

10-9-07

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App., §§101-111)

| | | |
|---|---|--|
| 1. Person Reporting (Last name, first, middle initial) Boggs, Danny J. | 2. Court or Organization Sixth Circuit Court of Appeals | 3. Date of Report 5/13/05 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full or part-time) Chief Judge (Active) | 5. Report Type (check appropriate type) ____ Nominating, Date _____ ____ Initial <input checked="" type="checkbox"/> Annual ____ Final | 6. Reporting Period 01/01/04 - 12/31/04 |
| 7. Chambers or Office Address 220 U.S. Courthouse Bldg. Louisville, KY 40202 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

| POSITION | NAME OF ORGANIZATION/ENTITY |
|--|--|
| <input type="checkbox"/> NONE (No reportable positions.) | |
| 1 Trustee | Foundation for Research on Economy & Environment |
| 2 Board Member | The Lexington School |
| 3 Executive Committee Member | Appellate Judges Education Institute |
| 4 Counselor | Louis D. Brandeis American Inn of Court |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

| DATE | PARTIES AND TERMS |
|--|-------------------|
| <input checked="" type="checkbox"/> NONE (No reportable agreements.) | |
| 1 _____ | _____ |
| 2 _____ | _____ |
| 3 _____ | _____ |

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FINANCIAL
DISCLOSURE OFFICE

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

| DATE | SOURCE AND TYPE | GROSS INCOME |
|--|-----------------|--------------|
| A. Filter's Non-Investment Income | | |
| <input type="checkbox"/> NONE (No reportable non-investment income.) | | |
| 1 _____ | _____ | \$ _____ |
| 2 _____ | _____ | \$ _____ |
| 3 _____ | _____ | \$ _____ |

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

| | |
|--|--|
| <input type="checkbox"/> NONE (No reportable non-investment income.) | |
| 1 _____ | Administrative Review Board & Benefits Review Board, Department of Labor |
| | \$ _____ |

FINANCIAL DISCLOSURE REPORT

| | |
|---|---------------------------|
| Name of Person Reporting Boggs, Danny I. | Date of Report 5/13/05 |
|---|---------------------------|

\$

IV. REIMBURSEMENTS -- *Transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

| | <u>SOURCE</u> | <u>DESCRIPTION</u> |
|--------------------------|--|---|
| <input type="checkbox"/> | NONE (No such reportable reimbursements.) | |
| 1 | American Bar Association, Appellate Judges Conference | 2/7-9 - Transportation, lodging and meals for Midyear Meeting of American Bar Association in San Antonio, Texas. |
| 2 | The Federalist Society | 2/20-22 - Transportation, lodging and meals for moderating session at annual convention at Vanderbilt University, Nashville, Tennessee. |
| 3 | The Liberty Fund | 4/1-4 - Transportation, lodging and meals to participate in Colloquium in Seattle, Washington. |
| 4 | George Mason University School of Law | 5/15-16 - Transportation and meals to deliver law school commencement address, Arlington, Virginia. |
| 5 | The Federalist Society | 9/22-23 - Transportation, lodging and meals for speech to students at Yale Law School, New Haven, Connecticut. |
| 6 | George Mason University School of Law Law & Economics Center | 9/30-10/3 - Transportation, lodging and meals to participate in conference on Churchill the Visicary, La Jolla, California. |
| 7 | Appellate Judges Education Institute | 11/12-13 - Transportation, lodging and meals as speaker at the Appellate Judges Education Seminar in Dallas, Texas. |
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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|-------------------------------------|----------------------------------|--------------------|--------------|
| <input checked="" type="checkbox"/> | NONE (No such reportable gifts.) | | |
| 1 | | | \$ |
| 2 | | | \$ |
| 3 | | | \$ |
| 4 | | | \$ |

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE*</u> |
|--------------------------|---|--------------------------------|--------------------|
| <input type="checkbox"/> | NONE (No reportable liabilities.) | | |
| 1 | Sallie Mae Loan Corp. - Wilkes Barr, PA | Student Loans | L |
| 2 | MBNA American Bank - Wilmington, DE | Credit Card Loan for Education | K |

FINANCIAL DISCLOSURE REPORT

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|--|----------------------------------|
| Name of Person Reporting Boggs, Danny J. | Date of Report 5/13/05 |
|--|----------------------------------|

| | | | |
|----|---------------------------------------|--|------|
| 3 | US Bank Home Mortgage - Owensboro, KY | Mortgage on Bowling Green Rental House | K |
| 4 | Bank One - Wilmington, DE | Credit Card Loan | L |
| 5 | American Express Optima - Chicago, IL | Credit Card Loan for Education | K |
| 6 | Chase - Wilmington, DE | Credit Card Loan | J |
| 7 | Discover - Wilmington, DE | Credit Card Loan | None |
| 8 | Citibank-New York, NY | Credit Card Loan | K |
| 9 | | | |
| 10 | | | |

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|--|--|
| Value Codes: A=\$15,000 or less B=\$15,001-\$50,000 C=\$50,001-\$100,000 D=\$100,001-\$250,000 E=\$250,001-\$500,000 F=\$500,001-\$1,000,000 G=\$1,000,001-\$5,000,000 H=\$5,000,001-\$50,000,000 I=\$50,000,001 or more | Value Method Codes: Q=Appraisal (See Col. C7) R=Cost (real estate only) S=Assessment T=Cash/Market U=Book value V=Other W=Estimated |
|--|--|

VII. Page 1 INVESTMENTS and TRUSTS - income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of instructions)

| 1- Description of investment or asset | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
|--|--------------------------------|-------------|--|-------------|--------------------------------------|------------|-----------|---|--|
| | Code | Description | Code | Method Code | Date | Value Code | Gain Code | Identity of buyer/seller (if any transaction) | |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.) | | | | | | | | | |
| 1 1/2 interest in rental house, in Bowling Green, KY | B | Rent | K | Q | | | | | |
| 2 Bank One, Louisville, KY (checking account) | A | Int | L | T | | | | | |
| 3 House in Arlington, VA | | | | | Sold | 1/9/04 | O | G Mr. & Mrs. Eric Murdock | |
| 4 Carriage house on [redacted] residence property, Louisville, KY | D | Rent | M | Q | | | | | |
| 5 IRA Account - Bank One, Louisville, KY | A | Int/Div | L | T | | | | | |

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| 1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D2) B=\$1,001-\$2,500 G=\$2,500.001-\$1,000,000 C=\$2,501-\$5,000 H=\$5,000.001-\$5,000,000 D=\$5,001-\$15,000 I=\$15,001-\$50,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 M=\$100,001-\$250,000 J=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=More than \$50,000,000 |
| 2 Value Method Codes: Q=Appraisal (See Col. C7) R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market U=Book value |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Boggs, Daury J.

5/13/05

VII. Page 2 INVESTMENTS and TRUSTS --- income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| Description of Assets (including trust assets) <i>Place (X) after each asset exempt from prior disclosure</i> | Income during reporting period | | Gross value at end of reporting period | | Type of transaction (e.g. buy, sell, merger, redemption) | Transactions during reporting periods | | | |
|--|--------------------------------|--------------------------------------|--|--------------------------------|--|---------------------------------------|---------------------------|------------------------|--|
| | (1) Amt. Code (A-F) | (2) Type (e.g. dividend, capital) | (3) Value Code (P3) | (4) Value Method Code (Q-W) | | (2) Date Month Day | (3) Value Code (P1-P4) | (4) Gain Code (A-F) | Identity of buyer/seller (private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.) | | | | | | | | | |

| | | | | | | | | | |
|----|--|---|-----|---|---|-----|---------|---|--|
| 6 | Israel Bonds | A | Int | J | T | | | | |
| 7 | Wachovia Sec. IRA Account (S) | A | Int | L | T | | | | |
| 8 | Wachovia Sec. Account Standard & Poor Deposit Receipts, & Cash Funds | D | Div | N | T | Buy | 1/16/04 | N | |
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|---|--|--|---|--|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000 | B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 | C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000 | D=\$5,001-\$15,000 I=More than \$5,000,000 | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000 O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000 | M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000 | |
| 3 | Value Method Codes: Q=Appraisal (See Col. C2) U=Book value | R=Cost (real estate only) V=Other | S=Assessment W=Estimated | T=Cash/Market | |