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Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brody, Anita B.	United States District Court	05/04/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge	[ ] Nomination, Date [ ] Initial [✓] Annual [ ] Final **5b.** [ ] Amended Report	01/01/2009 to 12/31/2009
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
United States Courthouse Independence Mall West Philadelphia, PA 19106	Reviewing Officer_______________ Date_______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

[ ] NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Board of Visitors, Columbia Law School
2.	Trustee	Trust
3.	Trustee	Under Agreement of Trust
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

[✓] NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brody, Anita B.	05/04/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2009	Commonwealth of Pennsylvania-pension	$30,691.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Law Association	March 27, 2009	New York, NY	Intl. Bar Assoc. Function	Transportation, Lodging & Meals
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Brody, Anita B.	05/04/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brody, Anita B.	05/04/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Covanta Holding Corporation formerly Danielson Holding-cs		None	L	T	Sold (part)	10/16/09	K	E	
3. - Dupont-common stock	B	Dividend	K	T					
4. - NABORS-common stock		None	K	T					
5. - National Asset Bank-common stock		None	J	W					
6. - Royal Palm-common stock		None	J	T					
7. - Source Capital-common stock	B	Dividend	K	T					
8. - Third Avenue Value Fund	E	Dividend	O	T					
9. - Third Avenue Small Capital Fund-mutual fund	B	Dividend	M	T					
10. - Third Avenue Real Estate Fund-mutual fund	B	Dividend	M	T					
11. - Third Avenue Intl Value FD	B	Dividend	M	T	Sold (part)	10/16/09	K		
12. - Butler County PA - Bond	B	Interest	K	T					
13. - JP Morgan TR II Money Market Fund		None	J	T					
14. Bank Accounts									
15. Wachovia - bank accounts	A	Interest	K	T					
16. Dime Savings-bank account	A	Interest	J	T					
17. TIAA Retirement			K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Brody, Anita B.	05/04/2010

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Manulife (formerly John Hancock Life)		None	K	T					
19. [redacted] Trust #1	D	Dividend	O	T					
20. - Reserve Fund Primary Portfolio									
21. - Third Avenue Small Cap Value Fund									
22. - Third Avenue Real Estate Value Fund									
23. - Third Avenue Intl Value Fund									
24. - Third Avenue Value Fund Inc									
25. - JP Morgan TR II Money Market Fund									
26. [redacted] Trust #2	C	Dividend	M	T					
27. - Vanguard Federal Money Market Fund									
28. - Vanguard Emerging Markets Stock Index Investor Shares									
29. - Vanguard Mid-Cap Index Fund Investor Shares					Buy (add'l)	12/24/09	J		
30. - Vanguard Total International Stock Index Fund									
31. IRA #3	E	Dividend	P1	T					
32. - Vanguard Total International Stock Index Fund					Distributed (part)	01/21/09	K		
33. - Vanguard Wellington Fund Admiral Shares									
34. - Vanguard Equity Income Fund Admiral Shares									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - Vanguard Asset Allocation Fund Admiral Shares									
36. - Vanguard Mid-Cap Index fund Admiral Shares									
37. - Vanguard Prime Money Market Fund					Buy	09/15/09	M		
38.					Buy	10/29/09	K		
39.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1) Asset reported in Part VII, page 4, line 17, for "TIAA Retirement," is retirement funds for which I have no control of its investments and am not provided with any information on the earnings from this account. I am only provided with an account value which is reported in Part VII,

2) Assets reported in Part VII, page 5, lines 31-40 of prior year report under Trust #3, were distributed in 2009 to a new trust pursuant to my responsibility as trustee of [redacted] estate. I am not the beneficiary or have any control over these assets.

3) Asset reported in Part VII, page 6, line 37, was purchased with rollover funds received from a non-reportable retirement account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature_

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544