United States District Court

Western District of Pennsylvania
U.S. Courthouse
700 Grant Street, Suite 7280
Pittsburgh, Pennsylvania 15219

Arthur J. Schwab
U.S. District Judge

Chambers
(412) 208-7423
[redacted]

July 22, 2008

The Honorable Ortrie D. Smith
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, NE
Washington, DC 20544

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Dear Judge Smith:

Thank you for your work and the work of your Committee.

In response to your "second" item in your letter, dated July 2, 2008, while the 2006 report lists 21 items under "Rollover IRA No. 1," there are only 14 different mutual funds or bonds listed - - the other 7 items are additional transactions relating to some of the 14 different mutual funds or bonds. The same 14 different mutual funds or bonds are listed on the 2007 report, plus the purchase of another bond (item 16). So there were no different stocks/bonds in the 2006 report compared to the 2007 except for the addition of item 16 in the 2007 report. The multiple transaction for the same mutual fund(s) merged into a single fund(s), after the transactions (either buy or partial sale) occurs (see items 16 through 22 on the 2006 report). I would be pleased to provide any additional explanation upon request.

Very truly yours,

[redacted]

Arthur J. Schwab
United States District Judge

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Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schwab, Arthur J	Western District of Pennsylvan	05/13/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Courthouse 700 Grant Street, Suite 7280 Pittsburgh, PA 15219	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer______ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Part-time Professor (evening course)	Grove City College
2.	Secretary and member of the Board of Trustees (non-compensated)	Grove City College
3.	Faculty member (non-compensated)	University of Virginia School of Law - Trial Advocacy Program
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 07/11/91	Single life annuity through a life insurance company through prior employer, Reed Smith LLP, beginning monthly on 01/01/2012.
2. 2007	Grove City College - Part-time Professor - evening course- intellectual property law (compensation is within the applicable judicial guidelines)
3.	

Name of Person Reporting	Date of Report
Schwab, Arthur J	05/13/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2007	Grove City College - teaching	$ 12,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 10/16/07	The Hartford Life Insurance Company - - Death Benefit Payment re: Individual Annuity (not life insurance)
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Virginia, School of Law	01/04/07 to 01/08/07	Charlottesville, Virginia	Faculty	mileage (26th Annual National Trial Advocacy College)
2.	FREE	07/22/07 to 07/26/07	Bozeman, Montana	Seminar	Nothing paid or reimbursed by FREE. I paid for my own travel and lodging and reimbursed FREE for my [redacted] meals.
3.					
4.					
5.					

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Schwab, Arthur J	05/13/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Schwab, Arthur J	05/13/2008

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	ROLLOVER IRA NO. 1:	E	Dividend	P1	T					
2.	-SPDR Trust Unit Ser 1 (S&P 500 Index Fund)									
3.	-Blackrock Funds Core Bond Total Return Fund					Buy	06/04	K		
4.	-Fidelity Gov't Money Market									
5.	-Riverfront Capital Fund (A) See VIII									
6.	-Baron Small Cap Fund									
7.	-Artisan Small Cap Value					Sale	12/05	J		
8.	-Calamos Growth Fund									
9.	-T Rowe Price Mid Cap Value									
10.	-Harbor International Fund									
11.	-Dodge & Cox Int'l Fund									
12.	-Artisan International Fund									
13.	-Union Pacific Corporation					Sale	10/15	K		
14.	-IShares Emerging Mkts									
15.	-IShares US Treasury									
16.	-Airtouch Communications Inc.					Buy	10/19	K		
17.	IRA NO. 2[redacted] (closed 10/03/08)	A	Dividend			Sale	10/03	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Schwab, Arthur J	05/13/2008

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Fidelity Spartan 500 Index					Sale	09/24	K	B	
19. -Dodge & Cox Int'l Stk Fund					Sale	09/20	J	B	
20. -Fidelity Money Mkt					Sale	10/03	J	A	
21. -IShares Mid Cap Index					Sale	09/24	J	A	
22. -IShares Russell Index					Sale	09/24	J	A	
23. -SPDR Trust Unit					Sale	09/24	J	B	
24. RIVERFRONT CAPITAL FUND (B) See VIII	E	Distribution	K	U					
25. INVESTMENT ACCOUNT N0. 1	A	Dividend	J	T					
26. -Vanguard Tax-Exempt Money Market									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART 2: Parties and Terms re: Grove City College, cntd . . . (started part-time teaching on 01/21/02).
PART 7: Investments and Trusts, cntd . . . (Limited Partnership with investment in CENTRIA). I have no management responsibilities therein -- solely passive investment.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatur[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544