

Update to Financial Disclosure Form  
Sandra Ikuta, June 28, 2007

1. Please add the following information to Section VII, Investments and Trusts:

Line #	A. Asset	D.(1) Type	D.(2) Date month day	D.(3) Value code
14	IRA#2			
15	-Columbia Marsico 21st Century Fund	buy	8-28	M
16	-John Hancock Classic Value Fund A	buy	8-23	L
17	-Oppenheimer Intl Bond Class A	buy	8-28	L
18	-Oppenheimer Global Opportunities Fund	buy	8-25	L
19	-Van Kampen Small Cap Value FD Class A	buy	8-28	M
20	-Van Kampen Small Cap Growth Fund	buy	8-28	L
21	-Pershing Government Account (Broker = First Allied Securities Inc.)	open	7-24	N
33	-Brokerage Money Market Account (Broker = First Allied Securities Inc.)	open	6-29	K

2. Part VII, line 47, lists Robert Mondavi Corporation Class A common stock. This asset was inadvertently omitted from my Financial Disclosure Report – Nomination Filing, filed on February 9, 2006.

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

<b>1. Person Reporting (last name, first, middle initial)</b>  Ikuta, Sandra S	<b>2. Court or Organization</b>  Ninth Circuit	<b>3. Date of Report</b>  06/25/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Circuit Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <hr/> <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b>  U.S. Court of Appeals - Ninth 125 South Grand Avenue Pasadena, California 91105	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Deputy Secretary and General Counsel (until July 8, 2006)	California Resources Agency
2. Alternate Director (until July 8, 2006)	Pacific Forest and Watershed Lands Stewardship Council
3. Trustee #1	Trust #1
4. Trustee #2	Trust #2
5. Executor	Estate #1

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	O'Melveny & Myers Defined Benefit Plan with former law firm, no control
2. 2004	CalPERS California Public Employee Retirement System (until July 8, 2006)
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <small>(yours, not spouse's)</small>
1. 2006	Wages, State of California (until 7/8/06)	\$ 46,044
2. 11.30.06	Steven Cruz, down payment on residence (forfeited)	\$ 3,750
3.		
4.		
5.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	self-employment earnings, freelance photographer
2. 11.30.06	Steven Cruz, down payment on residence (forfeited)
3.	
4.	
5.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Mortgage on rental property, Agoura, California	M
2.	Citimortgage	Mortgage on rental property, West Los Angeles, California	M
3.	Chase Home Finance LLC	Mortgage on rental property, Los Angeles, California	M
4.	_____	_____	_____
5.	_____	_____	_____

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Accounts (cash equivalent)	B	Interest	K	T					
2. Bank of America	A	Dividend	J	T					
3. Trust 1									
4. -Rental Property, Agoura, California	E	Rent	O	W					
5. -50% interest in rental property, Venice, California	E	Rent	N	W					
6. -50% interest in rental property, W. Los Angeles, California	D	Rent	N	W					
7. -60% interest in rental property, Los Angeles, California	E	Rent	O	W					
8. -Investment property, Kulakai, Hawaii		None	N	W					
9. IRA					closed	7.10			
10. -FEQTX Fid. Equity Income II	B	Dividend			sold	7.10	L	A	
11. -FTHR X Fid. Intermed Bond	C	Dividend			sold	7.10	L	A	
12. -FUSEX Spartan US Eq Index	A	Dividend			sold	7.10	L	A	
13. OBTS SEI Stable Asset	D	Dividend			sold	7.10	M	A	
14. IRA#2	C	Dividend	O	T					
15. -Columbia Marsico 21st Century Fund									
16. -John Hancock Classic Value Fund Class A									
17. -Oppenheimer Intl Bond Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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18. -Oppenheimer Global Opportunities Fund									
19. -Van Kampen Small Cap Value FD Class A									
20. -Van Kampen Small Cap Growth Fund									
21. -Pershing Government Account									
22. Brokerage Account #1									
23. -500 Index Fund Inv	A	Dividend	K	T					
24. -Small-Cap Index Fund Inv	A	Dividend	K	T					
25. American Funds VCSP/College America (529 Plan)	C	Dividend	L	T					
26. -Growth Fund of America 529A									
27. -The Investment Company of America - 529A									
28. -Washington Mutual Investors Fund-529A									
29. -The Bond Fund of America 529A									
30. Brokerage Account #2									
31. -Federated Capital Reserves	A	Dividend			closed	6.27	K		
32. -Alliancebernstein Capital Resv	A	Dividend			closed	1.1	J		
33. -Brokerage Money Market Account	B	Dividend	N	T					
34. -Eaton Vance Tax Managed Growth Fund		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Class B Open End									
35. -MFS Capital Opportunities Fund Class B Open End Fund		None	J	T					
36. -New Perspective Fund Class B	A	Dividend	J	T					
37. -Putnam Voyager Fund Class B		None	J	T					
38. Flexible Variable Universal Life Ins Incentive Life									
39. -Eq/Alliance Common Stock	A	Dividend	K	T					
40. -Eq/Bernstein Diversified Value	A	Dividend	K	T					
41. -Eq/Equity 500 Index	A	Dividend	K	T					
42. -Eq/Fi Small Mid Cap Value	A	Dividend	J	T					
43. -Eq/Mercury Bsic Value Equity	A	Distribution	K	T					
44. -Eq/Mercury International Value	A	Dividend	J	T					
45. -Eq/MFS Emerging Growth Companies	A	Dividend	J	T					
46. Series EE U.S. Savings Bonds	A	Interest	J	T					
47. Robert Mondavi Corporation Class A common stock	A	Dividend			surrendered	6.28	J		
48. 401K and 457 Gartmor InvDes Agg Fd SC (California Savings P)	A	Dividend			closed	8.01	L		
49. American General Life insurance whole life	A	Interest			matured	2.1	J		
50. Estate #1	E	Int./Div.	O	T					

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51. --TD Bank USA NA Money Market Account									
52. --Vanguard Index Trust 500 Portfolio (50% interest)									
53. --Vanguard Index Trust-Small Cap Stock Fund									
54. --U.S. Treasury Notes					redeemed	10.10	N	A	
55. --Lehman Bros Bk FSB Wilmington Del C/D					sold	5.25	L	A	
56. --United Cmty Bks Inc Blairsville Ga C/D					sold	5.25	L	A	
57. --Fremont Invt & LN Calif C/D					sold	8.15	L	A	
58. --Anchor Mut Svgs Bk Aberdeen Wash C/D					sold	8.17	L	A	
59. --Providian Natl Bk Tilton New Hampshire c/d									
60. --Israel Disc Bk NY, NY C/D Fedl Insd to 100 M Act									
61. --Oriental Bk & Tr Hato Rey PR C/D									
62. --Washington Mut Bk FA Stockton CA C/D									

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part I, Line 4: Trust held [REDACTED] which was sold.

Part IIIA, Line 2 and Part IIIB, Line 2: My [REDACTED] and I each have a 1/2 community property interest in the \$3,750.

Part VI, Line 2: I have reported a value code for the entire mortgage amount, although my [REDACTED] and I have only a 50% ownership interest.

Part VI, Line 3: I have reported a value code for the entire mortgage amount, although my [REDACTED] and I have only a 60% ownership interest.

Part VII, Lines 5 and 6: I have reported the gross value of the 50% interest owned by my [REDACTED] and me.

Part VII, Line 7: I have reported the gross value of the 60% interest owned by my [REDACTED] and me. As of December 9, 2006, this asset is owned but no longer reportable.

Part VII, Line 32: This general brokerage money market account was closed in 2005 and replaced by Federated Capital Reserves.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

6/25/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544