AO 10 Rev. 1/2011	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kozinski, Alex	**2. Court or Organization** Ninth Circuit Court of Appeals	**3. Date of Report** 06/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address 125 S. Grand Avenue Suite 200 Pasadena, CA 91105	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**________ **Date**______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	All Limited Partnerships	Reported in Section VII
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2. Feb. 3-6	Vanderbilt Univ. Law School-Lecture Fee	$1,300.00
3. March 18	St. John's Law Review-Constitutional Law Panel	$2,000.00
4. Oct. 22	DePaul University	$2,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/1-12/31	Self-employed as attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Chancery Club of Los Angeles	Jan. 7	Los Angeles	Speaking Engagement	Lunch
2.	Vanderbilt Univ. Law School	Feb. 3-6	Nashville, TN	Speaking Engagement	Travel & Lodging
3.	Santa Cruz County Immigration Lawyers	Feb. 26	Santa Cruz	Speaking Engagement	Lunch
4.	Federal Bar Association	March 11	Los Angeles	Speaking Engagement	Lunch
5.	St. John's Law Review	March 17-19	Queens, NY	Speaking Engagement	Travel & Lodging
6.	Federal Bar Association	March 24	San Francisco, CA	Speaking Engagement	Lunch

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7.	American Academy of Appellate Layers	April 8-9	Phoenix, AZ	Speaking Engagement	Travel & Lodging
8.	Fordham Univ School of Law, Intellectual Propery, Media & Entertainment Law Journatl	April 23-27	New York, NY	Speaking Engagement	Travel & Lodging for Self and Spouse
9.	Univ. of Chicago Federalist Society	May 16-18	Chicago, IL	Speaking Engagement	Travel & Lodging
10.	University of Calif., Santa Barbara	May 20-21	Santa Barbara, CA	Speaking Engagement	Travel & Lodgins
11.	Los Angeles Federalist Society	June 4	Los Angeles, CA	Speaking Engagement	Dinner
12.	Association of Business Trial Lawyers	Sept. 27-28	San Diego, CA	Speaking Engagement	Travel & Lodging
13.	Los Angeles Copyright Society	Oct. 13	Los Angeles, CA	Speaking Engagement	Dinner
14.	DePaul University	Oct. 20-22	Chicago, IL	Speaking Engagement	Travel & Lodging
15.	Virgnia Law Review	Oct. 22-23	Charlottesville, VA	Speaking Engagement	Travel & Lodging
16.	Federalist Society-Univ. of Calif. Berkeley	Nov. 3	Berkeley, CA	Speaking Engagement	Lunch
17.	The Independent Institute	Dec. 9-10	Oakland, CA	Speaking Engagement	Travel & Lodging
18.	Los Angeles Association of Tax Counsel	Dec. 6	Los Angeles, CA	Speaking Engagement	Dinner

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	[redacted] SANMINA-SCI	A	Dividend	J	T					
2.	[redacted] STANCORP	A	Interest	J	T					
3.	DREYFUS MUNI MONEY MARKET FUND	D	Interest	N	T					
4.	[redacted] COCA COLA (KO)	A	Dividend	J	T					
5.	SCHWAB MONEY MARKET FUND	A	Interest	J	T					
6.	[redacted] SEP. TRDG. REG.	A	Interest			Redeemed	08/15/10	K	D	
7.	["SCHWAB MONEY MARKET FUND(SWMXX)	A	Interest	J	T					
8.	Fidelity Cash Reserves(FDRXX)	A	Interest	K	T	Buy (add'l)	04/09/10	K		
9.	TIP		None	J	T	Sold (part)	08/03/10	K	A	
10.	HYG		None	J	T	Sold (part)	08/03/10	K	A	
11.	GDX		None	M	T	Buy (add'l)	07/27/10	L		
12.						Buy (add'l)	08/30/10	L		
13.						Sold (part)	08/03/10	J	A	
14.	BND		None	J	T	Sold (part)	08/03/10	K	A	
15.	VWO		None	J	T	Sold (part)	08/03/10	J	A	
16.	VGK		None	J	T	Sold (part)	08/03/10	J	A	
17.	VPL		None	J	T	Sold (part)	08/03/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VNQ		None	J	T	Sold (part)	08/03/10	J	A	
19. VB		None	J	T	Buy (add'l)	03/08/10	L		
20.					Buy (add'l)	04/09/10	K		
21.					Sold (part)	07/27/10	L	A	
22.					Sold (part)	08/03/10	K	A	
23.					Sold (part)	08/06/10	K	A	
24. SCHO		None			Buy	08/12/10	L		
25.					Sold	08/26/10	L	A	
26. VTI		None	J	T	Sold (part)	08/02/10	K	A	
27. VNQ		None			Sold	07/27/10	L	D	
28. TIP		None			Sold	03/08/10	M		
29. IBOXX		None			Sold	04/09/10	K	A	
30. (PTR) HISTORIC PRE. PROP.	A	Interest	J	U					
31. (PTR) WINGATE HSG. II	A	Interest	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alex Kozinski

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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