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Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KAGAN, ELENA	**2. Court or Organization** SUPREME COURT	**3. Date of Report** 06/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE	**5a. Report Type** (check appropriate type) ☑ Nomination, Date 05/10/2010 ☐ Initial ☐ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 05/17/2010
7. Chambers or Office Address 950 PENNSYLVANIA AVENUE, N.W. WASHINGTON, D.C. 20530	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer ______________ Date ______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

RECEIVED
2010 JUN 14 P 5: 21
FINANCIAL DISCLOSURE OFFICE

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	DEAN	HARVARD LAW SCHOOL THROUGH MARCH 20, 2009
2.	MEMBER, BOARD OF TRUSTEES	OXFORD UNIVERSITY PRESS, INC. THROUGH MARCH 20, 2009
3.	MEMBER, ADVISORY BOARD	AMERICAN INDIAN EMPOWERMENT FUND THROUGH MARCH 20, 2009
4.	MEMBER, BOARD OF DIRECTORS	ADVANTAGE TESTING FOUNDATION THROUGH MARCH 20, 2009
5.	MEMBER, BOARD OF ADVISORS	NATIONAL CONSTITUTION CENTER PETER JENNINGS PROJECT THROUGH MARCH 20, 2009
6.	MEMBER, BOARD OF DIRECTORS	AMERICAN LAW DEANS ASSOCIATION THROUGH MARCH 20, 2009
7.	MEMBER, BOARD OF TRUSTEES	SKADDEN FELLOWSHIP FOUNDATION THROUGH MARCH 20, 2009
8.	MEMBER, BOARD OF DIRECTORS	EQUAL JUSTICE WORKS THROUGH MARCH 20, 2009
9.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 12/2008	I AM CURRENTLY ON A TWO-YEAR LEAVE OF ABSENCE FROM THE FACULTY OF HARVARD UNIVERSITY.
2.	

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3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	1-3/20/09	OXFORD UNIVERSITY PRESS - SERVICE ON BOARD OF TRUSTEES	$1,667.00
2.	1-3/20/09	DEAN HARVARD LAW SCHOOL	$91,280.00
3.	2008	DEAN HARVARD LAW SCHOOL	$404,000.00
4.	2009	GOLDMAN SACHS GLOBAL MARKETS INSTITUTE - FOR SERVICES ON ADVISORY COUNCIL DURING 2008	$10,000.00
5.	2008	GOLDMAN SACHS GLOBAL MARKETS INSTITUTE - FOR SERVICES ON ADVISORY COUNCIL DURING 2007	$10,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	EXEMPT				
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	CAMBRIDGE SAVINGS BANK ACCT	A	Interest	N	T	Exempt				
2.	VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T	Exempt				
3.	VANGUARD TOTAL STOCK MKT INDEX ADM	A	Dividend	M	T	Exempt				
4.	FRANKLIN TEMPLETON, MUTUAL BEACON FUND	B	Dividend	K	T	Exempt				
5.	UNIV. OF CHICAGO RET - TIAA TRADITIONAL	B	Interest	K	T	Exempt				
6.	UNIV OF CHICAGO RET - CREF STOCK		None	K	T	Exempt				
7.	UNIV OF CHICAGO RET - CREF BOND MARKET VARIABLE ANNUITY		None	K	T	Exempt				
8.	UNIV OF CHICAGO RET - VANGUARD INT-TERM BOND	B	Dividend	K	T	Exempt				
9.	UNIV OF CHICAGO RET - VANGUARD WINDSOR FUND	A	Dividend	K	T	Exempt				
10.	HARVARD 403(B) RET PLAN - FIDELITY MAGELLAN FUND	A	Dividend	L	T	Exempt				
11.	HARVARD 403(B) RET PLAN - FIDELITY PURITAN FUND	B	Dividend	L	T	Exempt				
12.	HARVARD 403(B) RET PLAN - FIDELITY INTERMED. BOND FUND	C	Dividend	L	T	Exempt				
13.	HARVARD 403(B) RET PLAN - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T	Exempt				
14.	HARVARD 403(B) RET PLAN - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T	Exempt				
15.	HARVARD 403(B) RET PLAN - VANGUARD WELLESLEY INC FUND	A	Dividend	K	T	Exempt				
16.	HARVARD 403(B) RET PLAN - VANGUARD 500 INDEX FUND	A	Dividend	K	T	Exempt				
17.	HARVARD 403(B) RET PLAN - VANGUARD INTL EXPLORER FUND	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. HARVARD 457B PLAN - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T	Exempt				
19. HARVARD 457B PLAN - VANGUARD TOTAL STOCK MKT INDEX	A	Dividend	K	T	Exempt				
20. HARVARD 457B PLAN - VANGUARD EXPLORER FUND	A	Dividend	J	T	Exempt				
21. HARVARD 457B PLAN - VANGUARD GLOBAL EQUITY FUND	A	Dividend	J	T	Exempt				
22. JUSTICE FEDERAL CREDIT UNION	A	Dividend	N	T	Exempt				
23. BANK OF AMERICA ACCT	A	Interest			Exempt				
24. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T	Exempt				
25. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T	Exempt				
26. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	M	T	Exempt				
27. SCHWAB S&P 500 INDEX FUND	B	Dividend	L	T	Exempt				
28. SCHWAB - AMERICAN CENTURY GROWTH FUND		None			Exempt				
29. SCHWAB - AMERICAN CENTURY SELECT FUND		None			Exempt				
30. SCHWAB - T ROWE PRICE GROWTH STK FUND		None			Exempt				
31. SCHWAB - T ROWE PRICE CAPITAL APPREC FUND		None			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544