AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, Jr., Charles L.	Northern District of Alabama	05/25/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 101 Holmes Avenue Huntsville, Ala. 35801	**8.** On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer______________ Date________	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1981	Judicial Retirement Fund of Alabama (Retirement fund of former employer, State of Alabama), no control
2.	1981	Madison County, Alabama Retirement Fund (Retirement fund of former employer, Madison County), no control
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	State of Alabama Retirement	$58,725.00
2. 2010	Madison County, Alabama Retirement	$19,142.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2010	Madison County, Alabama Commission - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Smith, Jr., Charles L.	05/25/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card	J
2.			
3.			
4.			
5.			

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Smith, Jr., Charles L.	05/25/2011

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bonds-Series EE	A	Interest	J	T					
2. Regions Financial Corp.	A	Dividend	J	T					
3. Citi Bank Money Fund	A	Interest	J	T					
4. Meadowbrook, Ltd. 4.75% Int. in limited partnership		None	J	U					
5. Farm: Madison County, Ala.	D	Rent (Crop)	P1	W					
6. Farm Rental House: Madison County, Ala.	D	Rent (House)	L	W					
7. Crown Life Ins. - Cash Value	A	Dividend	J	T					
8. Apple Computer, Inc.		None	L	T					
9. Clorox Co.	B	Dividend	K	T					
10. [redacted] § 457(b) Deferred Comp Account:									
11. -- American Century Value Fund	B	Dividend	K	T					
12. -- Fidelity Contrafund	D	Dividend	L	T					
13. -- Nationwide Fixed Account	A	Interest	L	T					
14. -- Nationwide Large Cap Growth Fund	D	Dividend	L	T					
15. -- S & P 500 Index Fund	A	Dividend	J	T					
16. Madison Co. AL Retirement Fund	B	Interest	K	T					
17. Am Family Broadcasting Corp (Retirement)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Smith, Jr., Charles L.	05/25/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fix Corp Intl., Inc. (Y)									See Part VIII
19. Sanmina-SCI Corp		None	J	T					
20. PACT (Ala. Prepaid Affordable College Tuition plan)	A	Interest	K	T					
21. Scholars Choice (Section 529 Plan) - No Control	B	Dividend	J	T					
22. Easy Street Investors Corp.:		None			Sold	12/31/10	K	A	ESI Corp. - See Part VIII
23. Education IRA No. 1:									
24. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
25. -- Coca Cola Bottling Co.	A	Dividend	J	T					
26. -- Adtran, Inc.	A	Dividend	J	T					
27. -- Overstock.Com, Inc.		None	J	T					
28. Education IRA No. 2:									
29. -- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					
30. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
31. -- Adtran, Inc.	A	Dividend	J	T					
32. -- Overstock.Com, Inc.		None	J	T					
33. Education IRA No. 3:									
34. -- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35.	-- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
36.	-- Adtran, Inc.	A	Dividend	J	T					
37.	-- Overstock.Com, Inc.		None	J	T					
38.	Education IRA No. 4:									
39.	-- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					
40.	-- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
41.	-- Adtran, Inc.	A	Dividend	J	T					
42.	-- Overstock.Com, Inc.		None	J	T					
43.	Coca Cola Bottling Co.	A	Dividend	J	T					
44.	eBay, Inc.		None	J	T					
45.	Trust No. 1:	A	Dividend	K	T					
46.	-- Citibank NA Bank Deposit Program	A	Interest	J	T					
47.	-- ITC DeltaCom, Inc. - New Issue		None			Sold	12/08/10	J	A	
48.	-- Franklin Federal Tax Free Income FD Class A (X)	A	Interest	K	T					
49.	Vanguard Age-Based Aggressive Growth (Section 529 Plan)	A	Dividend	J	T					
50.	Adtran, Inc.	A	Dividend	J	T					
51.	Walt Disney (acquisition and merger of Pixar into Disney)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. Lincoln Financial Group (X)	A	Interest			Sold	10/18/10	K	A	
53. Annuity -- Western Nat. Life Ins. Co. (X)	A	Interest			Sold	10/18/10	K	A	
54. Education IRA No. 5:									
55. -- CitiBank NA Bank Deposit Account	A	Interest	J	T	Open	04/15/10	J		
56. Trust No. 2:									
57. -- CitiBank NA Bank Deposit Account	A	Interest	J	T	Open	11/19/10	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 5, line 18: This stock was declared valueless, ceased trading, and was delisted from the stock exchange.

Part VII, page 5, line 22: As a result of selling my single share of stock in the "Easy Street Investors Corp." to the investment club (not a private individual), all of the club investments previously listed on lines 23-48 of the 2009 Financial Disclosure Report were omitted from this Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles L. Smith, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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