AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seibel, Cathy	**2. Court or Organization** U.S. District Court --S.D.N.Y.	**3. Date of Report** 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address Charles L. Brieant U.S. Courthouse 300 Quarropas St., Rm. 275 White Plains, NY 10601	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer________ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Vice-President (middle school)	Hastings-on-Hudson PTSA
2.	Secretary	Hastings-on-Hudson PTSA
3.	board member	Fordham Law Alumni Association
4.	Lecturer-in-Law (part-time trial practice instructor)	Columbia University School of Law
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	$5000 compensation for instructing Trial Practice course at Columbia University School of Law January - April 2009
2.		
3.		

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Seibel, Cathy	05/05/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008	Columbia University -- payment for teaching	$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2008	Columbia University: salary as faculty member and physician
2. 1/11/08	Washington Post/Newsweek Interactive (Slate) -- $400 payment for writing
3. 1/17/08	$500 honorarium for speaking
4. 1/23/08	$150 from John Hopkins University Press for manuscript review
5. 3/8/08	University of Vermont -- $1000 honorarium for speaking
6. 3/10/08	University of California, Los Angeles -- $750 honorarium for speaking
7. 4/9/08	Johns Hopkins University Press -- $910.70 book royalty
8. 4/24/08	The Lancet -- $400 payment for writing
9. 4/26/08	University of Virginia -- $1000 honorarium for speaking
10. 5/2/08	New York Times -- $1538 payment for writing
11. 5/23/08	New York Times -- $50 payment for writing
12. 5/29/08	The Lancet -- $1000 payment for writing
13. 8/10/08	New York Times -- $100 payment for writing
14. 10/10/08	New York Times -- $650 payment for writing
15. 12/18/08	Washington Post -- $400 payment for writing

Name of Person Reporting	Date of Report
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IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[✓] NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Seibel, Cathy	05/05/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Seibel, Cathy	05/05/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Vista Fund	A	Dividend	K	T					
2. AT&T common stock	A	Dividend	J	T					
3. AT&T common stock	A	Dividend	J	T					
4. Brandywine Fund	A	Dividend	L	T					
5. Comcast common stock	A	Dividend	J	T					
6. Comcast common stock	A	Dividend	J	T					
7. Dryden Global Total Return Fund	A	Dividend	J	T					
8. Dreyfus NY Tax-Exempt Bond Fund	B	Dividend	K	T					
9. Enbridge Energy Partners LP common stock	B	Dividend	J	T					
10. Fidelity Magellan Fund (IRA)	B	Distribution	K	T					
11. Fidelity Capital Appreciation Fund (IRA)	A	Distribution	J	T					
12. Fidelity NY Muni Income Fund	C	Interest	L						
13. IBM common stock	A	Dividend	J	T					
14. Janus Fund	A	Dividend	L	T					
15. John Hancock Patriot Premium Div Fund I common stock	A	Dividend	J	T					
16. Metropolitan Transportation Authority NY Municipal Bonds	A	Interest	J	T					
17. Morgan Stanley Active Assets Money Trust	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Seibel, Cathy	05/05/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NY State 529 College Savings Plan		None	K	T					
19. NY State 529 College Savings Plan		None	K	T					
20. RS Growth Fund	A	Dividend	K	T					
21. TIAA/CREF stock fund (retirement annuni ty)		None	M	T					
22. TIAA/CREF global equity fund (retirement annuity)		None	K	T					
23. T. Row Price Blue Chip Growth Fund IRA	A	Dividend	J	T					
24. T. Rowe Price Blue Chip Growth Fund IRA	A	Dividend	J	T					
25. T. Rowe Price Prime Reserve Fund	C	Dividend	M	T					
26. T. Rowe Price Prime Reserve Fund	D	Dividend	N	T					
27. T. Rowe Price Prime Reserve Fund	D	Dividend	N	T					
28. Vanguard 500 Index Fund (retirement annuit y)	B	Dividend	M	T					
29. Vanguard International Growth Fund (retire ment annuity)	C	Dividend	K	T					
30. Zweig Total Return Fund Inc.	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Chase Manhattan checking account reported on nomination filing is not included in this report because it is a non-interest-bearing account and we have no other accounts at that bank.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
300 QUARROPAS STREET
WHITE PLAINS, NEW YORK 10601
(914) 390-4077

CATHY SEIBEL
UNITED STATES DISTRICT JUDGE

June 22, 2009

Honorable Bobby R. Baldock
Chair, Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

RECEIVED
2009 JUL 20 A 10: 05
FINANCIAL DISCLOSURE OFFICE

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

This responds to your letter dated June 17, 2009, in which you pointed out two omissions in my 2008 financial disclosure report. In Part III.B, line 3, I listed a $500 honorarium for speaking received by [redacted] but neglected to include the source of the payment: Yale University. In Part VII, page 5, line 12, I listed a value code for my holdings in the Fidelity NY Muni Income Fund, but neglected to specify the value method: "T" (cash market value). I understand that this letter will be considered an amendment to my report. If you need any further information, please to do not hesitate to contact me.

Very truly yours,

[redacted]

Cathy Seibel