

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Thapar, Amul R.	<b>2. Court or Organization</b>  U.S. District Court EDKY	<b>3. Date of Report</b>  05/10/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Active District Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  United States District Court 310 S. Main Street, Suite 444 London, Kentucky 40741	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. National Advisory Council	North American South Asian Bar Association
2. Adjunct Professor (agreed to teach a class in Spring 2009)	Chase College of Law
3.	
4.	
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. North American South Asian Law Student Association	2/16/2008 to 2/17/2008	Los Angeles, CA	Key note speaker	Travel, meals, hotel
2. Ohio State Asian Pacific Bar Association	4/23/2008	Columbus, OH	Speaker at Law School	Travel, lunch
3. South Asian Bar Association of Conn	6/11/2008 to 6/12/2008	New Haven, Conn.	Speaker at convention	Travel, meals, hotel
4. North American South Asian Bar Association	6/26/2008 to 6/28/2008	Chicago, IL	Speaker at convention	Travel, meals, hotel
5. Asian Pacific Bar Assoc. of Washington	10/2/2008 to 10/4/2008	Seattle, WA	spkr at conv. and law sch	Travel, meals, hotel

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6. Asian American Justice Center	10/8/2008 to 10/10/2008	Washington, DC	introduced award recip.	Travel, meals, hotel
7. North American Pacific Asian Bar Association	11/20/2008 to 11/23/2008	Seattle, WA	speaker at convention	Travel, meals, hotel

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Accounts	B	Interest	K	T					
2. Vanguard Prime Money Market	A	Interest	J	T					
3. TD Bank USA (Ameritrade Money Market)	A	Interest	K	T					
4. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
5. Paypal Inc. (Money Market)	A	Interest	J	T					
6. Autozone Inc. (AZO) Common		None	J	T					
7. Nuance Comm. Inc. (NUAN) Common		None	J	T					
8. Select Comfort Corp. (SCSS) Common		None	J	T					
9. Volcom Inc. (VLCM) Common		None	J	T					
10. Accenture Ltd (ACN) Common	A	Dividend	J	T					
11. Dawson Geophysical Co. (DWSN) Common		None	J	T					
12. Electro Scientific Indus. Inc. (ESIO) Common		None	J	T					
13. Hussman Strategic Growth Fund (HSGFX)	A	Dividend	J	T					
14. Lloyds TSB Group ADR (LYG)	A	Dividend	J	T					
15. Grupo Areoportuario Del Pac (PAC)	A	Dividend	J	T					
16. Universal Technical Institute (UTI) Common		None	J	T					
17. Zumiez Inc. (ZUMZ) Common		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

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18. Activdentity Corp. (ACTI) Common		None	J	T					
19. Chipotle Mexican Grill Inc. (CMG/B) Common		None	J	T					
20. Columbia Sportswear Co. (COLM) Common	A	Dividend	J	T					
21. Endurance Specialty Holdings (ENH) Common	A	Dividend	J	T					
22. Middelby Corp. (MIDD) Common		None	J	T					
23. Wexford TR Muhlenkamp FD (MUHLX)	A	Dividend	J	T					
24. New York & Company (NWy) Common		None	J	T					
25. Portfolio Recovery Assoc. (PRAA) Common		None	J	T					
26. RC2 Corporation (RCRC) Common		None	J	T					
27. Sun Hydraulics Corp. (SNHY)	A	Dividend	J	T					
28. Fannie Mae (FNM) Common	A	Dividend	J	T					
29. Home Depot Inc. (HD) Common	A	Dividend	J	T					
30. Montpelier Re Holdings Ltd (MRH) Common	A	Dividend	J	T					
31. Neenah Paper Inc. (NP) Common	A	Dividend			Closed	3/21	J	A	
32. Sanderson Farms Inc. (SAFM) Common	A	Dividend	J	T					
33. United Fire & Casualty Company (UFCS) Common	A	Dividend	J	T					
34. Berkshire Hathaway Inc. Del Class B (BRK.B) Common		None	J	T					

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35. Celsstar Corp. (CLST) Common		None	J	T					
36. Cryptologic Inc. (CRYP) Common	A	Dividend	J	T					
37. Flamel Tech Spon ADR (FLML)		None	J	T					
38. Fording Canadian Coal Trust (FDG) Common	A	Dividend			Closed	10/31	J	B	
39. Freightcar America Inc. (RAIL) Common	A	Dividend	J	T					
40. Intel Corp. (INTC) Common	A	Dividend	J	T					
41. Markel Corp.		None	J	T	Buy	10/9	J		
42. Microsoft Corp. (MSFT) Common	A	Dividend	J	T					
43. PW Eagle Inc. (PWEI) Common	A	Dividend	J	T					
44. Buffalo Wild Wings (BWLD) Common		None	J	T					
45. CTRIP.com International (CTRP) Common	A	Dividend	J	T					
46. Formfactor Inc. (FORM) Common		None	J	T					
47. Inventiv Health Inc. (VTIV) Common		None	J	T					
48. Rofin-Sinar Technologies Inc. (RSTI) Common		None	J	T					
49. Unico Amern Corp. (UNAM) Common		None	J	T					
50. United Health Group Inc. (UNH) Common	A	Dividend	J	T					
51. Advance Cash America (AEA) Common	A	Dividend			Closed	10/13	J		

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52. Altria Group Inc. (MO) Common	A	Dividend	J	T					
53. Anheuser Busch Cos. Inc. (BUD) Common	A	Dividend			Closed	10/13	J	A	
54. Chesapeake (CHK)		None	J	T	Buy	10/15	J		
55. Federated Investors Inc. (FII) Common	A	Dividend	J	T					
56. Pfizer Inc. (PFE) Common	A	Dividend	J	T	Buy (add'l)	10/17	J		
57. Kraft Foods Inc. (KFT) Common	A	Dividend	J	T					
58. Medco Health Solutions (MHS) Common		None	J	T					
59. Phillip Morris International (PM)	A	Dividend	J	T	Spinoff (from line 52)	3/31			
60. McDonalds (MCD) Common	A	Dividend	J	T					
61. Nokia Corp (NOK)		None	J	T	Buy	10/16	J		
62. Vanguard 500 Index Funds	A	Dividend	J	T	Buy	10/6	J		
63.									

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

I previously filled out the form as a nominee. I have now included an asset that was purchased after I filled out the previous form. The asset is Sun Hydraulics Corp (SNHY), which was purchased on 11/9/07. In addition, I have included the following assets even though their current value is below \$1,000: SCSS, VLCM, ESIO, LYG, PAC, ZUMZ, ACTI, COLM, ENH, MUHLX, NWY, PRAA, RCRC, SNHY, FNM, HD, MRH, UFCS, CRYP, FLML, RAIL, FII, KFT, MHS, MO, PM, MCD, NOK

I also have several stocks that have never gone over \$1,000, and thus, are not included on this report.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544