AO 10 Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle ini	tial) 2. Cour	3. Date of Report					
Shaw, Charles A	U.S. I	District Court, MO-E		05/1/2007			
4. Title (Article III judges indicate active or seni magistrate judges indicate full- or part. U.S. District Judge-Active 7. Chambers or Office Address		Nomination, Date nitial Annual Amended Report the basis of the information contained it cations pertaining thereto, it is, in my			01/01/20 to 12/31/20		
111 South Tenth Street Suite 12-N St. Louis, MO 63102	with ap	diffications pertaining thereto, it is, in my opinion, in compliance th applicable laws and regulations. Date					
		ng this form must be followed. Co eportable information. Sign on las			*		
I. POSITIONS. (Reporting individual of NONE (No reportable position POSI	ons.)	NAME.	OF ORGANIZ	' ΔΤΙΩΝΙ/	FNTITV		
	HON			ATTOM/	CIVIIII	=	
1. Director		People's Health Centers Conn	ective Services				
2. Director		Cardinal Ritter College Prepar	ratory High School				
3. Member-Board of Trustees		The Saint Louis Art Museum					
4.					200		
5.					MAY	Æ(
				FINANCIAL CLOSURE OFFICE	:0l V L-	ECEIVED	
II. AGREEMENTS. (Reporting ind	-	tions.)	į	गि			
NONE (No reportable agreen	ients.)						
<u>DATE</u>	PARTIES AND TERMS						
1. 2006	Missouri State Judicial Retirem	nent, no control. (See Section VIII.))				
2.							
3.							

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Name of Person Reporting

Date of Report

Shaw, Charles A

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income	Treporting than manufactures see pp. 17 24 of the actions						
X NONE (No reportable non-investment in	come.)						
DATE	SOURCE AND TYPE	<u>INCOME</u> (yours, not spouse's)					
1.							
2.							
3.		-					
4.							
5.							
(Dollar amount not required except for honoraria)	e married during any portion of the reporting year, complete this secti	ion.					
NONE (No reportable non-investment in							
<u>DATE</u>	SOURCE AND TYPE	SOURCE AND TYPE					
1. 2006 AXA Advis	sors, L.L.C., formerly The Equitable Life Assurance Company						
2.							
3.							
4.							
5.							
i							
IV. REIMBURSEMENTS transportation, lo (Includes those to spouse and dependent children. See pp. 25-27 of in							
NONE (No reportable reimbursements.)							
SOURCE	<u>DESCRIPTIO</u>	<u>DESCRIPTION</u>					
The Federal Bar Association Foundation of Cincinnati	The Seventh Biennial JustThe Beginning Found 9/21-24/06; lodging, meals, and local transportation.	dation Conference; Cincinnati, Ohio; ation.					
2.							
3.							
4.	-						
5.							

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
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${f V.~GIFTS.}$ (Includes those to spouse and dependent children. See p_l	p. 28-31 of instructions.)	
X NONE (No reportable gifts.)		
SOURCE	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4		
5.		
·		
VI. LIABILITIES. (Includes those of spouse and dependent of	children. See pp. 32-33 of instructions.)	
X NONE (No reportable liabilities.)		
CREDITOR	DESCRIPTION	VALUE CODE
1		

3.

2.

5.

4.

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Name of Person Reporting

Shaw, Charles A

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)		B. me during ting period	Gross valu	C. ue at end of ug period	D. Transactions during reporting period			od	
	Place *(X)* after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
 !.	St. Louis City, MO real estate (Parcel 1)	D	Rent	L	w		-	I		
2.	St. Louis City, MO real estate (Parcel 2)	D	Rent	<u> </u> 	w	Sold	6/19	L	E	
3.	Gateway Federal Employees Credit Union	A	Interest	J	Т					
4.	Oak Value Fund	A	Dividend	J	Т					
5.	Equivest (Mutual Fund of AXA Advisors, L.L.C.)	A	Interest	L	Ť					
6.	Missouri State Judicial Retirement									
7.	Equitable Life Assurance Co. (IRA)	A	Interest	J	Т	!			·	
8.										
).						·				
10.										
11.										
12.										
13.										
14.										
15.										
16.										
17.										

(See Columns Bl and D4) 2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Colunn C2)

F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q = AppraisalU =Book Value G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O=\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S = Assessment W =Estimated

H1 =\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

H2=More than \$5,000,000 M =\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

Missouri State Judicial Retirement - On December 31, 2006, I turned 62 years of age and eligible to receive one-half of State Judge's salary at time of departure from state employment. The amount I received in December was only \$58.50.

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Name of Person Reporting

Shaw, Charles A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544