AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
STRAUB, CHESTER J.	U.S. COURT OF APPEALS, 2ND CIR	05/11/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
CIRCUIT JUDGE - SENIOR STATUS	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2008 to 12/31/2008
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007	Reviewing Officer________ Date______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	05/11/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2008	NYS RETIREMENT SYSTEM (RETIREMENT BENEFIT)	$5,990.64
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2008	NATIONAL FINANCIAL SERVICES - RETIREMENT BENEFIT
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	05/11/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	05/11/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. **FIDELITY INVESTMENTS**									
2. NY ST DORM AUTH REVS CITY UNIV CONS-5TH GEN-C MBIA 4/10/03	C	Interest	L	T					
3. NY CITY MUN WTR F/A WTR&SWR SY S RV SER B-AMBAC TCRS CSTD	B	Interest	K	T					
4. NEW YORK ST TWY AU SVC CONT RE V LOC HWY & BRIDGE 8/15/95	C	Interest	L	T					
5. NEW YORK NY SER F-CR FSA OID 2/22 /01 4.125 08/01/08	B	Interest			Redeemed	8-1	K	A	
6. BERKSHIRE HATHAWAY INC. CLASS B		None	L	T					
7. HEWLETT PACKARD COMMON	B	Dividend	M	T					
8. ENZO BIOCHEM INC COMMON		None	J	T					
9. HEALTH CARE PPTY INVS INC COMM ON	C	Dividend	L	T					
10. GREAT PLAINS ENERGY - KANSAS C ITY POWER & LIGHT COMMON	B	Dividend	J	T					
11. IBM COMMON	C	Dividend	M	T					
12. GENERAL ELECTRIC COMMON	A	Dividend	J	T					
13. LOCKEED MARTIN COMMON	A	Dividend	J	T					
14. EXXON COMMON	A	Dividend	J	T					
15. HRPT PROPERTIES TRUST	A	Dividend	J	T					
16. COHEN & STEERS REALTY SHARES	A	Dividend	J	T	Buy (add'l)	3-31	J		
17.					Buy (add'l)	6-30	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	9-30	J		
19.					Buy (add'l)	12-19	J		
20. NEW YORK ST ENVIRO FACS 5%	B	Interest	L	T					
21. NEW YORK ST URBAN DEV CORP REV CORR	C	Interest	L	T					
22. METROPOLITAN TRANS AUTH NY TRAN FACS	D	Interest	M	T					
23. NY ST TWY AUTH HWY & BRDG TR F D 5.25% 4/1/2011		None			Redeemed	1-2	K	A	
24. BARON GROWTH		None			Sold	3-17	L	A	
25. SPARTAN 500 INDEX INVESTOR CLA SS	A	Dividend			Sold (part)	3-17	K	C	
26.					Buy (add'l)	6-6	J		
27.					Sold	10-7	J	A	
28. AMERICAN GROWTH FUND OF AME RICA CLASS F		None			Sold	10-7	K	A	
29. ROYCE TOTAL RETURN FUND	A	Dividend			Buy (add'l)	3-11	J		
30.					Sold	3-17	L	A	
31. S&P MID CAP 400 DEP RCPYS MID CAP	A	Dividend	K	T	Sold (part)	3-17	L	C	
32. FIDELITY MUNICIPAL MONEY MA RKET	B	Interest	N	T	Open	1-2	M		
33. FIDELITY CONTRAFUND	B	Dividend			Buy (add'l)	2-8	J		
34.					Sold	3-17	M	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. FIDELITY SPARTAN TAX FREE	A	Interest	L	T	Buy	10-29	L		
36.					Buy (add'l)	10-31	J		
37.					Buy (add'l)	11-28	J		
38.					Buy (add'l)	12-31	J		
39. VANGUARD INTERMED TERM TAX BOND FUND	A	Interest	L	T	Buy	10-29	L		
40.					Buy (add'l)	11-28	J		
41.					Buy (add'l)	12-31	J		
42. NEW YORK NY GO BDS SUBERIES 5% BOND	B	Interest	L	T	Buy	6-18	L		
43. METROPOLITAN TRANSN AUTH NY 5. 5% BOND		None	L	T	Buy	10-7	L		
44. FIDELITY NY MUNI MM	A	Interest	L	T					
45. SELECTED AMERICAN SHARES CL D		None			Sold (part)	3-17	L	A	
46.					Sold	3-28	K	A	
47. EATON VANCE LG CAP FUND	A	Dividend	K	T	Buy	3-28	K		
48.					Buy (add'l)	6-10	J		
49.					Buy (add'l)	9-9	J		
50.					Buy (add'l)	12-30	J		
51. **CITIBANK ACCOUNTS**									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. CHECKING [redacted]		None	J	T					
53. **FIDELITY IRA ROLLOVER**									
54. MARSH & MCLENNAN COMMON	A	Dividend	K	T					
55. FIDELITY CASH RESERVES	D	Interest	P1	T					
56. FEDL HOME LOAN BK.S CON BD 5.8 85% 3/30/2009	C	Interest	L	T					
57. S&P 500 DEPOSITORY RECEIPT (SPY) UNIT SERIES 1	B	Interest			Sold (part)	3-17	M	D	
58.					Sold	8-1	M	D	
59. S&P MID CAP 400 DEP RCPTS MID CAP SPDRS	B	Dividend	L	T	Buy (add'l)	8-1	L		
60.					Sold (part)	10-7	L	A	
61. FEDL HOME LN BANK CONS BD 5.62 5% 11/15/2011	C	Interest	L	T					
62. FEDERAL HOME LN BKS CONS BD 5. 475% 1/12/2009	D	Interest	M	T					
63. FEDERAL HOME LN BKS CONS BD 5. 79% 4/27/2009	D	Interest	M	T					
64. FEDERAL HOME LN BKS CONS BD 6. 715% 6/29/2009	D	Interest	M	T					
65. AMERICAN GROWTH FUND OF AME RICA CLASS F (GFAFX)	B	Dividend	M	T	Buy (add'l)	8-1	K		
66.					Sold (part)	10-7	L	A	
67.					Buy (add'l)	12-23	J		
68. DODGE & COX STOCK FUND (DODGX)	D	Dividend	L	T	Sold (part)	3-17	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Buy (add'l)	3-27	J		
70.					Buy (add'l)	6-26	J		
71.					Buy (add'l)	9-27	J		
72.					Sold (part)	10-7	L	A	
73.					Buy (add'l)	12-22	J		
74. TWEEDY BROWNE GLOBAL VALUE FUND	D	Dividend	L	T	Sold (part)	3-17	M	D	
75.					Sold (part)	10-7	L	E	
76.					Buy (add'l)	12-30	J		
77. BARON GROWTH FUND	A	Dividend	L	T	Sold (part)	3-17	J	A	
78.					Buy (add'l)	7-17	J		
79.					Sold (part)	10-7	L	A	
80. ROYCE TOTAL RETURN FUND	C	Dividend	L	T	Buy (add'l)	3-11	J		
81.					Buy (add'l)	6-11	J		
82.					Buy (add'l)	9-11	J		
83.					Sold (part)	10-7	L	A	
84.					Buy (add'l)	12-9	J		
85. FIDELITY GOVT MM	B	Interest			Closed	1-23	N		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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86. GOOGLE COMMON		None	K	T					
87. SPARTAN 500 INDEX IVESTOR CLASS FUND	A	Dividend			Buy (add'l)	6-6	J		
88.					Sold	8-1	K	B	
89. FIDELITY CONTRAFUND	C	Dividend	M	T	Buy (add'l)	2-8	J		
90.					Sold (part)	3-17	K	A	
91.					Buy (add'l)	8-1	K		
92.					Sold (part)	10-7	L	A	
93.					Buy (add'l)	12-26	J		
94. SELECTED AMERICAN SHARES		None			Sold	3-28	M	D	
95. US TREAS NTS 4.75% 11/15/2008	D	Interest			Redeemed	11-7	N	A	
96. US TREAS NTS 6.0% 8/15/2009	E	Interest	N	T					
97. LOOMIS SAYLES INVST GRADE MUTA L FUND	C	Dividend	N	T	Buy	10-29	M		
98.					Buy (add'l)	11-6	J		
99.					Buy (add'l)	12-4	J		
100. GOLDMAN SACHS GROUP INC COM MON	A	Dividend	K	T	Buy	9-17	K		
101. ISHARES IBOXX & INVESTOP INV F UND	B	Dividend	N	T	Buy	10-29	M		
102. EATON VANCE LG CAP VALUE FUND	B	Dividend	L	T	Buy	3-28	M		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Buy (add'l)	6-10	J		
104.					Buy (add'l)	8-1	K		
105.					Buy (add'l)	9-9	J		
106.					Sold (part)	10-7	L	A	
107.					Buy (add'l)	12-30	J		
108. SPARTAN US TREASURY MONEY M ARKET	D	Interest			Open	1-23	O		
109.					Closed	10-10	O		
110. **FIDELITY IRA**									
111. FIDELITY CASH RESERVES	A	Interest	J	T					
112. AMERICAN GROWTH (GFAFX)	A	Dividend	J	T	Buy (add'l)	12-23	J		
113.					Sold (part)	11-4	J	A	
114. **FIDELITY IRA**									
115. SPARTAN MARKET INDEX FUND	A	Dividend			Buy (add'l)	6-6	J		
116.					Sold	8-1	J	A	
117. FIDELITY CASH RESERVES	A	Dividend	K	T					
118. US TREAS NTS 4.75% 11/15/2008	A	Interest			Redeemed	11-17	J	A	
119. DODGE & COX STOCK FUND	A	Dividend	J	T	Buy (add'l)	3-29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	6-26	J		
121.					Buy (add'l)	9-26	J		
122.					Buy (add'l)	12-22	J		
123. TWEEDY BROWNE GLOBAL VALUE	B	Dividend	J	T	Buy (add'l)	12-28	J		
124. BARON GROWTH FUND	A	Dividend	J	T	Buy (add'l)	7-17	J		
125. FIDELITY CONTRAFUND	A	Dividend	J	T	Buy (add'l)	2-8	J		
126.					Buy (add'l)	12-26	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

SECTION VI: LIABILITIES

WASHINGTON MUTUAL MORTGAGE LOAN: CO-OBLIGOR WAS SATISFIED IN 2008.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature______________________________

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544