

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b> GUIN, J. FOY, JR.	<b>2. Court or Organization</b> U.S.DISTRICT COURT, N.D. ALA.	<b>3. Date of Report</b> 05/11/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Senior U. S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> 1729 FIFTH AVENUE NORTH SUITE 918 BIRMINGHAM, ALABAMA 35203	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Alabama Law Institute
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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 FINANCIAL DISCLOSURE OFFICE

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		
5.		

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		
2.		
3.		
4.		
5.		

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	(See Section VIII for explana-	Downtown Rotary Club, B'ham	\$ 0.0
2.	tion.)		\$ 0.0
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/3 interest in lot located in Haleyville, Ala.	A	Rent	J	W					
2. Regions Bank (AmSouth Bank merged with Regions)	A	Interest	J	T					
3. Regions Bank (checking)	A	Interest	J	T					
4. B'ham Fed Employees Fed Cr Union (savings)	A	Interest	J	T					
5. ExxonMobil Corp com	E	Dividend	P1	T					
6. AT&T (SBC Comm changed name)	B	Dividend	L	T					
7. FMI Funds	A	Dividend	J	T					
8. IRA ACCT. A	A	Div & int	K	T					
9. -Pershing Govt Acct (Money market fund)									
10. -Teco Energy Inc com.									
11. -AmSouth Bancorporation com					Merged	11/06			Regions Financial Corp
12. -Regions Financial Corp com					Merger	11/06			
13. -Home Depot, Inc com									
14. -Disney Walt Co Holding Co									
15. -Dow Chem Co									
16. IRA ACCT. B	C	Div & Int	M	T					
17. -Applied Materials Inc com									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Bank of America Corp com									
19. -General Electric Corp com									
20. -Merck & Co Inc com									
21. -Pershing Govt Fund (Money market fund)									
22. -Sun Microsystems Inc com					Sell	05/09	J	A	
23. -Stanley Works com					Sell	01/27	J	A	
24. -Johnson & Johnson com					Sell	11/14	J	B	
25. -Firserv, Inc com					Sell	06/01	J	A	
26. -Lowe's Cos, Inc com									
27. -Nokia Corp Sponsored ADR					Sell	03/08	J	A	
28. -Home Depot, Inc									
29. -Grainger, WW, Inc									
30. -Alberto Culver					Sell	09/22	J	A	
31. -Lubrizol Corp									
32. -Masco Corp					Sell	02/15	J	A	
33. -Elkcorp					Sell	11/16	J	A	
34. -Dow Chemical Co					Buy	02/10	J		

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35. -Dell, Inc					Buy	04/03	J		
36. -Timken Co					Buy	04/18	J		
37. -Motorola, Inc					Buy	11/21	J		
38. -Coca Cola Co					Buy	12/04	J		
39. -Worthington Inds, Inc					Buy	12/18	J		
40. IRA ACCT. C	A	Div & Int	K	T					
41. -Pershing Govt Acct (Money market fund)									
42. -Johnson & Johnson com					Sell	11/14	J	A	
43. -General Electric Co com									
44. -Nokia Corp Sponsored ADR					Sell	03/08	J	A	
45. -Home Depot, Inc									
46. -Grainger, WW, Inc					Buy	03/09	J		
47. -Motorola, Inc					Buy	11/21	J		
48. BROKERAGE ACCOUNT #1									
49. -Barrick Gold com	A	Dividend	J	T					
50. -Abbott Laboratories com.	A	Dividend	J	T					
51. -Hewlett-Packard Inc. com.	A	Dividend	J	T					

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69. -Nokia Corp Sponsored ADR	A	Dividend	J	T					
70. -Johnson & Johnson com	A	Dividend	J	T					
71. -Allstate Corp com	A	Dividend	J	T					
72. -ChevronTexaco Corp com	A	Dividend	J	T					
73. -3M Company com	A	Dividend	K	T					
74. -Colgate-Palmolive Co com	A	Dividend	J	T					
75. -Walgreen Co com	A	Dividend	J	T					
76. -Sysco Corp com	A	Dividend	J	T					
77. -Grainger, WW, Inc	A	Dividend	K	T					
78. -Procter & Gamble Co	A	Dividend	K	T					
79. -Merck & Co, Inc	A	Dividend	J	T					
80. -United Parcel Service, Inc	A	Dividend	J	T					
81. -United Parcel Service, Inc		None			Buy	12/14	J		
82. -Harley-Davidson, Inc	A	Dividend	J	T	Buy	01/20	J		
83. -Intel Corp	A	Dividend	J	T	Buy	04/21	J		
84. -Royal Dutch Shell PLC	A	Dividend	J	T	Buy	06/14	J		
85. -Illinois Tool Works, Inc		None	J	T	Buy	11/16	J		

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86. BROKERAGE ACCOUNT #2									
87. -AmSouth Bancorp com	A	Dividend			Merged	11/06			Regions Financial Corp
88. -Regions Financial Corp		None	J	T	Merger	11/06			
89. -Protective Life Corp com	A	Dividend	J	T					
90. -Citibank NA Bank Deposit Program (Money market fund)	A	Interest	J	T					
91. -General Electric Co com	A	Dividend	J	T					
92. -Intel Corp	A	Dividend	J	T					
93. BROKERAGE ACCOUNT #3									
94. -Federal Government Reserves	A	Dividend	J	T					
95. -Disney Walt Co Holding Co	A	Dividend	J	T					
96. -Grainger, WW, Inc	A	Dividend	J	T					
97. Scudder Var. Life Invest. Fund (Charter Nat'l - money market		None	L	T					
98. [REDACTED] Test Tr for J. Foy Guin	C	Dividend	O	T					
99.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Item V. Other gifts

I hold an honorary membership in the Downtown Rotary Club in Birmingham, Alabama. I have attend six to eight meetings per year, but pay only for meals. I have no vote and take no part in the club policy or decision making. Value of membership is unknown. As explained in my letter of July 6, 1995, an appropriate dollar value for this honorary membership would be no more than \$1.00. I am really only a guest who pays \$10.00 for a \$5.00 meal. In no way can this honorary membership be said to have any value. There is no such thing as "a basic membership with like privileges".

Item VII. Investments and Trusts

Line number 98 ( [REDACTED] Test Tr for J. Foy Guin) was not listed on the 2005 report. The trust was held and managed by Regions Bank Trust Departement. I have not received any income from the trust before 2006 and it was inadvertently left off the prior reports. The trust was terminated on May 5, 2007. The trust and the assets distributed from the trust will be reported on the 2007 report.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

Date May 14, 2007

NOTE: A  
AND CR

IF ANYONE FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544