AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BRORBY, WADE	**2. Court or Organization** Tenth Circuit	**3. Date of Report** 04/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Senior	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address P.O. Box 1028 Cheyenne, WY 82003	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**______________ **Date**__________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BRORBY, WADE	04/11/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	11/25/10	Prudential Insurance - Policy Dividend - See Part VIII
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
BRORBY, WADE	04/11/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BRORBY, WADE	04/11/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	IRA #1:	E	Int./Div.	N	T					
2.	--Acadian Emerging Markets Portfolio (AEMGX)					Sold	06/11/10	J		
3.	--American Century Mid-Cap Value Fund (ACMVX)					Buy	10/14/10	J		
4.	--Artio Int'l Equity Fund (BJBIX)					Sold	10/14/10	K		
5.	--Baron Small Cap Fund (BSCFX)					Buy	10/14/10	J		
6.	--Dodge & Cox Intl Stock Fund (DODFX)									
7.	--Dreyfus Bond Mkt. Index Basic Shares (DBIRX)					Buy	01/29/10	K		
8.	--Energy Select Sector SPDR Fund (XLE)					Buy	06/16/10	J		
9.	--Federated Total Ret'n Bond (FTRFX)									
10.	--First Nat'l ISE Revere Nat. Gas (FCG)					Buy	06/16/10	J		
11.	--Ishares TR Barclays Agg. Bond Fund (AGG)					Sold	01/29/10	K	B	
12.	--Ishares Trust S & P 500 Index Fund (IVV)									
13.	--Loomis Sayles Bond Fund (LSBRX)					Sold	10/14/10	K	A	
14.	--Manning & Napier World Oppty. (EXWAX)									
15.	--Matthews Pacific Tiger Fund (MAPTX)					Buy	10/14/10	J		
16.	--MFS Int'l New Discovery (MIDAX)					Buy	10/14/10	J		
17.	--Oppenheimer Developing Mkts. (ODMAX)					Buy	10/14/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B=$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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BRORBY, WADE	04/11/2011

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Parnassus Equity Income Fund (PRBLX)									
19. --Pimco Foreign Bond Fund (PFODX)					Buy	10/14/10	J		
20. --Pimco Total Return Fund Class D (PTTDX)									
21. --Royce Pennsylvania (PENNX)					Sold	10/14/10	J	B	
22. --Royce TR Fund (RYTRX)									
23. --Schwab Cash Reserves (SWSXX) ** (X)									
24. --Sector SPDR Financial Select Shares (XLF)					Buy	06/16/10	J		
25.					Sold	10/18/10	J	A	
26. --Sector SPDR Tech Select Shares (XLK)									
27. --SPDR S& P Div. ETF (SDY)					Sold (part)	06/11/10	K	C	
28. --Templeton Global Bond Fund (TPICZ)					Buy	10/18/10	J		
29. --UMB Scout International Fund (UMBWX)									
30. TRUST #1:	E	Int./Div.	O	T					
31. --American Century Tax Free Bd. Inv. (TWTIX)									
32. --Davis NY Venture Fd (NYVTX)					Sold (part)	01/19/10	J	B	
33. --Ishares Trust S& P 500 (IVV)					Sold (part)	01/19/10	J	A	
34.					Buy (add'l)	10/12/10	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. --Laudus Growth Inv. U.S. Lg. Cap Growth Fd. (LGILX)									
36. --Oppenheimer Int'l Growth (OIGAX)					Sold	10/14/10	K	C	
37. --Parnassus Equ. Inc. Fd. (PRBLX)									
38. --Schwab Muni Money Fund (SWTXX)									
39. --Schwab Muni Money Fund Sweep (SWXXX) **									
40. --Sector SPDR Tech. Select Shares (XLK)									
41. --Vanguard Interterm Tax Exempt Fund (VWITX)									
42. --Vanguard Short Term Tax Ex. Fd. Inv. Shares (VWSTX)					Buy (add'l)	10/14/10	K		
43. --Vanguard Small Cap (VB)									
44. --Wells Fargo Advantage Bd. (STSMX)									
45. IRA #2:	A	Int./Div.	K	T					
46. --Dreyfus Bond Mkt. Index Basic Shares (DBIRX)					Buy	01/29/10	J		
47. --Gabelli Small Cap Growth Fd. (GABSX)					Sold	01/27/10	J	B	
48. --Ishares TR Barclays Agg. Bond Fund (AGG)					Sold	01/29/10	J	A	
49. --Schwab Cash Reserves Sweep (SWSXX) **									
50. --UMB Scout Int'l Fund (UMBWX)					Sold (part)	10/14/10	J		
51. --Vanguard Inflation Prot. Sec. Fund (VIPSX)					Buy	01/28/10	J		

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52. TRUST #2:	A	Dividend	J	T					
53. --Schwab Cash Reserves Sweep (SWSXX) **									
54. Bankers Trust (Bank Accounts)	A	Interest	J	T	Open	04/02/10	J		
55. Campbell County Abstract (Stock)	D	Dividend	K	W					
56. Campbell County Oil Royalty	D	Royalty	J	W					
57. Clipper Fund	A	Dividend	J	T					
58. Federal Homeowner Lending Mortgage	A	Interest	J	T					
59. Federal Home Loan Bank (Notes)	B	Dividend	K	T					
60. Federal National Mortgage	A	Interest	K	T					
61. First National Bank of Gillette (Various Bank Accounts)	A	Interest	L	T					
62. Jensen Portfolio (Fund)	A	Dividend	K	T					
63. Peoria, AZ (Bond)	A	Interest	K	T					
64. Prudential Insurance Co. (Stock)	A	Dividend	J	T					
65. Rainier Small/Mid Cap Equity Fund (RIMSX) (See Section VIII)	A	Dividend	J	T					
66. Rydex Technology Fund	A	Dividend	J	T					
67. Wells Fargo (Various Bank Accounts)	A	Interest			Closed	05/01/10	L		
68. Wyo. Bank & Trust (Various Accounts)	B	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part III - [redacted] as trustee, owns a whole life policy insuring my life. The insurer is Prudential Insurance Company. As this policy is not held as an investment, the policy income received is reported under Part III.B (Non-Investment Income).

2. Part VII - Most of our investments are managed by Charles Schwab & Co. under a service described as Schwab Private Client.

3. Part VII - I list ownership of most of my assets under Aggregate Ownership Arrangements. All assets not owned under such an arrangement are listed separately.

4. Part VII - The funds designated with ** are merely holding funds. It should be noted that when income is received from a particular investment it is placed in one these Schwab "sweep" funds. When new investments are purchased, funds are taken from one of these funds to pay for the new investment. These funds are the (1) Schwab Muni Money Fund Sweep (SWXXX); and (2) Schwab Cash Reserves Sweep Fund (SWSXX).

5. Part VII - Where possible I have included the commonly known stock symbols and stock names.

6. Part VII - Under IRA #1, the 2009 Report should have reflected continued ownership of the Schwab Cash Reserves Sweep Fund (SWSXX) as it was only partially sold in 2008, rather than fully sold as reported in Part VIII of the 2009 Report. The Value Code of K and no gain reported in Section VIII of the 2009 Report are correct for the partial sale which took place on 12/23/08.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WADE BRORBY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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