

AO-10  
Rev. 1/2004

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Hochberg, Faith S	2. Court or Organization District of New Jersey	3. Date of Report 6/30/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. ReportType (check appropriate type)  <input type="radio"/> Nomination      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. Post Office and Courthouse Federal Square Newark, N.J. 07101-0999	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. VP and Secretary	[REDACTED] Foundation, Inc.
2. Board of Trustees	New Jersey Women's Forum

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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 FINANCIAL DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. \_\_\_\_\_

**B. Spouse's Non-Investment Income** (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1. 2003 **IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. \_\_\_\_\_

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

# FINANCIAL DISCLOSURE REPORT

Page 1 of 4

Name of Person Reporting  
Hochberg, Faith S

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-E)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. AFFX		None		T	sell	12/29			
2. BBH		None		T	buy	2/15			
3. BRK A		Dividend		T					
4. CSCO		None		T					
5. EMC		None		T					
6. GE		Dividend		T					
7. INTC		None		T					
8. ISSX		None		T					
9. KO		Dividend		T					
10. LRP		None		T					
11. LU		Dividend		T					
12. MSFT		None		T					
13. OAKGX		Dividend		T	buy	6/13			
14. ORCL		None		T					
15. NASDAQ 100 (QQQ)		None		T					
16. PRANF		None		T					
17. QCOM		None		T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

**FINANCIAL DISCLOSURE REPORT**  
**Page 2 of 4**

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18. SEBL		None		T					
19. FMAGX		Distribution		T					
20. S&P 500 Fund		Dividend		T					
21. Putnam Voyager Fund		Distribution		T					
22. Cohen Broad Street Fund		None		T					
23. Israel Bonds		Dividend		T					
24. U.S. Treasury Obligations		Interest		T					
25. U.S. Treasury Obligations		Interest		T	sell	11/20			
26. U.S. Treasury Obligations		Interest		T	sell	12/1			
27. Top Driver		None		U					
28. Pine Street Partners Limited Partnership		Distribution		U					
29. Tower Associates Limited Partnership		None		U					
30. U.S. Treasury Money Market Funds		Interest		T					
31. Artisan International Fund		Distribution		T	sell	4/1			
32. Invesco Fund		Distribution		T	sell	4/1			
33. TIAA-CREF		Distribution		T	buy	9/1			
34. Port Authority NY/NJ/PA		Interest		T					
35. UBS PaineWebber Muni Bond Fund		Interest		T					

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2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
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**FINANCIAL DISCLOSURE REPORT**  
**Page 3 of 4**

Name of Person Reporting  
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36. NJ Healthcare Bonds		Interest		T	sell	12/29			
37. NJ Highway (Trpk) Bonds		Interest		T					
38. Passaic Valley Sewer Bonds		Interest		T					
39. RI Housing Auth Bonds		Interest		T					
40. Montgomery MD housing Bonds		Interest		T					
41. NH housing Bonds		Interest		T					
42. NJ Hsg Fin Auth Bond		Interest		T					
43. Puerto Rico Bldg Auth Bonds		Interest		T					
44. NJ Housing Auth Bonds		Interest		T					
45. North NJ Water Bonds		Interest		T	sell	7/1			
46. NJ Housing Bonds		Interest		T					
47. NC Housing Bonds		Interest		T	sell	11/4			
48. Hudson Cty Corr Bonds		Interest		T					
49. El Paso Hsg Bonds		Interest		T					
50. NJ Tobacco Settle Bonds		Interest		T					
51. Atlantic Co., NJ Bonds		Interest		T	buy	9/17			
52. Humboldt, NV Bonds		Interest		T	buy	6/26			
53. Vermont Housing Bonds		Interest		T	buy	10/8			

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 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
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**FINANCIAL DISCLOSURE REPORT**

Page 4 of 4

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
54. NJ Muni Bond Fund		Interest		T	buy	7/15			

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS** (Indicate part of Report.)



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

6/22/09

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544