

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herndon, David R.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States Courthouse 750 Missouri Avenue East St. Louis, IL	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1, Assets No 31-91
2.	Trustee	Trust #2, Assets No 92-126
3.	Trustee	Trust #3, Assets No 127-162
4.	Trustee	Trust #4, Assets No 163-181
5.	Trustee	Trust #5 Assets No 182-200 Trust #6 Assets No 201-209

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	SIU School of Law	November 7, 2009	Carbondale, IL	Health Law Moot Court Judging	Lodging \$75
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1.	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period						
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)			
1.	Bank of Edwardsville Premium checking Acct		None					Closed	01/02/09	J			
2.	First Clover Leaf Bank checking acct (X)	B	Interest	K	T								
3.	Anheuser Busch		None					Sold	01/01/09	J	A	merger InBev 11/17/08	
4.	IRA Account JP Morgan IRA Account	A	Dividend	M	T							assets listed below	
5.	- (IRA) Apple Inc							Sold	11/10/09	K	C		
6.	- (IRA) CVS Caremark Corp							Buy	11/10/09	J			
7.	- (IRA) First Horizon Natl Corp												
8.	- (IRA) First Horizon Natl Corp							Spinoff (from line 7)	01/02/09				stock dividend
9.	- (IRA) First Horizon Natl Corp							Spinoff (from line 7)	04/01/09				stock dividend
10.	- (IRA) First Horizon Natl Corp							Spinoff (from line 7)	07/01/09				stock dividend
11.	- (IRA) First Horizon Natl Corp							Sold	08/28/09	K	D		
12.	- (IRA) First Horizon Natl Fractional Shares							Spinoff (from line 7)	01/06/09				stock dividend
13.	- (IRA) First Horizon Natl Fractional Shares							Spinoff (from line 7)	04/01/09				stock dividend
14.	- (IRA) First Horizon Natl Fractional Shares							Spinoff (from line 7)	07/01/09				stock dividend
15.	- (IRA) First Horizon Natl Fractional Shares							Sold	08/28/09	J	A		
16.	- (IRA) Fractional Kinder Morgan Mgmt							Spinoff (from line 20)	02/13/09				dividd pd in fractional sh
17.	- (IRA) Fractional Kinder Morgan Mgmt							Spinoff (from line 20)	05/15/09				no \$value until fraction=1

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 20)	08/14/09			dividd pd in fractional sh
19. - (IRA) Fractional Kinder Morgan Mgmt					Spinoff (from line 20)	11/13/09			dividd pd in fractional sh
20. - (IRA) Kinder Morgan Mgmt									
21. - (IRA) MicroSoft Corp									
22. - (IRA) ProShares UltraShort Lehman 20+ TBT					Buy	01/13/09	J		
23. - (IRA) Omnicom Group Inc					Buy	10/19/09	K		
24. - (IRA) Research in Motion					Buy	11/02/09	J		
25. - (IRA) TransOcean LTD									
26. - (IRA) XTO Energy Inc					Sold	12/22/09	K		
27. - (IRA) Dreyfus General Money Market Acct					Sold	12/10/09	K		
28. - (IRA) Pershing Prime Money Market					Buy	12/03/09	L		
29. JP Morgan Brokerage Account		None			Closed	12/10/09	J		
30. - Dreyfus General Money Market Account					Sold	12/10/09	J		
31. TRUST #1 JP Morgan Brokerage Account	G	Int./Div.	P1	T					trust assets listed below
32. - Dreyfus General Money Market Account					Sold	12/10/09	M		
33. - General MNY MKT Account					Buy	12/02/09	M		
34. - Clear Lake City Tex Wtr Auth GO Rfdg					Redeemed	03/02/09	L		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000 I1 = \$1,000,001 - \$5,000,000 S = Assessment T = Cash Market
2. Value Codes (See Columns C1 and D1)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g. div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Norfolk VA G/O Rdg & Cap Impt 6/15/98 5%					Redeemed	07/01/09	L		
36. - Long Island Pwr Auth NY Elec 4%									
37. - Lower Colo River Auth Trans 5/15/2011 5.25%									
38. - Puerto Rico Mun Fin Agy G/O 8/1/2011									
39. - Austin Tex G/O Pub Impt 8/15/01 5%									
40. - Eagle Garfield & Routt Cntys Colo Sch Dist					Redeemed	12/07/09	L		
41. - Eagle Garfield & Routt Cntys Colo Sch Dist					Redeemed	12/01/09	K		
42. - Charlotte-Mecklenberg Hosp Aut due 1/15/2015									
43. - Jackson Cnty Mo Spl Oblig Truman Sports									
44. - Deschutes Cnty Ore Sch Dist 5.25%									
45. - Cook Cnty ILL G/O Rdg-Ser 5.25%									
46. - Orland Park ILL GO Bds 2002A 5%									
47. - Chicago ILL Sales Tax Rev Sale 5%					Buy	01/08/09	M		
48. - Virginia St Res Auth Clean Wtr 6/13/04 5%					Buy	08/18/09	L		
49. - Autozone Inc 11/6/03 5.5%					Buy	01/15/09	K		
50. - Marriott Intl Inc 6/14/06 6.2%					Buy	01/29/09	K		
51. - Morgan Stanley Sr NTS 5.75%					Buy	01/29/09	K		

1. Income Gain Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Starbucks Corp Sub Deb CV Sr Nt 8/23/07 6.25%					Buy	03/04/09	K		
53. - McGraw-Hill Cos Inc Sr Nt 11/15/2017 5.9%					Buy	03/17/09	K		
54. - American Tower Corp					Buy (add'l)	05/07/09	K		
55. - Accenture LTD Cl A						09/01/09			name changed-see below
56. - Accenture PLC Ireland Shs Cl A ACN						09/01/09			new name
57. - Atlas Energy Res LLC						09/30/09			name changed-see below
58. - Atlas America Inc						09/30/09			new name-changed again
59. - Atlas Energy Inc						10/01/09			new new name
60. - Apple Inc									
61. - Bank of America Corp					Buy (add'l)	07/24/09	J		
62. - CVS Caremark Corporation									
63. - Companhia Vale Do Rio Doce ADR						05/28/09			name changed - see below
64. - Vale SA Spons ADR						05/28/09			new name
65. - Chesapeake Energy Corp									
66. - Cisco Systems Inc									
67. - Colgate Palmolive Co									
68. - Walt Disney Co Holding Co					Buy (add'l)	10/19/09	K		

1. Income Gain Codes (See Columns B1 and D9)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	69. - Enterprise Products Partners L.P.								
70. - Energy Transfer Partners L.P.									
71. - Goldman Sachs Group Inc					Sold	01/23/09	J		
72. - Honeywell Intl Inc									
73. - IShares Trust MSCI Emerging Markets Index EEM									
74. - IShares Tr Dow Jones Sel Divid Index DVPY									
75. - Humana Inc									
76. - JPMorgan Chase & Co									
77. - Kinder Morgan Energy Partners									
78. - Lowes Companies Inc									
79. - Markwest Energy Partners L.P.									
80. - McGraw Hill Companies									
81. - Microsoft Corp									
82. - Nasdaq OMX Group Inc NDAQ									
83. - Plains All American Pipeline L.P.									
84. - Proshares UltraShort Lehman 20+ yr TBT					Buy	08/19/09	K		
85. - Research in Motion LTD									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less E = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Schlumberger LTD									
87. - Target Corp									
88. - TransOcean LTD					Buy (add'l)	05/11/09	J		
89. - Thermo Fisher Scientific Inc					Buy (add'l)	04/29/09	J		
90. - WisdomTree Trust									
91. - Williams Partners L.P.									
92. TRUST #2 JP Morgan Brokerage Account	C	Int./Div.	M	T					trust assets listed below
93. -Dreyfus General Money Market Account					Sold	12/10/09	K		
94. -General MNY MKT Account					Buy	12/03/09	K		
95. -American Tower Corp					Buy (add'l)	05/07/09	J		
96. -Accenture LTD Cl A									name change- see below
97. -Accenture PLC Ireland Sh Cl A									new name
98. -Apple Inc									
99. -Bank of America Corp					Buy (add'l)	07/24/09	J		
100. -CVS CareMark Corporation									
101. -Companhia Vale Do Rio Doce Spons ADR					Buy (add'l)	05/15/09	J		name change-see below
102. -Companhia Vale Do Rio Doce Spons ADR						05/28/09			name change-see below

1. Income Gain Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (O-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
103. -Vale S A Spons ADR						05/28/09			new name	
104. -Chesapeake Energy Corp										
105. -Cisco Systems Inc										
106. -Colgate Palmolive Co										
107. -Walt Disney Co Holding Co					Buy (add'l)	10/19/09	J			
108. -Goldman Sachs Group Inc					Sold	01/23/09	J			
109. -Honeywell Intl Inc										
110. -Ishares Trust MSCI Emerging Markets Fd EEM										
111. -Ishares Tr FTSE Xinhua China 25 Index Fd FXI										
112. -Humana Inc										
113. -JPMorgan Chase & Co										
114. -Kinder Morgan Energy Partners LTD partnership										
115. -Lowe's Companies Inc										
116. -McGraw Hill Companies										
117. -MicroSoft Corp										
118. -Nasdaq OMX Group Inc NDAQ										
119. -ProShares UltraShort Lehman20+ year TBT					Buy	08/19/09	J			

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/Jd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Omnicom Group Inc					Buy	10/19/09	J		
121. -Research in Motion LTD									
122. -Schlumberger LTD									
123. -Target Corp									
124. -TransOcean LTD					Buy (add'l)	05/11/09	J		
125. -Thermo Fisher Scientific Inc					Buy (add'l)	04/29/09	J		
126. -WisdomTree Trust DOO									
127. TRUST #3 JP Morgan Brokerage Account	C	Int./Div.	N	T					trust assets listed below
128. -Dreyfus General Money Market Account					Sold	12/10/09	M		
129. -General MNY MKT Account					Buy	12/03/09	M		
130. -American Tower Corp Cl A					Buy (add'l)	05/07/09	J		
131. -Accenture Ltd Cl A						09/01/09			name changed - see below
132. -Accenture PLC Ireland Shs Cl A						09/01/09			new name
133. -Apple Inc									
134. -Bank of America Corp					Buy (add'l)	07/24/09	J		
135. -CVS CareMark Corp					Buy (add'l)	10/14/09	J		
136. -Companhia Vale Do Rio Doce Spons ADR					Buy (add'l)	05/15/09	J		name changed - see below

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Companhia Vale Do Rio Doce Spns ADR						05/28/09			name changed - see below
138. -Vale S A Spns ADR						05/28/09			new name
139. -Chesapeake Energy Corp									
140. -Cisco Systems Inc									
141. -Colgate Palmolive Co									
142. -Walt Disney Co Holding Co					Buy (add'l)	10/19/09	J		
143. -Goldman Sachs Group Inc					Sold	01/23/09	J		
144. -Honeywell Intl Inc									
145. -IShares Trust MSCI Emerging Markets Index EEM									
146. -IShares Tr Dow Jones Sel Div DVY					Sold	10/14/09	K		
147. -IShares Tr FTSE Xinhua Hk China 25 Index Fd FXI									
148. -Humana Inc									
149. -JPMorgan Chase & Co									
150. -Kinder Morgan Energy Partners LP									
151. -Lowe's Companies Inc									
152. -McGraw Hill Companies									
153. -Microsoft Corp									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -NASDAQ OMX Group Inc NDAQ									
155. -ProShares UltraShort Lehman 20+ year TBT					Buy	08/19/09	J		
156. -Omnicom Group Inc					Buy	10/19/09	J		
157. -Research in Motion LTD									
158. -Schlumberger LTD									
159. -Target Corp									
160. -TransOcean LTD					Buy (add'l)	05/11/09	J		
161. -Thermo Fisher Scientific Inc					Buy (add'l)	04/29/09	J		
162. -WisdomTree Trust DOO					Buy	10/14/09	K		
163. TRUST #4 JP Morgan Brokerage Account	C	Int./Div.	M	T					trust assets listed below
164. -Dreyfus General Money Market Account					Sold	12/03/09	K		
165. -General MNY MKT Account					Buy	12/03/09	K		
166. -Claymore BNY Bric ETF									
167. -iShares Trust Dow Jones US Telecom IYZ									
168. -iShares Trust MSCI Emerging Markets Index IJ EEM									
169. -iShares Tr Dow Jones Sel Divid Index Fd DVY									
170. -iShares Tr FTSE Xinhua HK China 25 Index FXI									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$5,000,001 - \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Block Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)

171. -Kayne Anderson MLP Invt Co									
172. -Select Sector SPDR Amex Utilities Select XLU									
173. -Sector SPDR Trust Industrial XLI									
174. -Sector SPDR Trust Technology XLK									
175. -Sector SPDR Financial XLF									
176. -Select Sector SPDR Trust Energy XLE									
177. -Select Sector SPDR Consumer Discret XLY									
178. -Select Sector SPDR Tr Health Care XLV									
179. -Select SPRD Trust Consumer Staples XLP									
180. -Select Sector SPDR Int Materials XLB					Buy (add'l)	10/26/09	J		
181. -WisdomTree Trust Top 100 Fd DOO					Buy (add'l)	10/26/09	J		
182. TRUST #5 JP Morgan Brokerage Account	B	Int./Div.	L	T					trust assets listed below
183. -Dreyfus General Money Market Account					Sold	12/10/09	J		
184. -General MNY MKT Account					Buy	12/03/09	J		
185. -Claymore BNY Bric ETF					Buy (add'l)	10/26/09	J		
186. -Ishares Trust Dow Jones US Telecomm Sector IYZ									
187. -Ishares Trust MSCI Emerging Markets Index Fd EEM									

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Ishares Tr Dow Jones Sel Div Index Fd DVY									
189. -Ishares Tr FTSE Xinhua HK China 25 Index FXI					Buy (add'l)	10/26/09	J		
190. -Kayne Anderson MLP INVT Co									
191. -Select Sector SPDR Amex Utilities XLU									
192. -Sector SPDR Trust Shs ben int Industrial XLI									
193. -Sector SPDR Trust Shs ben int Technology XLK					Buy (add'l)	10/26/09	J		
194. -Sector SPDR Trust Financial XLF					Buy (add'l)	10/26/09	J		
195. -Select Sector SPDR Trust Shs ben int Energy XLE					Buy (add'l)	10/26/09	J		
196. -Select Sector SPDR Fund Consumer Discretionary XLY					Buy (add'l)	10/26/09	J		
197. -Select Sector SPDR Fd Health Care XLV									
198. -Sector SPDR Trust Consumer Staples XLP									
199. -Select Sector SPDR Fd Materials XLB					Buy (add'l)	10/26/09	J		
200. -WisdomTree Trust Intl Div 100 Fd DOO					Buy (add'l)	10/26/09	J		
201. Trust #6 JP Morgan Brokerage Account	A	Int./Div.	K	T					trust assets listed below
202. -Dreyfus General Money Market Account					Sold	12/10/09	J		
203. -General MNY MKT Account					Buy	12/03/09	J		
204. -Claymore BNY Bric ETF EEB									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000			
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting Herndon, David R.	Date of Report 05/14/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -Ishares Trust MSCI Emerging Markets Index EEM									
206. -Ishares Tr Dow Jones Sel Div Index Fd DVY									
207. -Ishares Tr FTSE Xinhua HK China 25 Index Fd FXI									
208. -Kayne Anderson MIP INVT Co									
209. -Standard & Poors Depository Receipts SPDRS SPY									
210.									

1. Income Gain Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
Herndon, David R.	05/14/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Bear Stearns Brokerage accounts changed names to JP Morgan Brokerage accounts as reflected on the following lines:

- IRA account summarized on line 4
- Brokerage account on line 29
- Trust #1 on line 31
- Trust #2 on line 92
- Trust #3 on line 127
- Trust #4 on line 163
- Trust #5 on line 182
- Trust #6 on line 201

Lines 16-19 fractional shares of Kinder Morgan Mgmt: Instead of paying cash dividends, Kinder Morgan issued fractional shares of stock. The fractional shares have no monetary value and no market until they accumulate to equal a full share.

Lines 27, 30, 32, 93, 128, 164, 183, 202 Dreyfus General Money Market Fund was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these accounts with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

Lines 33, 94, 129, 165, 184 and 203 When Bear Stearns changed names to JP Morgan Brokerage, Dreyfus General Money Market Funds were closed and replaced by General MNY MKT Accounts.

Lines 55-56 and 96-97 and 131-132 Accenture LTD Class A changed its name to Accenture PLC Ireland Shs CL A ACN in September 2009.

Lines 57-59 Atlas Energy Res LLC changed its name to Atlas America Inc and then to Atlas Energy Inc in September and October 2009.

Lines 63-64 and 101-103 and 136-138 Companhia Vale Do Rio Doce ADR changed its name in May 2009 to Vale S A Spons ADR.

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported on lines 31, 92, 127, 163, 182 and 201. Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally, the records of each individual trust are available for the committee's inspection upon request.

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Name of Person Reporting	Date of Report
Herndon, David R.	05/14/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544