

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

| | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial) Kozinski, Alex | 2. Court or Organization Ninth Circuit Court of Appeals | 3. Date of Report 05/17/2007 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active | 5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report | 6. Reporting Period 01/01/2006 to 12/31/2006 |
| 7. Chambers or Office Address 125 S. Grand Avenue Suite 200 Pasadena, CA 91105 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |
| <p align="center">IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p> | | |

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------------------|------------------------------------|
| 1. All Limited Partnerships | Reported in Section VII |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. | |
| 2. | |
| 3. | |

RECEIVED
 2007 JUN -4 A 10:33
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)**A. Filer's Non-Investment Income** NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u> (yours, not spouse's) |
|-------------|-------------------------------------------------------------|----------------------------------------|
| 1. 3/2 | Western State University College of Law - Lecture Fee | \$ 2,500 |
| 2. 4/3-5 | Michigan State - Lecture Fee | \$ 2,000 |
| 3. 4/5-7 | University of Michigan - Lecture Fee | \$ 5,000 |
| 4. 6/27 | Freedom School - Honorarium (donated to 501(c)(3)) | \$ 2,000 |
| 5. 9/15 | Chapman University School of Law - Lecture Fee | \$ 3,500 |
| 6. 9/19-22 | American University - Lecture Fee | \$ 2,740 |
| 7. 10/6-8 | State Bar of California - Honorarium (donated to 501(c)(3)) | \$ 5,000 |
| 8. 10/17-18 | Santa Clara University - Lecture Fee | \$ 3,000 |
| 9. 11/1-3 | DePaul University - Lecture Fee | \$ 3,500 |

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

 NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|---------------|---------------------------|
| 1. 01/1-12-31 | Self-employed as attorney |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE (No reportable reimbursements.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|--------------------------------------------------|----------------------------------------------|
| 1. Nat'l Association of Criminal Defense Lawyers | Jan 26-29 Travel & Lodging (Aspen, Colorado) |

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

| | |
|-----------------------------------------|-------------------------------------------------|
| 2. Federalist Society | Feb. 23-26 Travel & Lodging (New York, N.Y.) |
| 3. Fordham University | Mar 5-7 Travel & Lodging (New York, N.Y.) |
| 4. Association of Ski Defense Attorneys | Mar 11-15 Travel & Lodging (Big Sky, MT) |
| 5. New York Law School | Mar 18-20 Travel & Lodging (New York, N.Y.) |
| 6. Michigan State | April 3-5 Travel & Lodging (East Lansing, MI) |
| 7. University of Michigan | April 5-7 Travel & Lodging (Ann Arbor, MI) |
| 8. Boston University School of Law | April 20-21 Travel & Lodging (Boston, MA) |
| 9. New York University | April 28-30 Travel & Lodging (New York, N.Y.) |
| 10. University of Southern California | May 23 Travel (Mileage) |
| 11. Burton Awards | June 11-13 Travel & Lodging (Washington, D.C.) |
| 12. Assoc. of American Law Schjools | June 13-16 Travel & Lodging (Vancouver, CAN) |
| 13. Freedom School | June 27 Travel & Lodging (Dalls, TX) |
| 14. Federal Bar Assn. | Aug. 24-25 Travel & Lodging (Las Vegas, NV) |
| 15. American University | Sept. 19-22 Travel & Lodging (Washington, D.C.) |
| 16. DePaul University | Nov. 1-3 Travel & Lodging (Chicago, IL) |
| 17. State Bar of California | Oct. 6-8 Travel & Lodging (Monterey, CA) |
| 18. Santa Clara University | Oct. 17-18 Travel & Lodging (Santa Clara, CA) |

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. ██████████ CON EDISON | A | Dividend | N | T | | | | | |
| 2. ██████████ PUGET ENERGY | A | Dividend | J | T | | | | | |
| 3. RMA TAX FREE FUND, INC. | A | Interest | K | T | Sell/Buy | Var | J | | |
| 4. 10,000 REF CORP - BONDS | A | Interest | J | T | Sold | 4/15 | J | D | |
| 5. 10,000 REF CORP - BONDS | A | Interest | J | T | | | | | |
| 6. 10,000 REF CORP - BONDS | A | Interest | J | T | | | | | |
| 7. 10,000 REF CORP - BONDS | A | Interest | J | T | | | | | |
| 8. AMERICAN FUNDS CAPITAL INCOME BUILDER FUND | D | Dividend | L | T | Sell/Buy | Var | J | | |
| 9. ██████████ SANMINA-SCI | A | Dividend | J | T | | | | | |
| 10. ██████████ STANCORP | A | Interest | J | T | | | | | |
| 11. DREYFUS MUNI MONEY MARKET FUND | E | Interest | N | T | Sell/Buy | Var | K | | |
| 12. ██████████ WILLIAM BLAIR GROWTH FUND(BGFX) | A | Dividend | J | T | | | | | |
| 13. ██████████ COCA COLA (KO) | A | Dividend | J | T | | | | | |
| 14. ██████████ FIDELITY DEVONSHIRE FUND (FIUX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 15. ██████████ FIDELITY EQUITY INCOME FUND (FEQIX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 16. ██████████ FIDELITY EQUITY INCOME II FUND (FEQTX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 17. ██████████ FIDELITY LOW PRICED | A | Dividend | K | T | Reinvest | Var. | J | | |

| | | | | | |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000 H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes (See Column C2) | P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | P4 = More than \$50,000,000 S = Assessment W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| STOCK FUND(FLP SX) | | | | | | | | | |
| 18. █████ SCUDDER GROWTH & INCOME FUND(SCDGX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 19. █████ JANUS WORLDWIDE FUND(JAWWX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 20. █████ LONGLEAF PARTNERS FUND(LLSCX) | B | Dividend | K | T | Reinvest | Var. | J | | |
| 21. █████ MANAGERS INT'L EQUITY FUND(MGITX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 22. █████ WELLS FAGO FNDS MONTGOMERY EMERGING (MFFAX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 23. █████ WHITE OAK GROWTH FUND(WOGSX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 24. █████ ROYCE PENN MUTUAL FUND(PENNX) | A | Dividend | K | T | Reinvest | Var. | J | | |
| 25. █████ STONG HIGH YIELD FUND(STHYX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 26. █████ TORRAY FUND(TORYX) | A | Dividend | K | T | Reinvest | Var. | J | | |
| 27. INDIVIDUAL RETIREMENT ACCOUTS: | | | | | | | | | |
| 28. █████ AT&T CORP NEW(T) | A | Dividend | J | T | Merger | 11/25 | | | |
| 29. █████ COMCAST(CMCSA) | A | Dividend | J | T | | | | | |
| 30. █████ CHEVRONTEXACO CORP(CVX) | A | Dividend | J | T | | | | | |
| 31. █████ GENERAL MOTORS(GM) | A | Dividend | J | T | | | | | |
| 32. █████ DELPHI AUTOMOTIVE(DPHIQ) | A | Dividend | J | T | | | | | |
| 33. 50,000 CTF ACCR. TREAS | A | Interest | K | T | | | | | |

| | | | | | |
|--------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------|-----------------------------|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000 H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | P4 = More than \$50,000,000 |
| 3. Value Method Codes (See Column C2) | P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 34. SCHWAB MONEY MARKET FUND | A | Interest | J | T | Buy/Sell | Var | J | | |
| 35. [REDACTED] SCHWAB S&P 500 INDEX FUND(SWPIX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 36. [REDACTED] GOODYEAR TIRE(GT) | A | Dividend | J | T | | | | | |
| 37. [REDACTED] 3M(MMM) | A | Dividend | J | T | | | | | |
| 38. 30,000 CTF ACCR TREAS | A | Interest | K | T | | | | | |
| 39. 20,000 SEP. TRDG. REG. | A | Interest | K | T | | | | | |
| 40. SCHWAB MONEY MARKET FUND | A | Interest | J | T | Buy/Sell | Var. | J | | |
| 41. [REDACTED] SCHWAB TOTAL STK MKT-INV (SWTIX) | A | Interest | J | T | Reinvest | Var. | J | | |
| 42. [REDACTED] SPARTAN US EQUITY INDEX(FUSEX) | A | Dividend | K | T | Reinvest | Var. | J | | |
| 43. [REDACTED] DREYFUS SHORT INTERGOVERNMENT(DSIGX) | A | Dividend | J | T | Reinvest | Var. | J | | |
| 44. Fidelity Cash Reserves(FDRXX) | A | Interest | J | T | Buy/Sell | Var. | J | | |
| 45. DIRECTV GRP FUND | A | Dividend | K | T | | | | | |
| 46. DIRECTV EQUITY FUND | A | Dividend | K | T | | | | | |
| 47. NEWS CORP STOCK FUND | A | Dividend | J | T | | | | | |
| 48. DIRECTV BALANCED FUND | A | Dividend | J | T | | | | | |
| 49. DIRECTV FIXED INCOME | A | Dividend | J | T | | | | | |
| 50. LIMITED PARTNERSHIPS: | | | | | | | | | |

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 3. Value Method Codes P3=\$25,000,001 - \$50,000,000 R=Cost (Real Estate Only) P4=More than \$50,000,000 T=Cash Market
 (See Column C2) Q=Appraisal S=Assessment U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 51. (PTR) HISTORIC PRE. PROP. | A | Interest | J | U | | | | | |
| 52. (PTR) WINGATE HSG. II | A | Interest | J | U | | | | | |

| | | | | | |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other | C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated | D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Kozinski, Alex

Date of Report

05/17/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/25/07

NOTE: ANY
AND CRIMI

TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544