

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brody, Anita B.	2. Court or Organization United States District Court	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse Independence Mall West Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Board of Visitors, Columbia Law School
2. Trustee		██████ Trust
3. Trustee		Under Agreement of Trust ██████████
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting Brody, Anita B.	Date of Report 05/11/2011
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	Commonwealth of Pennsylvania-pension	\$30,691.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Brody, Anita B.

Date of Report

05/11/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting Brody, Anita B.	Date of Report 05/11/2011
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Covanta Holding Corporation formerly Danielson Holding-cs		None			Sold	03/22/10	L	E	
3. - Dupont-common stock	B	Dividend	L	T					
4. - NABORS-common stock		None			Sold	03/22/10	K	B	
5. - National Asset Bank-common stock		None	J	W					
6. - Royal Palm-common stock		None	J	T					
7. - Source Capital-common stock	B	Dividend	K	T					
8. - Third Avenue Value Fund	D	Dividend	O	T	Sold (part)	03/22/10	M	E	
9. - Third Avenue Small Capital Fund-mutual fund	B	Dividend	M	T	Sold (part)	03/22/10	L		
10. - Third Avenue Real Estate Fund-mutual fund	C	Dividend	L	T	Sold (part)	03/22/10	L		
11. - Third Avenue Intl Value FD	C	Dividend	M	T					
12. - Butler County PA - Bond	B	Interest	K	T					
13. - JP Morgan TR II Money Market Fund		None	J	T					
14. Bank Accounts									
15. Wachovia - bank accounts	A	Interest	K	T					
16. Dime Savings-bank account	A	Interest	J	T					
17. TIAA Retirement			L	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting Brody, Anita B.	Date of Report 05/11/2011
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. Manulife (formerly John Hancock Life)		None	K	T						
19. [REDACTED] Trust #1	D	Dividend	O	T						
20. - Reserve Fund Primary Portfolio					Closed	01/21/10				
21. - Third Avenue Small Cap Value Fund										
22. - Third Avenue Real Estate Value Fund										
23. - Third Avenue Intl Value Fund										
24. - Third Avenue Value Fund Inc										
25. - JP Morgan TR II Money Market Fund										
26. [REDACTED] Trust #2	C	Dividend	M	T						
27. - Vanguard Federal Money Market Fund					Buy (add'l)	05/14/10	J			
28. - Vanguard Emerging Markets Stock Index Investor Shares					Merged (with line 31)	10/28/10	L			
29. - Vanguard Mid-Cap Index Fund Investor Shares					Merged (with line 32)	10/28/10	L			
30. - Vanguard Total International Stock Index Fund										
31. - Vanguard Emerging Markets Stock Index Admiral Shares					Buy	10/28/10	L			
32. - Vanguard Mid-Cap Index Fund Admiral Shares					Buy	10/28/10	L			
33. IRA #3	E	Dividend	P1	T						
34. - Vanguard Total International Stock Index Fund					Merged (with line 46)	03/17/10	M			

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Brody, Anita B.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35. - Vanguard Wellington Fund Admiral Shares					Distributed (part)	01/04/10	J			
36.					Merged (with line 46)	03/17/10	N			
37. - Vanguard Equity Income Fund Admiral Shares					Merged (with line 45)	03/17/10	N			
38. - Vanguard Asset Allocation Fund Admiral Shares					Distributed (part)	01/04/10	J			
39.					Merged (with line 45)	03/17/10	N			
40. - Vanguard Mid-Cap Index fund Admiral Shares					Distributed (part)	01/04/10	J			
41.					Merged (with line 45)	03/17/10	M			
42.					Merged (with line 46)	03/17/10	M			
43. - Vanguard Prime Money Market Fund					Distributed (part)	03/09/10	K			
44.					Merged (with line 46)	03/17/10	M			
45. - Vanguard Total Bond Market Index Fund Admiral Shares					Buy	03/17/10	O			
46. - Vanguard Total Stock Market Index Fund Admiral Shares					Buy	03/17/10	O			
47. Education Account #1		None	L	T						
48. - NH Portfolio 2018 (Index)					Buy	04/01/10	K			
49. - NH Portfolio 2018					Buy	04/01/10	K			
50. Education Account #2		None	L	T						
51. - NH College Portfolio (Index)					Buy	04/01/10	K			

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting Brody, Anita B.	Date of Report 05/11/2011
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
52. - NH College Portfolio					Buy	04/01/10	K			
53. Education Account #3		None	L	T						
54. - NH College Portfolio (Index)					Buy	04/01/10	K			
55. - NH College Portfolio					Buy	04/01/10	K			
56. Education Account #4		None	L	T						
57. - NH Portfolio 2012 (Index)					Buy	04/01/10	K			
58. - NH Portfolio 2012					Buy	04/01/10	K			
59. Education Account #5		None	L	T						
60. - NH Portfolio 2015 (Index)					Buy	04/01/10	K			
61. - NH Portfolio 2015					Buy	04/01/10	K			
62. Education Account #6		None	L	T						
63. - NH Portfolio 2024 (Index)					Buy	04/01/10	K			
64. - NH Portfolio 2024					Buy	04/01/10	K			
65. Education Account #7		None	L	T						
66. - NH Portfolio 2024 (Index)					Buy	04/01/10	K			
67. - NH Portfolio 2024					Buy	04/01/10	K			
68. Education Account #8		None	L	T						

- | | | | | | |
|--|--|--|--|--|-------------------------|
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
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P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
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(See Columns C1 and D3) | Q = Appraisal
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| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting Brody, Anita B.	Date of Report 05/11/2011
--	------------------------------

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

69. - NH Portfolio 2021 (Index)					Buy	04/01/10	K		
70. - NH Portfolio 2021					Buy	04/01/10	K		

- 1. Income Gain Codes:
 - A =\$1,000 or less
 - F =\$50,001 - \$100,000
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 - U =Book Valuc
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 - L =\$50,001 - \$100,000
 - P1 =\$1,000,001 - \$5,000,000
 - P4 =More than \$50,000,000
 - S =Assessment
 - W =Estimated
 - D =\$5,001 - \$15,000
 - H2 =More than \$5,000,000
 - M =\$100,001 - \$250,000
 - P2 =\$5,000,001 - \$25,000,000
 - T =Cash Market
- E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Asset reported in Part VII, page 4, line 17, for "TIAA Retirement," is retirement funds for which I have no control of its investments and am not provided with any information on the earnings from this account. I am only provided with an account value which is reported in Part VII,
- 2) Asset listed in Part VII, page 5, line 28 was converted to admiral shares and is reported on line 31.
- 3) Asset listed in Part VII, page 5, line 29 was converted to admiral shares and is reported on line 32.
- 4) Asset listed in Part VII, page 5, line 34 was partially exchanged for asset reported on line 46.
- 5) Asset listed in Part VII, page 6, line 25 was exchanged for asset reported on line 46.
- 6) Asset listed in Part VII, page 6, line 37 was exchanged for asset reported on line 45.
- 7) Asset listed in Part VII, page 6, line 38 was exchanged for asset reported on line 45
- 8) Asset listed in Part VII, page 6, line 40 was partially exchanged for asset reported on line 45 and partially exchanged for asset reported on line 46.
- 9) Asset listed in Part VII, page 6, line 43 was exchanged for asset reported on line 46.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anita B. Brody**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544