

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

| | | |
|---|--|--|
| 1. Person Reporting (last name, first, middle initial) KAGAN, ELENA | 2. Court or Organization SUPREME COURT | 3. Date of Report 05/4/2012 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE | 5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <hr/> 5b. <input type="checkbox"/> Amended Report | 6. Reporting Period 01/01/2011 to 12/31/2011 |
| 7. Chambers or Office Address 1 FIRST STREET NE WASHINGTON, D.C. 20543 | | |

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

| | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|---------------------------|------------------------------------|
| 1. | Visiting Professor 9/2011 | Harvard Law School |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

| | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. | | |
| 2. | | |
| 3. | | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u> (yours, not spouse's) |
|-------------|---|--|
| 1. 2011 | President and Fellows of Harvard College - Teaching | \$15,000.00 |
| 2. | | |
| 3. | | |
| 4. | | |

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. | |
| 2. | |
| 3. | |
| 4. | |

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

| | <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|--|---------------------|-----------------|---------------------|-------------------------------|
| 1. | Harvard Law School | April 8-10, 2011 | Cambridge, MA | Speech | Airfare, hotel, meals |
| 2. | University of New Mexico School of Law | May 13-14, 2011 | Albuquerque, NM | Commencement Speech | Airfare, hotel, meals |
| 3. | New York University Law School | July 11-15, 2011 | Argentina | Conference | Airfare, hotel, meals |
| 4. | Aspen Institute | July 29-Aug 4, 2011 | Aspen, CO | Speech, Conference | Airfare, hotel, meals |
| 5. | Harvard Law School | Sept 4-11, 2011 | Cambridge, MA | Teaching | Airfare, hotel, meals |
| 6. | National Conference of Bankruptcy Judges | Oct 14-15, 2011 | Tampa, FL | Speech | Airfare, hotel, meals |

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

| | | | | |
|---|-----------------|------------------|--------|-----------------------|
| 7. American College of Trial Lawyers | Oct 20-23, 2011 | Palm Springs, CA | Speech | Airfare, hotel, meals |
|---|-----------------|------------------|--------|-----------------------|

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

| | |
|--|-----------------------------|
| Name of Person Reporting KAGAN, ELENA | Date of Report 05/4/2012 |
|--|-----------------------------|

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

FINANCIAL DISCLOSURE REPORT

| | |
|---|-----------------------------|
| Name of Person Reporting KAGAN, ELENA | Date of Report 05/4/2012 |
|---|-----------------------------|

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----|---|---|--|---|------------------------------------|--|------------------|--------------------------|-------------------------|--|
| | | (1) | (2) | (1) | (2) | (1) | (2) | (3) | (4) | (5) |
| | | Amount Code 1 (A-I) | Type (e.g., div., rent, or int.) | Value Code 2 (J-P) | Value Method Code 3 (Q-W) | Type (e.g., buy, sell, redemption) | Date mm/dd/yy | Value Code 2 (J-P) | Gain Code 1 (A-H) | Identity of buyer/seller (if private transaction) |
| 1. | CAMBRIDGE SAVINGS BANK ACCT | A | Interest | | | Merged (with line 2) | 7/29/11 | N | | |
| 2. | JUSTICE FEDERAL CREDIT UNION | A | Interest | M | T | | | | | |
| 3. | FRANKLIN TEMPLETON MUTUAL BEACON FUND | B | Dividend | K | T | | | | | |
| 4. | VANGUARD PRIME MONEY MKT FUND | A | Dividend | K | T | | | | | |
| 5. | VANGUARD TOTAL STOCK MKT INDEX ADM | C | Dividend | M | T | | | | | |
| 6. | UNIV. OF CHICAGO RET - TIAA TRADITIONAL | B | Interest | K | T | | | | | |
| 7. | UNIV. OF CHICAGO RET - CREF STOCK | | None | K | T | | | | | |
| 8. | UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY | B | Interest | K | T | | | | | |
| 9. | UNIV. OF CHICAGO RET. - VANGUARD INT-TERM BOND | B | Dividend | K | T | | | | | |
| 10. | UNIV. OF CHICAGO RET. - VANGUARD WINDSOR FUND | A | Dividend | K | T | | | | | |
| 11. | IRA # 1 - FIDELITY MAGELLAN FUND | B | Dividend | L | T | | | | | |
| 12. | IRA # 1 - FIDELITY PURITAN FUND | B | Dividend | L | T | | | | | |
| 13. | IRA # 1 - FIDELITY INTERMED BOND FUND | A | Dividend | L | T | | | | | |
| 14. | IRA # 2 - VANGUARD TOTAL BOND MKT INDEX | A | Dividend | K | T | | | | | |
| 15. | IRA # 2 - VANGUARD FED MONEY MKT FUND | A | Dividend | J | T | | | | | |
| 16. | IRA # 2 - VANGUARD WELLESLEY INC FUND | B | Dividend | K | T | | | | | |
| 17. | IRA # 2 - VANGUARD 500INDEX FUND | A | Dividend | K | T | | | | | |

| | | | | | |
|--|---|--|--|--|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H = \$5,001 - \$15,000 | D = \$5,001 - \$15,000 I = \$15,001 - \$50,000 | E = \$15,001 - \$50,000 J = \$50,001 - \$100,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes (See Column C2) | Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

| | |
|---|-----------------------------|
| Name of Person Reporting KAGAN, ELENA | Date of Report 05/4/2012 |
|---|-----------------------------|

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| | 18. IRA # 2 - VANGUARD INTL EXPLORER FUND | A | Dividend | J | T | | | | |
| 19. DREYFUS GNMA FUND - CLASS Z | A | Dividend | J | T | | | | | |
| 20. VANGUARD - GNMA FUND INVESTOR SHARES | A | Dividend | J | T | | | | | |
| 21. CHARLES SCHWAB MONEY MARKET ACCOUNT | A | Dividend | L | T | | | | | |
| 22. SCHWAB S&P 500 INDEX FUND | B | Dividend | L | T | | | | | |
| 23. | | | | | | | | | |
| 24. | | | | | | | | | |
| 25. | | | | | | | | | |
| 26. | | | | | | | | | |
| 27. | | | | | | | | | |
| 28. | | | | | | | | | |
| 29. | | | | | | | | | |
| 30. | | | | | | | | | |

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

| | |
|---------------------------------|-----------------------|
| Name of Person Reporting | Date of Report |
| KAGAN, ELENA | 05/4/2012 |

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

KAGAN, ELENA

Date of Report

05/4/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ELENA KAGAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544