

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Saylor, F. Dennis IV	<b>2. Court or Organization</b> U.S. District Court, Mass.	<b>3. Date of Report</b> 05/01/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> Donohue Federal Building 595 Main Street Worcester, MA 01608	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

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DISCLOSURE OFFICE

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2006	U.S. Department of Justice - salary
2.		
3.		
4.		
5.		

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		
2.		
3.		
4.		
5.		

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Goodwin Procter LLP (former law firm)	Guest at dinner honoring new partners (self)	\$ 350.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	J	T					
2. Bank of America		None	J	T					
3. Fidelity Cash Reserves	E	Dividend			transfer out	5/5			
4. Fidelity Cash Reserves	A	Dividend			transfer out	5/5			
5. Fidelity Cash Reserves	A	Dividend	N	T	transfer in	5/5			
6. Fidelity Growth Co. Mutual Fund		None			transfer out	5/5			
7. Fidelity Small Cap Independence Mutual Fund	B	Dividend	K	T					
8. Fidelity Mid Cap Stock Mutual Fund	B	Dividend	K	T					
9. Fidelity Growth Co. Mutual Fund		None	K	T	transfer in	5/5			
10. Fidelity Asset Manager Growth Mutual Fund	A	Dividend	K	T					
11. Fidelity Ufund 429 Plan		None	L	T	buy	2/6	J		
12.					buy	11/13	J		
13. Fidelity Ufund 429 Plan		None	L	T	buy	2/6	J		
14.					buy	11/13	J		
15. Fidelity Ufund 429 Plan		None	L	T	buy	2/6	J		
16.					buy	11/13	J		
17. Vanguard 500 Index Mutual Fund	B	Dividend	L	T	buy	1/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$50,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated	T=Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					buy	2/3	J		
19. Vanguard US Growth Mutual Fund	A	Dividend	J	T					
20. Vanguard 500 Index Mutual Fund	A	Dividend	J	T					
21. Vanguard 500 Index Mutual Fund	A	Dividend	J	T					
22. Vanguard 500 Index Mutual Fund	A	Dividend	J	T					
23. Vanguard Prime Money Market Fund	A	Dividend	K	T	buy	2/6	J		
24. 3M Common Stock		None			sell	1/6	J	A	
25. Wilmington Trust Common Stock		None			sell	1/6	J	C	
26. Janus Worldwide Mutual Fund	A	Dividend	J	T					
27. Third Avenue Value Mutual Fund	A	Dividend	J	T					
28. Franklin Templeton World Fund A Mutual Fund	A	Dividend	J	T					
29. T. Rowe Price New Horizons Mutual Fund	A	Dividend	J	T					
30. MONY: Life Insurance Policies	A	Dividend	J	T					
31. IRA Fidelity: Discovery Mutual Fund	A	Dividend	J	T					
32. IRA Fidelity: Contrafund Mutual Fund	A	Dividend	J	T					
33. IRA Fidelity: Japan Mutual Fund	A	Dividend	J	T					
34. IRA Fidelity: Puritan Mutual Fund	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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35. IRA Fidelity: Aggressive Growth Mutual Fund		None	J	T					
36. IRA Fidelity: Discovery Mutual Fund	A	Dividend	K	T					
37. IRA Fidelity: Magellan Mutual Fund	C	Dividend	J	T					
38. IRA Fidelity: Blue Chip Growth Mutual Fund	A	Dividend	J	T					
39. IRA Fidelity: Discovery Fund	A	Dividend	J	T					
40. IRA Fidelity: Aggressive Growth Mutual Fund		None			sell	12/15	J	B	
41. IRA Fidelity: Blue Chip Growth Mutual Fund	A	Dividend			sell	12/15	M	D	
42. IRA Fidelity: Capital Appreciation Fund	C	Dividend	L	T					
43. IRA Fidelity: Contrafund	D	Dividend	M	T					
44. IRA Fidelity: Equity - Income II Fund	C	Dividend			sell	12/15	K	D	
45. IRA Fidelity: Fidelity Fund	A	Dividend	L	T					
46. IRA Fidelity: Magellan Fund	A	Dividend			sell	12/15	K	D	
47. IRA Fidelity: Mid-Cap Stock Fund	A	Dividend	J	T					
48. IRA Fidelity: Real Estate Fund	B	Dividend	J	T					
49. IRA Fidelity: Value Fund	D	Dividend	L	T					
50. IRA Fidelity: Cash Reserves	A	Dividend	J	T	buy	12/15	J		
51. IRA Vanguard: 500 Index Mutual Fund		None	M		buy	12/29	M		

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52. Commerce Bank CD	B	Interest	K	T	rollover	7/4	K	B	
53. Countrywide Bank CD	D	Interest	M	T	rollover/sel	8/8	M	D	
54.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

On January 3, 2006, [REDACTED] received reimbursement (value code K) from the Town of Weston under the Massachusetts Community Preservation Act for funds expended on a historic preservation project for the benefit of our property and adjoining town conservation land. The project was approved by vote at a town meeting on November 25, 2002.



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5.1.2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544