

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Cote, Denise L.	<b>2. Court or Organization</b>  U.S.D.C., S.D.N.Y.	<b>3. Date of Report</b>  06-01-2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Judge, Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, Date _____ <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01-01-2009 to 12-31-2009
<b>7. Chambers or Office Address</b>  U.S. District Court, Room 1040 500 Pearl Street New York, NY 10007	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Practising Law Institute	3/20/09-3/25/09	San Francisco, CA	Non-FJC educational seminar or program	airline ticket round trip between New York and San Francisco
2.					
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Amanda Straub	Car service to wedding and hotel accommodations	\$627.50
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-T)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd-yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Account with JB Global									See lines 2 through 33
2. Clipper Fund	A	Dividend	L	T	Sold (part)	04/09/09	J		
3. Columbia Energy & Natural Resources Fund /k/a Excelsior	A	Dividend	J	T	Sold (part)	9/23/09	J		
4.					Buy	6/10/09	J		
5. Japan Fund	A	Dividend	K	T					
6. Matthews Japan Fund	A	Dividend	J	T					
7. Matthews Pacific Tiger Fund	A	Dividend	J	T	Sold (part)	09/16/09	J		
8.					Sold (part)	09/17/09	J		
9.					Sold (part)	9/23/09	J		
10.					Buy	4/13/09	J		
11. Seligman Communications & Information Fund		None	J	T	Sold (part)	6/9/09	J		
12.					Sold (part)	8/31/09	J		
13.					Sold (part)	9/23/09	J		
14. Third Avenue Value Fund	A	Dividend	J	T					
15. Toray Fund	A	Dividend	K	T	Buy	2/23/09	J		
16.					Buy	3/3/09	J		
17.					Sold (part)	6/17/09	K		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$550,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	9/10/09	K		
19.					Sold (part)	9/23/09	J		
20. Vanguard Equity Income Fund	B	Dividend	K	T					
21. Vanguard Healthcare Fund	A	Dividend	K	T					
22. Davis Series Inc. Financial	A	Dividend	K	T	Sold (part)	9/16/09	J		
23.					Sold (part)	9/23/09	J		
24. Davis NY Venture Fund		None			Sold	9/16/09	K		
25. Eaton Vance Floating Rate Fund	A	Dividend			Sold	3/16/09	L		
26. Loomis Sayles Bond Fund	D	Dividend	L	T	Buy	3/17/09	L		
27.					Sold (part)	9/16/09	L		
28. Market Vectors Gold ETF	A	Dividend	K	T					
29. Schwab Advisor Cash Reserves	A	Dividend	L	T					
30. Consumer Staples SPDR ETF	A	Dividend	L	T	Buy	10/28/09	L		
31. Wells Fargo Ultra S/T Income Fund	A	Dividend	L	T	Buy	9/17/09	K		
32. T Rowe Price New Era Fund	A	Dividend	K	T	Buy	6/18/09	J		
33.					Sold (part)	9/23/09	J		
34. Columbia University Pension (TIAA CREF)	E	Distribution	O	T					

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Chase Accounts		None	J	T					
36. MERRILL LYNCH ACCOUNT									See lines 37 through 43
37. Muni Investment Trust SA	A	Interest	J	T					
38. Merrill Lynch Bank Deposit	A	Interest	J	T					
39. CD Western Bank	A	Interest			Sold	2/2/09	K		
40. CD Silverton Bank	A	Interest			Sold	5/7/09	K		
41. CD Provident Bank n/k/a M & T Bank	B	Interest	K	T	Sold (part)	5/4/09	J		
42. CD Compass Bank	A	Interest	K	T	Buy	6/3/09	K		
43. CD Goldman Sachs Bank	A	Interest	K	T	Buy	1/26/09	K		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less B = \$50,001 - \$100,000 C = \$15,000 or less D = \$250,001 - \$500,000 E = \$25,000,001 - \$50,000,000	F = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 H = \$15,001 - \$50,000 I = \$500,001 - \$1,000,000	J = \$2,501 - \$5,000 K = \$100,001 - \$500,000 L = \$15,001 - \$50,000 M = \$500,001 - \$1,000,000	N = \$5,001 - \$15,000 O = \$100,001 - \$500,000 P = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	R = \$15,001 - \$50,000 S = \$100,001 - \$500,000 T = \$500,001 - \$1,000,000 U = \$1,000,001 - \$5,000,000 V = \$5,000,001 - \$25,000,000 W = More than \$25,000,000	X = \$5,001 - \$15,000 Y = More than \$5,000,000 Z = \$100,001 - \$250,000 AA = \$25,000,001 - \$50,000,000	AB = \$15,001 - \$50,000 AC = \$100,001 - \$500,000 AD = \$500,001 - \$1,000,000 AE = \$1,000,001 - \$5,000,000 AF = \$5,000,001 - \$25,000,000 AG = More than \$25,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less K = \$250,001 - \$500,000 L = \$25,000,001 - \$50,000,000	M = \$500,001 - \$1,000,000 N = \$1,000,001 - \$5,000,000 O = \$5,000,001 - \$25,000,000 P = More than \$25,000,000	Q = Appraisal R = Book Value	S = Cost (Real Estate Only) T = Other U = Estimated	V = Assessment W = Estimated	X = Cash Market	
3. Value Method Codes (See Column C2)							

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

\_\_\_\_\_

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544