AO 10
Rev. 1/2006

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rothstein, Barbara J	**2. Court or Organization** Federal Judicial Center	**3. Date of Report** 08/14/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Court Judge	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2005 to 12/31/2005
7. Chambers or Office Address Federal Judicial Center Washington, D.C. 20002	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer__________ Date________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

[X] NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

[X] NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2005	The Rutter GroupSpeaker's Fee	$ 1,000
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2005	Medical Faculty Associates, Wages
2. 2005	Self Employed Consultant Fees
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DESCRIPTION
1. The Rutter Group	Jan. 28-29, San Diego, CA Speaker-Fed. Trial Practice (Transportation, Meals, Lodging)
2. American Law Institute-American Bar Assn	Mar. 2-4, Maui, HI, Speaking Engagement (Transportation, Meals, Lodging)
3. American Bar Assn.	Mar. 31-Apr. 2, Phoenix, AZ, Speaker-Committee Meeting (Transportation, Meals, Lodging)
4. American Bar Assn.	Apr. 21, New York, NY, Speaker-Annual Conference (Transportation, Meals, Lodging)
5. American law Institute-American Bar Assn.	May 5-6, Boston, MA, Speaker-Opinion & Expert Testimony (Transportation, Meals, Lodging.
6. The Rutter Group	May 29-Jun. 1, San Francisco, CA & Seattle, WA, Speaker-Federal Trial Practice (Transportation, Meals, Lodging)

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7. Federal Circuit Bar Assn.	Jun. 22-25, Kiawah Island, SC, Meeting (Transportation, Meals, Lodging)
8. National Center for State Courts	Jul. 14-15, Philadelphia, PA, Speaker-State Judges Mass Litigation Forum (Transportation, Meals, Lodging)
9. National Center for State Courts	Sep. 13, Seattle, WA, Speaker-National Court Tech. Conference (Transportation, Meals, Lodging)
10. The National Academies	Sep. 22-23, Woods Hole, MA, Meeting-Science, Tech. & Law (Transportation, Meals, Lodging)
11. American Law Institute-American Bar Assn.	Sep. 25-26, Philadelphia, PA, Board Orientation Meeting (Transportation, Meals, Lodging)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Alaska Airlines	Credit Card	J
2.	United	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amcol Common	A	Dividend	J	T					
2. El Paso Corp.	A	Dividend	J	T					
3. Equitable Resources Common	A	Dividend	K	T					
4. Microsoft Corp Common	E	Dividend			sell	05/05	M	F	
5. Motorola Inc Common	A	Dividend	L	T					
6. Freescale Semiconductor Inc.		None	J	T					
7. Oppenheimer Muni Bond Fd	C	Dividend	L	T					
8. Rochester Fund Muni's Cl.A	C	Dividend	L	T					
9. US Bancorp Account	B	Interest	J	T					
10. Chevy Chase Bank Account	B	Interest	K	T					
11. Provident Bank (formerly Wachovia Bank)	B	Interest	K	T	name change				
12. NA Properties Ltd Partnership	B	Rent	J	U					
13. Rental House Bellevue(#1) (1990 $60,000)	D	Rent	L	R					
14. Rental House Redmond (#2) 1999 $172,000)	E	Rent	M	R					
15. Rental House Bellevue (#3) (1975 $148,000)	E	Rent	M	R					
16. New Horizon Capital III, LP			K	T	buy	06/05	K		
17. *SEP PLAN:									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Rothstein, Barbara J	08/14/2006

VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. America Movil Ads	A	Dividend	M	T					
19. Bank One Capital Trust VI	B	Interest	K	T					
20. Elan Corp. Common		None	K	T					
21. Emulex Corp. Common		None	K	T					
22. Microsoft Corp. Common	D	Dividend	N	T					
23. 3M Company	B	Dividend	L	T					
24. XCel Energy	A	Dividend	J	T					
25. PPL Corp.	A	Dividend	J	T					
26. Applera Corp. Common	A	Dividend	K	T					
27. Costco Wholesale Corp. Common	A	Dividend	K	T					
28. Pimco Corporate Income Fund	C	Dividend	K	T					
29. Putnam Managed High Yield Tr.	A	Dividend	K	T					
30. Salomon Bros High Inc Fnd Common	B	Dividend	K	T					
31. Starbucks Common		None	N	T					
32. Telefonos De Mexico Common	B	Dividend	L	T					
33. Pennzoil Co Bonds	B	Dividend	K	T					
34. Nuveen Quality Preferred Income FD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Teva Pharmaceutical Inds.	A	Dividend			sell	12/05	K		
36. Bancorpsouth Cap Tr. Preferred	B	Interest	K	T					
37. 3Com Corp Common		None	J	T					
38. Home Depot Inc. Common	A	Dividend	K	T					
39. General Electric Co. Common	B	Dividend	K	T					
40. Morgan Stanley Cap. Trust	B	Dividend	K	T					
41. CHS Cooperatives Preferred	B	Dividend	K	T					
42. Talk America Common		None	J	T					
43. CEF Government Fund	B	Dividend	K	T					
44. CMS Energy		None	J	T					
45. Pioneer Floating Rate Trust (PHD)	B	Dividend	K	T					
46. Eaton Vance Enhanced Equity Fund	B	Dividend	K	T					
47. Eaton Vance Enhanced Equity Income Fd II	E	Interest	M	T	buy	01/05	M		
48. RMR Preferred Dividend Fund	B	Dividend	K	T	buy	05/05	K		
49. *PENSION TRUST									
50. Dell, Inc		None	J	T					
51. ETrade Money Market Funds	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. Cybersource Corp. Common		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatu[redacted] **Date** 8/15/06

NOTE: A[redacted]**NOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544