AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Cox, Emmett R.	U.S. Court of Appeals, Eleventh Circuit	04/25/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U.S. Circuit Judge	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
113 St. Joseph Street Room 433 Mobile, AL 36602	Reviewing Officer______________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director and Secretary	Oswalt Condominium Owners Association (see Section VIII for description)
2.	Secretary-Treasurer	Mobile Bay Compass Rose Fleet of International Yachting Fellowship of Rotarians (affiliate of Rotary International)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2010	University of Alabama School of Law - Teaching	$10,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University, Law & Ecomonics Center	08/26/10 - 08/29/10	Fairfax, VA	Seminar	Lodging and meals
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	American Funds: Euro Pacific Growth A (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	12/28/10	J		
2.	RBC Centura Bank (Account)	A	Interest	J	T					
3.	BankTrust (Accounts)	A	Interest	J	J					
4.	The Vanguard Value Index Fund (Mutual Fund)	A	Dividend	J	T					
5.	Harbor Fund Capital Appreciation (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/20/10	J		
6.	Matthews Int'l Funds: Asian Grth & Income Fund (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/10/10	J		
7.						Buy (add'l)	12/10/10	J		
8.	TDAM MM Portfolio Investor Class (Account) (Y)									
9.	Army Aviation Center FCU (Account)	A	Interest	J	T					
10.	Franklin Federal Ala T/F/Incm CL A (Mutual Fund)	A	Interest	K	T	Buy (add'l)	01/22/10	J		
11.						Buy (add'l)	11/22/10	J		
12.						Buy (add'l)	12/22/10	J		
13.	Oppenheimer Developing Markets CL A (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/23/10	J		
14.	Sit New Beginning Tx Fr Inc Com (Mutual Fund)	A	Interest	J	T	Buy (add'l)	01/04/10	J		
15.						Buy (add'l)	12/01/10	J		
16.	Vanguard INFL-Protected Secs Fd (Mutual Fund)	C	Dividend	J	T	Buy (add'l)	12/29/10	J		
17.	TDAM MM Portfolio Investor Class (Account) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. American Funds Europacific Growth F2 CL (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/28/10	J		
19.					Sold (part)	02/16/10	J	A	
20.					Sold (part)	05/17/10	J	A	
21.					Sold (part)	08/16/10	J	A	
22.					Sold (part)	11/15/10	J	A	
23. Brandywine Funds Com (Mutual Fund)		None			Sold	11/19/10	J	C	
24. Clipper Fund Inc Com (Mutual Fund)	A	Dividend	K	T	Sold (part)	02/16/10	J	A	
25.					Sold (part)	05/17/10	J	A	
26.					Sold (part)	08/16/10	J	A	
27.					Sold (part)	08/16/10	J	A	
28.					Sold (part)	11/15/10	J	A	
29.					Buy (add'l)	12/02/10	J		
30. Janus Invt Fund: Perkins Mid Cp VL Inv (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/21/10	J		
31. Professionally Mdg Ptfl Osterweis Fund (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	12/16/10	J		
32. Sequoia Fund Inc Com (Mutual Fund)		None			Sold (part)	02/17/10	J	A	
33.					Sold (part)	05/17/10	J	A	
34.					Sold	05/28/10	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V = Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Third Avenue Tr Int'l Value Fund (Mutual Fund)		None			Sold	11/19/10	J	C	
36. Ishares: Russell 3000 Index Fund (Mutual fund)	A	Dividend	J	T	Buy (add'l)	12/30/10	J		
37. Ishares: GSCI Commodity Indexed Trust (Mutual Fund)		None			Sold	01/05/10	J	B	
38. Ishares: DJ US Real Estate (Mutual fund)	A	Dividend	J	T	Buy (add'l)	12/30/10	J		
39. American Funds: Euro Pacific Growth F (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	12/28/10	J		
40.					Sold (part)	01/25/10	J	A	
41.					Sold (part)	04/26/10	J	A	
42.					Sold (part)	07/26/10	J	A	
43.					Sold (part)	10/25/10	J	A	
44. American Funds: Growth Fund of America F (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	12/22/10	J		
45. Clipper Fund Inc Com (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/02/10	J		
46.					Sold (part)	01/25/10	J	A	
47.					Sold (part)	04/26/10	J	A	
48.					Sold (part)	07/26/10	J	A	
49.					Sold (part)	10/25/10	J	A	
50. Loomis Sayles Fds I Bd Fd Instl (Mutual Fund)	B	Dividend	K	T	Buy (add'l)	01/27/10	J		
51.					Buy (add'l)	12/10/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Mainstay Funds: ICAP Select Equity Fd CL I (Mutual Fund)	A	Dividend	J	T	Buy (add'l)	12/14/10	J		
53. Professionally Mgd Ptfl: Osterweis Fund (Mutual fund)	A	Dividend	J	T	Buy (add'l)	12/16/10	J		
54. Sequoia Fund Inc Com (Mutual Fund)		None			Sold	05/28/10	K	D	
55. Third Avenue Tr Intl Value Fund (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	12/22/10	J		
56. Pimco Funds, Commodity/Real Ret Strat Instl (Mutual Fund)	A	Dividend	J	T					
57. Pimco Funds Commidity/Real Ret Strat Instl (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	11/22/10	J		
58. American Funds Fundamental Investors F (Mutual Fund)	A	Dividend	K	T	Buy	06/01/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J = $15,000 or less N =$250,001 - $500,000 P3 = $25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V = Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I. Positions.

Oswalt Condominium Owners Association is a not-for-profit association that holds title to and manages the common areas for three condominium units, one of which is owned by Emmett R. Cox

Name of Person Reporting	Date of Report
Cox, Emmett R.	04/25/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Emmett R. Cox

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544