

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) KATZ, MARVIN	2. Court or Organization U.S. DIST. CT. - ED PA	3. Date of Report 3/2/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, U.S. DIST. CT. (SENIOR)	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address  601 MARKET STREET PHILADELPHIA, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. *SEE ADDENDUM	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	**SEE ADDENDUM

RECEIVED  
2004 MAR -8 A 10:56  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

KATZ, MARVIN

Date of Report

3/2/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	12/31/03	Vanguard Star (IRA)	70,000.00
2.	12/31/03	Wachovia Bank (IRA)	10,000.00

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KATZ, MARVIN

Date of Report

3/2/2004

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

**FINANCIAL DISCLOSURE REPORT**  
**Page 1 of 1**

Name of Person Reporting  
**KATZ, MARVIN**

Date of Report  
 3/2/2004

**VII. INVESTMENTS and TRUSTS** - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions)									
1. Wachovia Bank, Successor to First Union Bank, Phila., PA	A	Interest	G	T					
2. Money Market Savings Account Merrill Lynch Government Fund,									
3. Inc., Boston, MA-Money Mkt Fund	D	Interest	M	T					
4. Specter & Katz, an Inactive Professional Association which									
5. has terminated all business activities, c/o Marvin Katz,									
6. 601 Market St., Phila., PA	A	None	J	T					
7. Specter & Katz, Pension and Profit Sharing Plans,									
8. now consist of Vanguard Star Fund, Valley Forge, PA,									
9. rolled over into I.R.A. on 11/15/02, The VanGuard									
10. Fiduciary Trust Co., custodian, Valley Forge, PA	G	Dividend	P1	T					
11. Bristol-Myers Squibb Company, Successor to Squibb Corp. -									
12. 1920 Shares, Common Stock	B	Dividend	L	T					
13. Wachovia Bank, Successor to First Union Bank, Phila., PA									
14. IRA Account (CD)	D	Interest	J	T					
15. United States Savings Bonds (Series E)	A	Interest	J	T					
16. SpaceLabs Medical, 20 Shares of Common Stock	A	None	J	T					
17. SonoSight, Inc. (spin off from ATL Ultrasound Inc.	A	None			Redeemed	2/28	J	J	
18. 4/6/98, 6 shares)									

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KATZ, MARVIN

Date of Report

3/2/2004

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

**\*I. POSITIONS:** I withdrew from Mesirov, Gelman, Jaffe, Cramer & Jaimeson effective 8/25/83. I resigned as a trustee under the Pension and Profit Sharing Plans of Specter & Katz and as Chairman and Director of Specter & Katz, an inactive professional association, on August 22, 1983.

**\*\*II. AGREEMENTS:** Commenced on 1/1/62 and restated effective 7/1/76. Specter & Katz, Arlen Specter, Marvin Katz and Kathleen M. Groetzinger; vested trust interests in Pension and Profit Sharing Plans of former employer Specter & Katz, Michael M. Baylson, Trustee, as restated 7/1/00 and rolled over into I.R.A. on 11/15/02, The Vanguard Fiduciary Trust Co., Custodian.

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

KATZ, MARVIN

Date of Report

3/2/2004

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. ...

Signature



Date

3/2/04

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS****Mail signed original and 3 additional copies to:**

**Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544**