

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2009 to 12/31/2009
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	_____	_____	_____	_____	_____
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____
5.	_____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	A	Dividend	M	T					
2. Comcast Corp.	A	Dividend			Sold	11/10/09	J	B	
3. Walt Disney Co.	A	Dividend	J	T					
4. Home Depot Inc.	A	Dividend	J	T					
5. Staples Inc.	A	Dividend			Sold	05/04/09	J		
6. CVS Caremark Corp.	A	Dividend	J	T					
7. Diageo Plc	A	Dividend	K	T					
8. Nestles S.A.	A	Dividend	K	T					
9. Procter & Gamble Co.	A	Dividend	J	T					
10. Exxon Mobil Corp.	A	Dividend	J	T					
11. Berkshire Hathaway		None	K	T					
12. J.P. Morgan Chase	A	Dividend	K	T					
13. Markel Corp.		None			Sold	04/29/09	K		
14. T. Rowe Price Group	A	Dividend			Sold	07/07/09	J		
15. Gilead Sciences Inc.		None			Sold	11/10/09	J		
16. Johnson & Johnson	A	Dividend	J	T					
17. Quest Diagnostics	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Stryker Corp.	A	Dividend			Sold	06/01/09	J		
19. General Electric Co.	A	Dividend			Sold	07/31/09	J		
20. Lockheed Martin Co.	A	Dividend			Sold	07/31/09	J		
21. Cisco Systems		None	J	T					
22. Microsoft Corp.	A	Dividend	J	T					
23. Baron Growth Fund		None			Sold	04/29/09	J		
24. Columbia Marsico Focused Equities Fund ClassZ	A	Dividend			Sold	11/12/09	K		
25. Eaton Vance Structured Emerging Mkts Fund		None			Sold	11/12/09	L		
26. IShares S&P Midcap 400 Index Fund	A	Dividend	J	T					
27. Royce Fund Premier Ser		None			Sold	07/28/09	J	B	
28. Thornberg Intl Value Fund	B	Dividend	L	T					
29. US Treas NT Due 03/15/09	D	Interest			Redeemed	03/15/09	O		
30. New York NY GO Bonds 3/18/99	A	Interest			Redeemed	03/16/09	K		
31. Conn St Gen Rev Bonds	C	Interest	M	T					
32. NY State Dorm Auth Bonds	B	Interest	K	T					
33. Niagara Falls NY City School Dist Bonds	B	Interest	K	T					
34. New York State GO Bonds 7/15/98	B	Interest	K	T					

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35. New York State Twy Auth Bonds	B	Interest	K	T					
36. Conn State GO Bonds 6/26/08	C	Interest	M	T					
37. Stamford Conn GO Bonds	C	Interest	M	T					
38. Conn State HSG Auth Bonds	D	Interest	M	T					
39. Conn State Spc Tax Oblig Rev Bonds	D	Interest	M	T					
40. Pimco Foreign Bond Fund	B	Interest			Sold	04/30/09	M		
41. Pimco Commodity Real Return Fund	A	Dividend			Sold	05/04/09	K		
42. Baron Fifth Ave Growth Fund		None			Sold	04/30/09	K		
43. Pimco Total Return Fund Inst. Class	A	Dividend			Sold	01/14/09	J		
44. HSBC C/D	A	Interest			Closed	03/01/09	J		
45. Vanguard Money Mkt Fund	A	Interest	K	T					
46. ING Direct Savings Bank	B	Interest	L	T					
47. US Trust Co of NY Bank of America Checking Account		None	J	T					
48. HSBC Checking Account	A	Interest			Closed	03/01/09	J		
49. Guilford Savings Bank		None	J	T	Open	03/01/09	J		
50. Columbia Select Large Cap. Fund		None	K	T	Buy	04/30/09	K		
51. Amazon.com, Inc.		None	J	T	Buy	05/04/09	J		

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52. Lerner Corp.		None	J	T	Buy	05/04/09	J		
53. McKesson Corp.	A	Dividend	J	T	Buy	11/16/09	J		
54. Cummins Inc.	A	Dividend	J	T	Buy	08/07/09	J		
55. Accenture Ltd.	A	Dividend	J	T	Buy	08/07/09	J		
56. MasterCard Inc.	A	Dividend	J	T	Buy	11/16/09	J		
57. Barrick Gold Corp.	A	Dividend	J	T	Buy	11/16/09	J		
58. Oracle Corp.	A	Dividend	J	T	Buy	05/04/09	J		
59. FPL Group	A	Dividend	K	T	Buy	05/04/09	J		
60. IShares China Index Fund	A	Dividend	K	T	Buy	11/16/09	J		
61. IShares Australia Index Fund	B	Dividend	K	T	Buy	11/16/09	K		
62. IShares Brazil Index Fund	A	Dividend	K	T	Buy	11/16/09	K		
63. IShares Canada Index Fund	A	Dividend	K	T	Buy	11/16/09	K		
64. IShares South Korea Index Fund	A	Dividend	K	T	Buy	11/16/09	K		
65. Pimco Total Return Fund	D	Dividend	M	T	Buy	11/12/09	M		
66. Discovery Communications Inc.		None	J	T	Buy	11/16/09	J		
67. Apache Corp.	A	Dividend	J	T	Buy	11/16/09	J		
68. Litchfield, CT GO Bonds	C	Interest	M	T	Buy	12/17/09	M		

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69. Cheshire, CT GO Bonds	C	Interest	M	T	Buy	06/26/09	M		
70. Manchester, CT GO Bonds	C	Interest	M	T	Buy	07/02/09	M		
71. Southington, CT GO Bonds	C	Interest	M	T	Buy	06/05/09	M		
72. Easton, CT GO Bonds	C	Interest	M	T	Buy	05/28/09	M		
73. West Hartford, CT GO Bonds	C	Interest	M	T	Buy	07/21/09	M		
74. Regional School District 18 CT Bonds	D	Interest	M	T	Buy	09/15/09	M		
75. Wethersfield, CT GO Bonds	D	Interest	M	T	Buy	06/18/09	M		

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3. Value Method Codes (See Column C2)					

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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Page 4, Part VII, line 1 of the 2008 Report lists "Columbia Govt. Plus Reserves Trust Class" as an asset. This is a money market fund. During 2009 our financial adviser replaced Columbia with a Bank of America Money Market Savings Account, which is listed on Page 4, Part VII, line 1 of the 2009 Report in place of Columbia. This was a transfer of cash from one fund to the other. It did not generate any gains or losses.

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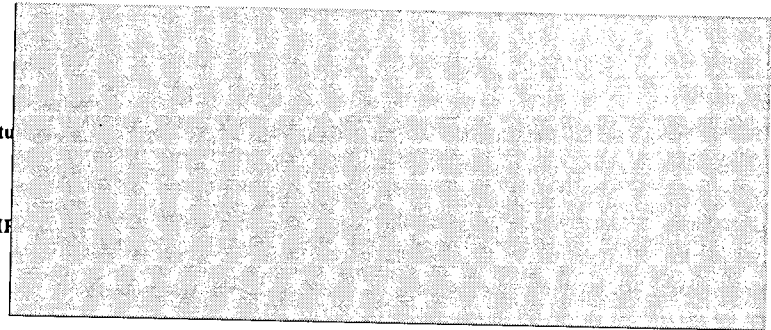
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES THIS REPORT IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544