

## United States Pistrict Court

Mextern District of Oklahoma Haited States Caurthause 200 N.M. 4th Street Oklahoma City, Oklahoma 73102



July 2, 2007

Honorable Ortrie D. Smith Chair, Committee on Financial Disclosure One Columbus Circle, N.E. Washington, DC 20544

Re: 2006 Financial Disclosure Report

Dear Judge Smith:

In response to your letter, Part VII, line 26 listing "Focus Federal Credit Union" was listed on my 2006 report on line 1 as simply "Federal Credit Union." They are one and the same. Line 26 (C-2) should reflect a valuation cod of T.

In Part VII, page 5, line 26, column D(1) the valuation code should reflect J.

Please let me know if you need further information.

David L. Russell

U.S. District Judge

DLR:ml

AO 10 Rev. 1/2007

#### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
RUSSELL, DAVID L	WESTERN DISTRICT OF OKLAHOMA	04/18/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  ACTIVE -U. S. DISTRICT JUDGE	5a. Report Type (check appropriate type)  Nomination, Date Initial Annual Final  5b. Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address  200 N.W. 4th, ROOM 3309  UNITED STATES COURTHOUSE  OKLAHOMA CITY, OK 73102	8. On the basis of the information contained in this Report and an modifications pertaining thereto, it is, in my opinion, in complian with applicable laws and regulations.  Reviewing Officer	ny nce Date
IMPORTANT NOTES: The instructions account of the NONE box for each part where you ha	mpanying this form must be followed. Complete all parts, we no reportable information. Sign on last page.	
I. POSITIONS. (Reporting individual only; see pp. 9-13 of instruction)  NONE (No reportable positions.)  POSITION	NAME OF ORGANIZ	ZATION/ENTITY
1.		
2.	· · · · · · · · · · · · · · · · · · ·	
4.	· · · · · · · · · · · · · · · · · · ·	
5.	· · ·	
II. AGREEMENTS. (Reporting individual only; see pp. 14-16 o.  X NONE (No reportable agreements.)  DATE  1.	Finstructions.)  PARTIES AND TERMS  PARTIES AND TERMS	22 <u>m</u>
3.		

## FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

RUSSELL, DAVID L

04/18/2007

#### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. F	iler's Non-Investment Incom	1e			
X	NONE (No reportable non-	investment income.)			
	DATE		SOURCE AND TYPE		INCOME (yours, not spouse's)
1.					
2.					
3.					
4.					
5.	A CONTRACT OF THE PROPERTY OF				•
		•			
-	ouse's Non-Investment Inco		luring any portion of the reporting y	ear, complete this section.	
X	NONE (No reportable non-	investment income.)			
	<u>DATE</u>		SOURCE AND TYPE		
1.					
2.					
3.					
4.					
5.					
	REIMBURSEMENTS - s those to spouse and dependent children.				
X	NONE (No reportable reim	bursements.)			
	SOURCE			DESCRIPTION	
l					
2.					
3. 					
ļ.				,	
j.					

# FINANCIAL DISCLOSURE REPORT Page 3 of 7

Name of Person Reporting

RUSSELL, DAVID L

04/18/2007

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

X	NONE (No reportable gifts.)		
	SOURCE	DESCRIPTION	<u>VALUE</u>
1.		, 	
2.			
3.	V		
4.			
5.			
VI.	LIABILITIES. (Includes those of NONE (No reportable liabiliti	f spouse and dependent children. See pp. 32-33 of instructions.)	
	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
e		•	

### FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting	Date of Report
RUSSELL, DAVID L	04/18/2007

## VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

2. N	Place "(X)" after each asset exempt from prior disclosure	(1) Amount	ing period		g period			•		od
2. N		Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	ALLEGIANCE CREDIT UNION f/k/a EDERAL EMPLOYEES CREDIT UNION	A	Interest	J	Т				I	
F	MONEY MARKET TRUST AG EDWARDS	A	Interest	К	Т					
3. C	CITIGROUP	A	Dividend	J	Т					
	ONEOK PARTNERS f/k/a NORTHERN BORDER PARTNERS	Α	Dividend	К	Т					
5. N	NORTHWEST NATURAL GAS	A	Dividend	J	Т					
6, C	OCCIDENTAL PETROLEUM CORP.	A	Dividend	J	Т					
	AT&T formerly SBC COMMUNICATIONS, INC.	A	Dividend	J	Т					
8. T	XU	A	Dividend	, <b>J</b>	Т					
	OKLA. DEPT. OF TRANSPORTATION Sond 9-1-20	A	Interest	J	Т					
10. Т	TULSA OK 4% 7-1-2016 BONDS	A	Interest	К	Т					
	OKLAHOMA WATER RESOURCES OARD 4-1-2027 BONDS	Α	Interest	J	Т					·
	OKLAHOMA 1-1-24 MUNICIPAL PWR UTHORITY	A	Interest	J	Т					
13. C	CAPITAL INCOME BUILDER FUND	D	Dividend	М	Т					·
4. E	UROPACIFIC GROWTH FUND SBI	A	Dividend	К	Т					
15. m	NCOME FUND OF AMERICA	С	Dividend	L	Т					
16. II	NVESTMENT CO. OF AMERICA	В	Dividend	M	Т					
17. R	CB BANK f/k/a BANK OF NICHOLS	A	Interest	К	Т					

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A = 1,000 or less

F = \$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U ≃Book Value

B =\$1,001 - \$2,500

V =Other

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O = \$500,001 - \$1,000,000R =Cost (Real Estate Only) C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S = Assessment

D = \$5,001 - \$15,000

H2 = More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E=\$15,001 - \$50,000

#### FINANCIAL DISCLOSURE REPORT Page 5 of 7

Date of Report Name of Person Reporting 04/18/2007 RUSSELL, DAVID L

VII. INVESTMENTS and TRUSTS	- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
-----------------------------	--

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	1	B. me during ting period	Gross valu	C. ue at end of ng period		Transaction	D. ons during r	eporting perio	od
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
	HILLS			1						
18.	ENTERPRISE PRODS PTNRS L.P.	D	Dividend	L	Т					
19.	OKLAHOMA 1-1-2023 TURNPIKE	С	Interest	L	Т		·			
20.	HALLIBURTON COMPANY	А	Dividend	J	Т					
21.	UNIVERSITY OF OKLAHOMA BONDS 5-1-2023	А	Interest	J	Т					
22.	HOUSTON TEX WATER & SEWER BONDS 12-1-28	С	Interest	L	Т					
23.	CHESAPEAKE ENERGY	A·	Dividend	J	Т	Buy	9-28	J		
24.	FUNDAMENTAL INVRS. INC.		None	К	Т	Buy	10-17	J		
25.	UNION BANK	А	Interest	К	Т					,
26.	FOCUS FEDERAL CREDIT UNION	А	Interest	J						
27.	RUSSELL INVESTMENT CO. (MUTUAL FUND)	А	Dividend	L	Т	Buy	7-11	К		
28.	ULTRA PETROLEUM		None	J	Т	Buy	5-5-	J		
29.	SYNTROLEUM		None	J	Т	Buy	12-22		<u>.                                      </u>	

١.	income	Gain (	Loa	es:	
	(See Co	himne	Вı	and	D4

<sup>2.</sup> Value Codes

3. Value Method Codes (See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q = Appraisal

U =Book Value

B =\$1,001 - \$2,500

G = \$100,001 - \$1,000,000K =\$15,001 - \$50,000

O = \$500,001 - \$1,000,000R =Cost (Real Estate Only)

V ≃Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000L =\$50,001 - \$100,000

Pi =\$1,000,001 - \$5,000,000

P4 = More than \$50,000,000 S = Assessment W =Estimated

D=\$5,001 - \$15,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

<sup>(</sup>See Columns C1 and D3)

## FINANCIAL DISCLOSURE REPORT Page 6 of 7

Name of Person Reporting	Date of Report
RUSSELL, DAVID L	04/18/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

#### FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

RUSSELL, DAVID L

Date of Report

04/18/2007

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



Date 4-18-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

#### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544