AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ikuta, Sandra S.	Ninth Circuit	7/6/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Court of Appeals - Ninth 125 South Grand Avenue Pasadena, California 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer________ Date______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee #1	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1997	O'Melveny & Myers Defined Benefit Plan with former law firm, no control
2.	
3.	

Name of Person Reporting	Date of Report
Ikuta, Sandra S.	7/6/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

[✓] NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2008	self-employment earnings, freelance photographer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[ ] NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Patricia Frobes	8/28 - 9/2	Tuscon, Arizona	vacation	food, lodging and entertainment
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Ikuta, Sandra S.	7/6/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

[✓] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

[-] NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Bank of America	Mortgage on rental property, Agoura, California	M
2. Citimortgage	Mortgage on rental property, West Los Angeles, California	M
3. Union Bank	Mortgage on rental property, Santa Monica, California	O
4.		
5.		

Name of Person Reporting	Date of Report
Ikuta, Sandra S.	7/6/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Accounts (cash equivalent)	B	Interest	L	T					
2. Bank of America	A	Dividend	K	T					
3. Trust 1									
4. -Rental Property, Agoura, California	E	Rent	O	W					
5. -50% interest in rental property, Venice, Cal ifornia	E	Rent	O	W					
6. -50% interest in rental property, W. Los A ngeles, California	D	Rent	N	W					
7. -Investment property, Kulakai, Hawaii		None	N	W					
8. 33% interest in rental property, Santa Mon ica, California		None	M	R	Buy	10/14	O		Hilda S. Glass, Succe ssor
9. IRA#2	D	Dividend	N	T					
10. -Columbia FDS SER Tr 21st Century Fd Cl A									
11. -John Hancock Classic Value Fund Class A									
12. -Oppenheimer Intl Bond Fund Class A									
13. -Oppenheimer Global Opportunities Fund									
14. -Van Kampen Small Cap Value FD Class A									
15. -Van Kampen Equity Tr Small Cap Cl A									
16. -Pershing Government Account									
17. Brokerage Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -500 Index Fund Inv	A	Dividend	K	T					
19. -Small-Cap Index Fund Inv	A	Dividend	K	T					
20. American Funds VCSP/College America	B	Dividend	L	T					
21. -Growth Fund of America 529A									
22. -The Investment Company of America - 529A									
23. -Washington Mutual Investors Fund-529A									
24. -The Bond Fund of America 529A									
25. Brokerage Account #2									
26. -First Allied Securities, Inc. Money Market Account	B	Dividend	L	T					
27. -Eaton Vance Tax Managed Growth Fund 1.1 Class A	A	Dividend	J	T					
28. -MFS Core Equity Fund Class A	A	Dividend	J	T					
29. -American Funds New Perspective Fund Class A	A	Distribution	J	T					
30. -Putnam Voyager Fund Class B		None	J	T					
31. -Oppenheimer California Muni Class A Open End Fund	E	Int./Div.	M	T	Sold (part)	12/29	J		
32. -Van Kampen High Yield Muni Cl A	E	Interest	L	T					
33. -Van Kampen Amer Franchise Fund Class A	B	Dividend	M	T					
34. -Oppenheimer Intl Diversified FD CL A	C	Distribution	M	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Fidelity Advisor Leveraged Co. Stock CL t	A	Dividend	L	T	Buy	01/15	M		
36. -Fidelity Advisors New Insights Fd Cl T	A	Distribution	L	T	Buy	01/15	M		
37. -Fidelity Advisors Small Cap Fund Class T	C	Distribution	L	T	Buy	01/15	M		
38. -Fidelity Advisors Small Cap Fund Class T					Buy (add'l)	07/25	J		
39. Flexible Variable Universal Life Ins Incentive Life	B	Dividend	L	T					
40. -Eq/AllBrnstn Common Stock									
41. -EQ/Large Cap Value Plus									
42. -Eq/Equity 500 Index									
43. -EQ Mid Cap Value PLUS									
44. -Eq/BlackRock Bsic Value Equity									
45. -Eq/Black Rock International Value									
46. -EQ/Large Cap Growth Plus									
47. Series EE U.S. Savings Bonds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VI, Line 2: I have reported a value code for the entire mortgage amount, although I have only a 50% ownership interest.
Part VI, Line 3: I have reported a value code for the entire mortgage amount, although I have only a 33% ownership interest
Part VII, Lines 5 and 6: I have reported the gross value of my 50% interest.
Part VII, Line 8: I have reported the gross value of my 33% interest. The full name of the seller is: Hilda S. Glass, Successor Trustee of the Weiler Revocable Trust dated 3/13/1-989
Part VII, Line 10: formerly Columbia Marsico 21st Century Fund (name change)
Part VII, Line 15: formerly Van Kampen Small Cap Growth Fund (name change)
Part VII, Line 27: formerly Eaton Vance Tax Managed Growth Fund Class B Open End (name change)
Part VII, Line 28: formerly MFS Core Equity Fund Class B (name change)
Part VII, Line 29: formerly American Funds New Perspective Fund Class B (name change)
Part VII, Line 41: formerly Eq/AllBernstein Value (name change)
Part VII, Line 43: formerly MarketPLUS Mid Cap Value (name change)
Part VII, Line 46: formerly -MarketPLUS Large Cap Growth (name change)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544