

AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kozinski, Alex	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 05/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 125 S. Grand Avenue Suite 200 Pasadena, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. All Limited Partnerships	Reported in Section VII
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income** NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. April 8-10	the Federalist Society, St. Thomas LS, William Mitchell LS, Minnesota (Lecture Fee)	\$1,500.00
2. Sept.17-19	Federal Bar Assn., Tampa, FLA (Lecture Fee)	\$2,000.00
3. Sept.17-19	Federal Bar Assn., Tampa, FLA (Donation to UCLA School of Law)	\$1,000.00
4. Sept.17-19	Federal Bar Assn., Tampa, FLA (Donation to Competitive Enterprise Ins t.)	\$1,000.00
5. Oct. 2-3	Washington State Bar Assn., Spokane WA (Lecture Fee)	\$2,000.00
6. Oct.19-21	Temple Univ. School of Law, Philadelphia, PA (Lecture Fee)	\$2,000.00
7. Nov.13-15	Washington & Lee Univ., Lexington VA (Lecture Fee)	\$5,000.00
8.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

 NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/1-12/31	Self-employed as attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

 NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Santa Barbara County Bar Assn.	Jan 11-13	Santa Barbara, CA	Speaking Engagement	Mileage & Lodging
2. American Constitution Society	Jan. 30-Feb.1	Philadelphia, PA	Speaking Engagement	Travel & Lodging

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3. Santa Clara University	Feb. 1-2	Mountain View, CA	Speaking Engagement	Travel & Lodging
4. The Federalist Society	Feb. 23	Simi Valley, CA	Speaking Engagement	Mileage
5. Stanford University	March 14	Stanford, CA	Speaking Engagement	Travel & Lodging
6. Nat'l Conf. of Special Ed ALJs, Hearing Officers & Mediators (UCSD)	March 14-16	San Diego, CA	Speaking Engagement	Mileage & Lodging
7. Federal Bar Association	March 14-16	San Diego, CA	Speaking Engagement	Mileage & Lodging
8. Intellectual Property Law Society and The Federalist Society (Univ. of Chicago LS)	April 7-8	Chicago, ILL	Speaking Engagement	Travel & Lodging
9. The Federalist Society (St. Thomas LS)	April 8-10	Minnesota	Speaking Engagement	Travel & Lodging
10AFL-CIO	May 18-20	Seattle, WA	Speaking Engagement	Travel & Lodging
11Federal Bar Assn.	Sept. 17-19	Tampa, FLA	Speaking Engagement	Travel & Lodging
12.Washington State Bar Assn.	Oct. 2-3	Spokane, WA	Speaking Engagement	Travel & Lodging
13Calif. First Amendment Coalition, Free Speech & Open Government Assembly	Oct.. 17-19	Berkeley, CA	Speaking Engagement	Travel & Lodging
14Temple Univ. LS	Oct. 19-21	Philadelphia, PA	Speaking Engagement	Travel & Lodging
15Washington & Lee Univ.	Nov. 13-15	Lexington, VA	Speaking Engagement	Travel & Lodging
16Federalist Society Lawyers Convention	Nov. 14-17	Washington, D.C.	Speaking Engagement	Travel & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ██████████ CON EDISON	A	Dividend	K	T					
2. ██████████ PUGET ENERGY	A	Dividend	J	T					
3. RMA TAX FREE FUND, INC.	A	Interest	L	T					
4. ██████████ REF CORP - BONDS	A	Interest			Redeemed	1/15	J	D	
5. ██████████ REF CORP - BONDS	A	Interest	J	T					
6. AMERICAN FUNDS CAPITAL INCOME BUILDER FUND	A	Dividend	L	T					
7. ██████████ SANMINA-SCI	A	Dividend	J	T					
8. ██████████ STANCORP	A	Interest	J	T					
9. DREYFUS MUNI MONEY MARKET F UND	D	Interest	N	T					
10. WILLIAM BLAIR GROWTH FUND(BGFI X)	A	Dividend	J	T					
11. ██████████ COCA COLA (KO)	A	Dividend	J	T					
12. FIDELITY DEVONSHIRE FUND (FIUIX)	A	Dividend	J	T					
13. FIDELITY EQUITY INCOME FUND (F EQIX)	A	Dividend	J	T					
14. FIDELITY EQUITY INCOME II FUND (F EQTX)	A	Dividend	J	T					
15. FIDELITY LOW PRICED STOCK FUN D(FLPSX)	A	Dividend	K	T					
16. SCUDDER GROWTH & INCOME FUN D(SCDGX)	A	Dividend	J	T					
17. SHS JANUS WORLDWIDE FUND(JA WWX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. LONGLEAF PARTNERS FUND(LLSCX)	B	Dividend	K	T					
19. MANAGERS INT'L EQUITY FUND(MGITX)	A	Dividend	J	T					
20. WELLS FAGO FNDS MONTGOMERY EMERGING (MFFAX)	A	Dividend	J	T					
21. WHITE OAK GROWTH FUND(WOGSX)	A	Dividend	J	T					
22. ROYCE PENN MUTUAL FUND(PENNX)	A	Dividend	K	T					
23. STONG HIGH YIELD FUND(STHYX)	A	Dividend	J	T					
24. TORRAY FUND(TORYX)	A	Dividend	J	T					
25. IRAs									
26. FIDELITY SPARTAN (FSTMX)	A	Dividend	L	T					
27. SCHWAB MONEY MARKET FUND	A	Interest	J	T					
28. SCHWAB S&P 500 INDEX FUND(SWPIX)	A	Dividend	J	T					
29. █████ SEP. TRDG. REG.	A	Interest	K	T					
30. VANGUARD LIFE STRATEGY GROWTH FUND (VASGX)	A	Dividend	K	T	Buy	11/1	K		
31. SCHWAB MONEY MARKET FUND(SWMXX)	A	Interest	J	T					
32. VANGUARD TOTAL STOCK MKT INDEX FD (VTSMX)	A	Dividend	K	T					
33. SCHWAB TOTAL STK MKT-INV (SWTIX)	A	Dividend	J	T					
34. SPARTAN US EQUITY INDEX(FUSEX)	A	Dividend	K	T					

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35. DREYFUS SHORT INTERGOVERNMENT(DSIGX)	A	Dividend	J	T					
36. Fidelity Cash Reserves(FDRXX)	A	Interest	J	T					
37. DIRECTV GRP FUND	A	Dividend	K	T					
38. DIRECTV EQUITY FUND	A	Dividend	K	T					
39. NEWS CORP STOCK FUND	A	Dividend	J	T					
40. DIRECTV BALANCED FUND	A	Dividend	J	T					
41. DIRECTV FIXED INCOME	A	Dividend	J	T					
42. LIMITED PARTNERSHIPS:									
43. (PTR) HISTORIC PRE. PROP.	A	Interest	J	U					
44. (PTR) WINGATE HSG. II	A	Interest	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544