


UNITED STATES COURT OF APPEALS
DISTRICT OF COLUMBIA CIRCUIT
333 CONSTITUTION AVE., N.W.
WASHINGTON, DC 20001-2866

STEPHEN F. WILLIAMS
UNITED STATES SENIOR CIRCUIT JUDGE

(202) 216-7210


August 14, 2007

Honorable Ortrise D. Smith
~~Chairman~~
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Dear Judge Smith:

The additional information requested by your letter of August 10 is as follows:

1. In Part VII, page 5, line 28, the mutual fund company is Vanguard.
2. on Part VII, page 5, lines 29-33, the mutual fund company is Vanguard.

Sincerely,


Stephen F. Williams

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**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Stephen F	2. Court or Organization U.S. Court of Appeals, DC Ci	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior Sta	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Court of Appeals 333 Constitution Avenue, NW Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	DC Public Schools
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. University of Colorado	Transportation, food and lodging to participate in Silicon Flatirons Program, University of Colorado, February 18-20, 2006, Boulder, CO.
2.	
3.	
4.	
5.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust # 1 Chase Manhattan (J.P. Morgan mutual funds) N.1	B	Int/Div	L	T					
2. Dreyfus S&P 500 Fund	C	Dividend	M	T					
3. Dreyfus S&P 500 Fund	D	Dividend	O	T					
4. Fidelity OTC (IRA)	B	Dividend	M	T					
5. Fidelity OTC (IRA)	B	Dividend	M	T					
6. Vanguard Index 500	C	Dividend	N	T					
7. Vanguard Prime MM Fund	A	Dividend	J	T					
8. Vanguard Tax-Mgd Gr.&Inc.	B	Dividend	L	T					
9. Vanguard Index 500	D	Dividend	O	T					
10. Vanguard Mid-Cap Index	D	Dividend	N	T					
11. Vanguard Prime MM Fund	A	Dividend	J	T					
12. Vanguard Mid-Cap Index	C	Dividend	N	T					
13. Vanguard Selected Value	D	Dividend	M	T					
14. Vanguard U.S. Value	C	Dividend	M	T					
15. Vanguard Selected Value	D	Dividend	M	T					
16. Vanguard U.S. Value	C	Dividend	M	T					
17. Boulder, CO	A	Rent	O	W					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 3. Value Method Codes P3=\$25,000,001 - \$50,000,000 R=Cost (Real Estate Only) P4=More than \$50,000,000 T=Cash Market
 (See Column C2) Q=Appraisal S=Assessment
 U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PNC Bank-successor to Riggs	A	Interest	K	T					
19. TIAA CREF Ret. Annuities	D	Interest	P1	T					
20. Nestle	A	Dividend	K	T					
21. Proctor & Gamble	A	Dividend	K	T	Char. Gift	12/4	J		
22. Questar	A	Dividend	K	T					
23. Bank of America	B	Dividend	K	T					
24. Schering Plough	A	Dividend	K	T					
25. Trust No. 2, BNY (Hamilton Mutual Funds.) N. 2.	B	Dividend	L	T					
26. Dreyfuss Int'l MM Fund	A	Dividend	J	T					
27. Ishares Goldman Sachs Nat Res. Index Fund	A	Dividend	J	T					
28. Prime Money Market N. 3	B	Dividend	K	T					
29. Growth Index	A	Dividend	K	T					
30. 500 Index	C	Dividend	M	T					
31. Mid-Cap Index	B	Dividend	M	T					
32. Pacific Stock Index	B	Dividend	L	T					
33. Total International Stock Index	B	Dividend	M	T					
34. Vanguard Strategic Equity	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

Name of Person Reporting Williams, Stephen F	Date of Report 05/15/2007
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. This is a trust established by my [REDACTED] of which I am a [REDACTED]
2. This is a testamentary trust established by my [REDACTED] of which I am the [REDACTED] and has the assets on this line and the next two lines.
3. The mutual funds listed in this item and the next six items are assets held by a testamentary trust established by my [REDACTED] of which I am the [REDACTED]

[REDACTED]

[REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/14/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544