

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

| | | |
|--|---|--|
| 1. Person Reporting (last name, first, middle initial) Parker, Barrington D | 2. Court or Organization USCA - Second Circuit | 3. Date of Report 05/14/2007 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active | 5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report | 6. Reporting Period 01/01/2006 to 12/31/2006 |
| 7. Chambers or Office Address 40 Foley Square New York, NY 10007 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. Trustee | The Yale Corporation |
| 2. Trustee | The Governance Institute |
| 3. | |
| 4. | |
| 5. | |

RECEIVED
 2007 MAY 15 P 1:20
 FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Parker, Barrington D

Date of Report

05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u> <i>(yours, not spouse's)</i> |
|-------------|------------------------|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------------------|--|
| 1. Yale Corporation | At the Yale Corporation meetings, food and lodging are provided by the University. There is no form of monetary reimbursement. |
| 2. | Dates attended: 2/10; 4/21; 5/22; 6/2; 9/28-29; 12/9/2006 |
| 3. Harvard Law School | 3/10/06 BLSA Spring Conference - Harvard University (Transportation and Lodging) |
| 4. The Federalist Society | 11/16/2006 - The Federalist Society's National Lawyers Convention (Transportation and Lodging) |

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

| | |
|---------------------------------|-----------------------|
| Name of Person Reporting | Date of Report |
| Parker, Barrington D | 05/14/2007 |

-
- | | |
|-----------------------------|---|
| 5. The MacArthur Foundation | 11/06/06 - Attend Round Table Conference - Chicago (Transportation, Food and Lodging) |
|-----------------------------|---|
-
- | | |
|------------------------|---|
| 6. Federal Bar Council | 2/6-2/10/06 - Attend Federal Bar Winter Meeting, St Thomas (Transportation, Food and Lodging) |
|------------------------|---|
-

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Parker, Barrington D

Date of Report

05/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Parker, Barrington D

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|--|---|---|--|-------------------------------|---------------------------------|--------------------------------|---|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, redemption) | (2) Date Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Farrallon Capital Offshore Investors, Inc. | F | Dividend | O | T | | | | | |
| 2. Industrial Bank of Washington | | None | J | T | | | | | |
| 3. | | | | | | | | | |
| 4. Trust #1 (X) | | | | | | | | | |
| 5. Exelon | | | K | | Sold | 03/23 | K | | |
| 6. General Electric | | | K | | Sold | 04/12 | K | | |
| 7. Mellon Investments | | | K | | Sold | 03/30 | K | | |
| 8. American Electric Power | | | K | | Sold | 04/12 | K | | |
| 9. GM Bonds | | | K | | Sold | 05/17 | K | | |
| 10. Pepco Holdings Inc. | | | J | | Sold | 04/11 | J | | |
| 11. Verizon | | | J | | Sold | 05/01 | J | | |
| 12. IBW Financial Corp. | | | M | | Sold | 05/15 | M | | |
| 13. | | | | | | | | | |
| 14. Property #1 | | | N | R | Sold | 04/28 | N | | |
| 15. Property #2 | D | Rent | N | R | Sold | 8/18 | N | | |
| 16. | | | | | | | | | |
| 17. | | | | | | | | | |

| | | | | | |
|--|---|--|--|--|-----------------------------|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000 H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | P4 = More than \$50,000,000 |
| 3. Value Method Codes (See Column C2) | P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Parker, Barrington D

Date of Report

05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

| | |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Parker, Barrington D | 05/14/2007 |

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date May 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544