

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Kosik, Edwin M.	<b>2. Court or Organization</b>  U.S. District Court/M.D. Pa.	<b>3. Date of Report</b>  05/04/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Senior U.S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  William J. Nealon Federal Bldg. & U.S. Courthouse North Washington and Linden Streets P.O. Box 856 Scranton, PA 18501	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	7/16/1986	Blue Cross/Blue Shield fully paid as part of State Retirement
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/12/2010	Commonwealth of Pennsylvania Retirement	\$53,300.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Pennstar Bank, Carbondale, PA (Savings)	B	Interest	L	T				
2. Penn Security Bank, Moscow, PA (Savings)	A	Interest	K	T					
3. Mutual of New York (1987) Single Premium Policy	B	Dividend	K	T					
4. First Credit Union, Scranton, PA (Savings)	B	Interest	M	T					
5. PNC Bank, Scranton, PA (Checking)	A	Interest	J	T					
6. Pennstar Bank, Carbondale, PA (Cert. Dep.)	B	Interest	L	T					
7. PNC, Pittsburgh, PA	A	Dividend	J	T					
8. Prudential Equity Fund, Class B	A	Dividend	J	T					
9. Prudential World Fund, Global Series B	A	Dividend	J	T					
10. Cisco Systems, Inc.		None	K	T					
11. Coca Cola Co. Delaware	A	Dividend	K	T					
12. General Electric Co.	A	Dividend	J	T					
13. Intel Corp.	A	Dividend	K	T					
14. Lucent Technologies, Inc.		None	J	T					
15. Merck & Co.	A	Dividend	K	T					
16. Microsoft Corp. Washington		None	L	T					
17. Pepsico, Inc.	A	Dividend	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

# FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Praxair, Inc.	A	Dividend	K	T					
19. Schering Plough Corp.	A	Dividend	K	T					
20. Tricon Global Restaurants, Inc.		None	J	T					
21. Union Carbide Corp.	A	Dividend	K	T					
22. Prudential World Fund, Inc. Global Series Class A		None	K	T					
23. Command Money Fund	C	Interest	L	T					
24. Prudential Special Money Market Fund Inc.	A	Interest	J	T					
25. Alliance Quasar Fund Class B		None	K	T					
26. Target, Large Cap Fund		None	K	T					
27. Southern Union Co.	A	Dividend	M	T					
28. Strategic Partners New ERA Growth Fund, Class B		None	J	T					
29. Philadelphia General Obligation	B	Interest	K	T					
30. Prudential Financial Inc.		None	J	T					
31. Wachovia Corp.	B	Dividend	K	T					
32. NBT Bank Corp.	A	Dividend	K	T					
33. M&T Bank Corp.	C	Dividend	L	T					
34. Alger Midcap Growth Fund		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Kosik, Edwin M.</b>	Date of Report <b>05/04/2011</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Alliance Bernstein Growth Fund	A	Dividend	K	T					
36. Badger TOB Asset Scrutinization	C	Interest	L	T					
37. IDEX Mutual Fund	C	Dividend	L	T					
38. Pimco Small Cap Fund	A	Dividend	J	T					
39. Strategic Partners Large Cap		None	K	T					
40. Lord Abbett Midcap	A	Dividend	J	T					
41. YUM Brands	A	Dividend	J	T					
42. Cohen & Steers REIT Utility Fund	B	Interest	K	T					
43. John Hancock Tax-Adv Dividend Fund	B	Dividend	K	T					
44. Ta IDEX Asset Allocation (IMOX)	C	Dividend			Sold	12/29/10	M		
45. Evergreen International (EBI)	A	Interest			Redeemed	08/20/10	K		
46. NFJ Dividend Interest Premium Strategy Fund	B	Interest	K	T					
47. Allianz NFJ Small Cap		None	K	T					
48. Colgate Palmolive	A	Dividend	J	T					
49. Seligman Target Fund		None			Sold	12/02/10	K		
50. Medco Health Solutions		None	J	T					
51. Goldman Sachs TR High Yield Municipal	B	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000  
 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market  
 (See Column C2) U = Book Value; V = Other; W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Eaton Vance Insured Municipal Bond Fund	C	Dividend	K	T					
53. Pfizer Inc.	A	Dividend	K	T					
54. DWS Managed Municipal		None	K	T	Buy	08/26/10	K		
55. Nuveen Inv. Trust		None	K	T	Buy	12/28/10	K		
56. Kinetics Mutual Fds.		None	K	T	Buy	12/28/10	K		
57. Hartford Mutual Fds.		None	J	T	Buy	12/28/10	J		
58. Pimco		None	K	T	Buy	12/28/10	K		
59. Thornburg Inv. Trust		None	K	T	Buy	12/28/10	K		
60. Wells Fargo Advantage		None	K	T	Buy	12/28/10	K		
61. Ivy Eds. Inc.		None	K	T	Buy	12/28/10	K		
62. Blackrock Fds. II		None	J	T	Buy	12/28/10	J		
63. Blackrock Global		None	K	T	Buy	12/28/10	K		
64. Calamos Invt. Tr.		None	J	T	Buy	12/28/10	J		
65. First Eagle Fds. Inc.		None	K	T	Buy	12/28/10	K		
66. Goldman Sachs Tr.		None	J	T	Buy	12/28/10	J		

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
    F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
    N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000  
    P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
    U = Book Value      V = Other      W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting	Date of Report
Kosik, Edwin M.	05/04/2011

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

All stocks reported are common stocks.



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Kosik, Edwin M.

Date of Report

05/04/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edwin M. Kosik**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544