AO 10 Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	3.6	
Cox, Emmett R	2. Court or Organization	3. Date of Report
	U.S. Court of Appeals	1
Title (Article III judges indicate active or senior status; magistrate judges indicate full.		04/23/2007
magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6 Panartin
Senior U.S. Circuit Judge	Nomination, Date	6. Reporting Period
<u> </u>	Initial 52 4	01/01/2006
	Annual Final	12/21/2006
7. Chambers or Office Address	5b. Amended Report	12/31/2006
	8. On the basis of the information contained in this Report as modifications pertaining thereto, it is in my order.	
113 St. Joseph Street Room 433	modifications pertaining thereto, it is, in my opinion, in com with applicable laws and regulations.	nd any pliance
Mobile, AL 36602		
	Reviewing Officer	
		Date
IMPORTANT NOTES: The instructions of	CCOmpanying 41: C	
checking the NONE box for each part where you	ccompanying this form must be followed. Complete all parts the have no reportable information. Sign on last page.	,
	reportable information, Sign on last page,	
DOCIETO		
POSITIONS. (Reporting individual only; see pp. 9-13 of instru	and .	
NONE (No reportable positions.)	ucuons.)	
is the reportable positions.)		
POSITION		
<u>POSITION</u>	NAME OF ODC AND	IZATION
Member, Board of Governors	NAME OF ORGAN	
	Fairhope Yacht Club (a non-profit corporation	that promotes as III
		D Connotes sailing and boating
		7 7001
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CDURA		
(CFR H.H.M.F.NITO		
IGREEMENTS. (Reporting individual only; see pp. 14-16 of	(instructions.)	
GREEMENTS. (Reporting individual only; see pp. 14-16 of NONE (No reportable agreements)	instructions.)	
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NONE (No reportable agreements.) DATE		
NONE (No reportable agreements.)		
NONE (No reportable agreements.) <u>DATE</u>	PARTIES AND TERMS	
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FINANCIAL DISCLOSURE REPORT Page 2 of 8 Name of Person Reporting Cox, Emmett R Date of Report 04/23/2007

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

DATE	00715	
	SOURCE AND TYPE	INCOME
		(yours, not spouse's
Douse's Non-Investment Income		
amount not required except for honoraria.)	f you were married during any portion of the reporting year, complete this sect	ion.
NONE (No reportable non-investr	nent income.)	
DATE		
	SOURCE AND TYPE	
EIMBURSEMENTS transporta		
hose to spouse and dependent children. See pp. 25-	tton, lodging, food, entertainment. 27 of instructions.)	
NONE (No reportable reimburseme		
SOURCE		
<u> </u>	DESCRIPTION	

FINANCIAL DISCLOSURE REPORT Page 3 of 8	Name of Person Reporting Cox, Emmett R	Date of Report 04/23/2007
V. GIFTS. (Includes those to spouse and dependent children. See p.	p. 28-31 of instructions.)	
X NONE (No reportable gifts.)		
SOURCE	DESCRIPTION	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		
VI. LIABILITIES. (Includes those of spouse and dependent ch.	ildren. See pp. 32-33 of instructions.)	
NONE (No reportable liabilities.)		
CREDITOR	<u>DESCRIPTION</u>	VALUE CODE
NationsBank, N.A. Secured promissory ne	ote	K
2.		

5.

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Name of Person Reporting Cox, Emmett R

Date of Report

04/23/2007

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)		B. me during ting period	Gross valu	C. ue at end of ug period	D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, scil, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
1.	U.S. Savings Bonds (EE)		None	l l	Т	Redemption	07/07	J	A		
2.	EuroPacific Growth (Mutual Fund)	В	Dividend	K	T	Partial Sale	06/02	J	С		
3.	Investment Company of America (Mutual Fund)	В	Dividend	J	Т						
4.	AmSouth Bank, NA (Account)	А	Interest	J	Т						
5.	BankTrust (Accounts)	Å	Interest	J	J						
6.	General Electric Co. (Common Stock)	А	Dividend			Sell	12/06	J			
7.	UBS Cashfund, Inc. (Money fund)	А	Interest			Sell	12/06	J			
8.	Southern Co. (Common Stock)	А	Dividend			Sell	12/06	J	В		
9.	The Vanguard Value Index Fund (Mutual Fund)	А	Dividend	J	Т						
10.	Harbor Capital Appreciation Fund (Mutual Fund)	В	Dividend	J	Т						
11.	Harris Assoc. Invt. Trust; Oakmark Int'l Fund (Mutual Fund)	А	Dividend	J	Т						
12.	Longleaf Partners Fund (Mutual Fund)	А	Dividend	J	Т						
13.	Managers Funds, Special Equity Fund (Mutual Fund)	В	Dividend	J	Т						
14.	Matthews Asian Growth & Income Fund (Mutual Fund)	А	Dividend	J	Т						
15.	Northeast Investors Trust (Mutual Fund)	A	Dividend	J	Т						
16.	Oak Value Trust Fund (Mutual Fund)	В	Dividend	J	Т	Partial Sale	10/30	J	Α		
17.	Oppenheimer Real Asset Fund CL A	А	Dividend	J	Т						

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

F =\$50.001 - \$100.000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

0=\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V ≃Other

111 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment W =Estimated

112 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Cox, Emmett R	04/23/2007

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	(including trust assets) reporting period re			C. ue at end of ng period	D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (c.g. buy, sell, redemption)	(2) Datc Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
	(Mutual Fund)										
18.	Pimco Total Return Fund II Instl. CL (Mutual Fund)	В	Dividend			Sell	12/26	К		· · · · · · · · · · · · · · · · · · ·	
19.	TCW Fds, Inc. Select Equities Fd CL N (Mutual Fund)	А	Dividend	К	Т	Buy	08/17	J			
20.	Third Avenue Real Estate Value Fd (Mutual Fund)	А	Dividend	J	Т						
21.	TD Waterhouse Money Market *	A	Dividend	J	Т			·			
22.	Army Aviation Center FCU (Account)	A	Interest	J	Т						
23.	The Vanguard GNMA Fund, Investor Shares (Mutual Fund)	А	Dividend			Sell	12/28	J			
24.	Franklin Templeton Funds Alabama T/F Income Fund CL A (Mutua	В	Int./Div.	L	Т	Buy	05/30	J			
25.						Buy	12/26	K			
6.	Pimco FDS Developing Local MKT FD (Mutal Fund)	В	Dividend	К	Т	Buy	05/30	J			
7.	Rainier Investment MGMT (Mutual Fund)	А	Dividend	J	Т						
8.	AMCAP Fund Inc. (Mutual Fund)	A	Dividend	J	Т						
9.	Ariel Appreciation Fund-CL A (Mutual Fund)	А	Dividend	J	Т						
0.	Artisan Funds Inc. Int'l Fund (Mutual Fund)	A	Dividend	J	Т						
1.	Franklin Templeton Funds Alabama T/F Income Fund (Mutual Fun	В	Dividend	К	Т	Buy	05/30	J			
2.	Harris Associates INVT Trust Oakmark Select Fund (Mutual Fun	A	Dividend	J	т						
3.	Harris Associates INVT Trust Oakmark Int'l	A	Dividend	J	Т						

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

111 =\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment W = Estimated

112 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting

Cox, Emmett R

Date of Report

04/23/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	Α.		B. C.			D.						
	Description of Assets (including trust assets)	1	me during ting period	ì	se at end of	Transactions during reporting period						
Application of the second of t	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Typc (c.g. buy, scll, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)		
L	Fund (Mutual Fund											
34.	JP Morgan TR II US Real Estate FD (Mutual Fund)	В	Dividend	J	Т							
35.	Julius Baer INVT FD Int'l Equity FD (Mutual Fund)	В	Dividend	J	Т							
36.	Laudus TR Rosenberg US Discovery FD (Mutual Fund)	A	Dividend	J	Т							
37.	Oppenheimer Developing Markets Fund (Mutual Fund)	A	Dividend	J	Т	Partial Sale	03/02	J	А			
38.	Pimco Commodity Real Return Strategy Fund (Mutual Fund)	A	Dividend	J	Т							
39.	Selected American Shares Inc. (Mutual Fund)	А	Dividend	K	Т	Buy	03/03	J				
40.	Sit Tax-Free Income FD (Mutual Fund)	А	Dividend	J	Т							
41.	Third Avenue Value Fund Inc. (Mutual Fund)	А	Dividend	J	Т							
42.	Turner Funds (Mutual Fund)		None	J	Т							
43.	Vanguard INFL-Protected Sees (Mutual Fund)	А	Dividend	K	Т							
44,	TD Waterhouse Money Market	А	Dividend	J	T							
45.	Longleaf Partners Fund (Mutual Fund)	А	Dividend	J	т	Buy	08/18	J				

١.	lnco	ome	Gain	Codes:		
					ı.	

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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J = \$15,000 or lessN =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value

B =\$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C = \$2,501 - \$5,000

111 =\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment W =Estimated

D=\$5,001 - \$15,000

M =\$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T =Cash Market

A = \$1,000 or less

FINANCIAL DISCLOSURE REPORT Page 7 of 8

Name of Person Reporting

Cox, Emmett R

Date of Report

04/23/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

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Name of Person Reporting

Cox, Emmett R

Date of Report

04/23/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature
NOTE: At
AND CR

Date apr. 23, 2007

Y FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544