

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

1. Person Reporting (last name, first, middle initial) Rothstein, Barbara J	2. Court or Organization Federal Judicial Center	3. Date of Report 08/06/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Court Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address Federal Judicial Center Washington, D.C. 20002	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____
2.	_____
3.	_____
4.	_____
5.	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____
2.	_____
3.	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Rothstein, Barbara J

Date of Report

08/06/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	The Rutter Group Speaker's Fee	\$ 1,000
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Medical Faculty Associates, Wages
2. 2006	Self Employed Consultant Fees
3.	
4.	
5.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Law Institute-American Bar Assn	Feb 23-24, San Francisco, CA, Speaking Engagement (Transportation, Lodging)
2. Seattle University School of Law	Apr 7, Seattle, WA, Symposium Speaker (Transportation, Lodging)
3. Law Seminars International	May 11, Seattle, WA, Speaker, Class Actions Conference (Transportation)
4. The Rutter Group	May 23-26, San Francisco, CA, Speaker (Transportation)
5. American Law Institute-American Bar Assn	May 31-June 2, Charleston, SC, Speaker (Transportation, Lodging)

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting	Date of Report
Rothstein, Barbara J	08/06/2007

6. Associaton of Trial Lawyers of America

July 15, Seattle, WA, Speaker (Transportation, Meals, Lodging)

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting	Date of Report
Rothstein, Barbara J	08/06/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Alaska Airlines	Credit Card	J
2.	United	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Rothstein, Barbara J

Date of Report

08/06/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amcol Common	A	Dividend	J	T					
2. El Paso Corp.	A	Dividend	J	T					
3. Equitable Resources Common	A	Dividend	K	T					
4. Motorola Inc Common	A	Dividend	L	T					
5. Freescale Semiconductor Inc.		None			sell	12/04	J	C	
6. Oppenheimer Muni Bond Fd	C	Dividend	L	T					
7. Rochester Fund Muni's Cl.A	C	Dividend	L	T					
8. US Bancorp Account	A	Interest	J	T					
9. Chevy Chase Bank Account	A	Interest	K	T					
10. Provident Bank		None	J	T					
11. NA Properties Ltd Partnership	B	Rent	J	U					
12. Rental House Bellevue(#1) (1990 \$60,000)		None			off market	01/01			
13. Rental House Redmond (#2) 1999 \$172,000	E	Rent	M	R					
14. Rental House Bellevue (#3) (1975 \$148,000)		None			off market	01/01			
15. New Horizon Capital III, LP		None	K	T					
16. *SEP PLAN:									
17. America Movil Ads	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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18. Bank One Capital Trust VI	B	Interest	K	T					
19. Elan Corp. Common		None	K	T					
20. Emulex Corp. Common		None	J	T					
21. Microsoft Corp. Common	C	Dividend	M	T	partial sell	08/24	M	F	
22. 3M Company	B	Dividend	L	T					
23. XCel Energy	A	Dividend	J	T					
24. PPL Corp.	A	Dividend	J	T					
25. Applera Corp. Common	A	Dividend	K	T					
26. Costco Wholesale Corp. Common	A	Dividend	L	T					
27. Pimco Corporate Income Fund	C	Dividend	K	T					
28. Putnam Managed High Yield Tr.	B	Dividend	K	T					
29. Western Asset HI Inc.(FrmrlySalomon Bros HI Inc Fnd Common)	B	Dividend	K	T					
30. Starbucks Common		None	N	T					
31. Telefonos De Mexico Common	B	Dividend	L	T					
32. Pennzoil Co Bonds	B	Dividend	K	T					
33. Nuveen Quality Preferred Income FD	A	Interest	J	T					
34. Bancorpsouth Cap Tr. Preferred	B	Interest	K	T					

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35. 3Com Corp Common		None	J	T					
36. Home Depot Inc. Common	A	Dividend	K	T					
37. General Electric Co. Common	B	Dividend	K	T					
38. Morgan Stanley Cap. Trust	B	Dividend	K	T					
39. CHS Cooperatives Preferred	B	Dividend	K	T					
40. Talk America Common		None			sell	12/20	J		
41. CEPS Gov't&Agency Funds(Frmrly CEF Gov't Fnd)	D	Dividend	N	T					
42. CMS Energy		None	J	T					
43. Pioneer Floating Rate Trust (PHD)	C	Dividend	K	T					
44. Eaton Vance Enhanced Equity Fund	B	Dividend	K	T					
45. Eaton Vance Enhanced Equity Income Fd II	E	Interest	M	T					
46. RMR Preferred Dividend Fund	B	Dividend	K	T					
47. Unit FT Target Triad Port	A	Dividend	L	T	buy	06/08	L		
48. *PENSION TRUST									
49. Dell, Inc		None	J	T					
50. ETrade Money Market Funds	A	Interest	K	T					
51. Cybersource Corp. Common		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Rothstein, Barbara J

Date of Report

08/06/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, Lines 12 & 14: These properties were no longer rental properties as of January 1, 2006.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Rothstein, Barbara J

Date of Report

08/06/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

8/7/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544