

## FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

<p>1. Person Reporting (Last name, First name, Middle initial) Feinberg, Wilfred</p>	<p>2. Court or Organization US Ct of Appeals, 2nd Circuit</p>	<p>3. Date of Report 5/6/2004</p>
<p>4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) US Circuit Judge Senior Status</p>	<p>5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial      <input checked="" type="radio"/> Annual      <input type="radio"/> Final</p>	<p>6. Reporting Period 1/1/2003 to 12/31/2003</p>
<p>7. Chambers or Office Address US Ct of Appeals, 2nd Circuit US Courthouse, 40 Foley Square New York, NY 10007</p>	<p>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____</p>	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Board of Advisors, Columbia Law School Alumni Association, Inc.
2. Member	Board of Visitors of Columbia Law School, Emeritus
3. Member	Advisory Board of the National Law Center for Inter-American Free Trade
4. Member	Advisory Committee of the Judiciary Leadership Development Council

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Feinberg, Wilfred

Date of Report

5/6/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	12/03	Lower Manhattan Development Corporation (LMDC) 9/11 Grant	3600

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	12/03	Fashion Consultant Self-Employed

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Feinberg, Wilfred

Date of Report

5/6/2004

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

**FINANCIAL DISCLOSURE REPORT**

**Page 1 of 1**

Name of Person Reporting  
Feinberg, Wilfred

Date of Report  
5/6/2004

**VI. INVESTMENTS and TRUSTS** - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions)									
1. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
2. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
3. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
4. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
5. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
6. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
7. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
8. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
9. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
10. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
11. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
12. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					
13. [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000 P2 = \$25,000,001-\$50,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

5/6/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS****Mail signed original and 3 additional copies to:**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544