center	2/14- 2/16/2007	Washington, DC	Roundtable - Effective Uses of IT for Judges	Travel, lodging and meals.
3.	Northeastern University	5/25/07	Boston, MA	To receive Honorary Doctor of Law Degree	Travel, meals.
4.	Court Admin. And Case	6/18 - 6/21/2007	Orcas Island, Orcas, WA	CACM June 2007 Committee Meeting	Travel, lodging and meals.
5.	Court Admin. And Case Mgmt.	11.13 - 11/14/200	Washington, DC	CACM November 2007 Committee Meeting	Travel, lodging and meals.
6.	Pepperdine University	3/15 - 3/17/2007	Malibu, CA	Byrne Judicial Clerkship Institute	Travel, meals.
7.					

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

X NONE (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		555	\$
2.			\$
3.			\$
4.			\$

**VI. LIABILITIES.** (Includes those of spouse and dependent children See pp. 32-33 of Instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Retail Credit Card	J
2.	Discover	Retail Credit Card	J
3.	Visa	Retail Credit Card	J
4.	Master Card	Retail Credit Card	J
5.			

\*Value Codes: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001-\$100,000    M=\$100,001-\$250,000  
 N=\$250,001-\$500,000    O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000  
 P2=\$5,000,001-\$25,000,000    P3=\$25,000,001-\$50,000,000    P4=\$50,000,001 or more

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting Sonia Sotomayor	Date of Report 5/6/08
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**VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<b>NONE</b> (No reportable income, assets, or transactions.)									
1 Citibank, N.A. Savings	A	Interest	L	T					
2 Citibank, N.A. Checking	A	Interest	J	T					
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature \_\_\_\_\_



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544