AO 10 Rev. 1/2011

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, lirst, middle initial)	2. Court or Organization	3. Date of Report
Kapala, Frederick J.	District Court, N D Illinois	05/03/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  District Judge  7. Chambers or Office Address  211 South Court Street Rockford, IL 61101	Sa. Report Type (check appropriate type)  Nomination, Date Initial Annual Final  Sb. Amended Report  8. On the basis of the information contained in this Remodifications pertaining thereto, it is, in my opinion with applicable laws and regulations.	12/31/2010
	Reviewing Officer	Date
	nstructions accompanying this form must be followed. Co th part where you have no reportable information. Sign o	
I. POSITIONS. (Reporting individual only; see pp. 9-13 of NONE (No reportable positions.)	filing instructions.)	
POSITION	NAME OF O	RGANIZATION/ENTITY
1. Trustee	Trust # !	
2. Trustee	Trust # 2	
3. Director	an Illinois not-fo	or-profit corporation
4.		
5.		
II. AGREEMENTS. (Reporting individual only; see pp.	l 4-16 of filing instructions.)	
NONE (No reportable agreements.)		
DATE	PARTIES AND TERMS	<u>S</u>
1. 12/31/2010 State of Illinois	Retirement System, pension benefits payable at retirement	at or after age 55
2.		
3.	•	

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III. NON-INVESTME	ENT INCOME. a	Reporting individual and spouse; s	ee pp. 17-24 of filing instruction	ns.)				
A. Filer's Non-Investment	Income							
NONE (No reportable	le non-investment inc	ome.)						
<u>DATE</u>		SOURCE AND		INCOME rs, not spouse's)				
1. 2010	State of Illin	ois - Appellate Court Judge ret	irement payments		\$155,307.84			
2.								
3.								
4.								
B. Spouse's Non-Investmer (Dollar amount not required except for h		married during any partion of the	reporting year, complete this s	ection,				
NONE (No reportabl		ome.)						
<u>DATE</u>	SOURCE AND TYPE							
1. 12/31/2010	Catholic Dio	Catholic Diocese of Rockford, substitute teaching						
2.								
3.								
4.								
IV. REIMBURSEMEN (Includes those to spouse and dependent of the propertable)  NONE (No reportable)	children; see pp. 25-27 of filin							
SOURCE	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	ITEMS PAII	O OR PROVIDED			
1.	<del>_</del>	<u> </u>						
2.		•						
3.								
l.								
5.				_				

Name of Person Reporting

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V. GIFTS. (Includes those to spouse and dependent children; see p	o. 28-31 of filing instructions.)					
✓ NONE (No reportable gifts.)						
SOURCE	DESCRIPTION		VALUE			
<u>I.</u>						
2.						
3.						
4.						
5.						
VI. LIABILITIES. (Includes thuse of spinuse and dependent of	hildren; see pp. 32-33 of filing instructions.)					
NONE (No reportable liabilities.)	<del></del>					
CREDITOR	DESCRIPTION	VA	LUE CODE			
1.						
2.						
3.						
4.						

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5.

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

VI	. Investigents and trus	010 -i	ncome, value, t	ransactions (	Includes that	se of spouse and d	ependent chi	dren; see	<i>թթ. 34-60</i>	of filing instructions.)
	NONE (No reportable income, as	sets, or	transaction	ıs.)						
	Λ.		В.		C.			D.		
	Description of Assets	Inco	me during	Gross va	lue at end		Transacti	ons during	reporting	period
	(including trust assets)	repor	rting period	of report	ing period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount	Type (e.g.,	Value	Value	Type (e.g.,	Date	Value	Gain	ldentity of
	exempt from prior disclosure	Code 1	div., rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	buyer/seller
		(A-H)	or int.)	(J-P)	Code 3	redemption)		(J-P)	(A-H)	(if private
					(Q-W)					transaction)
						·				
1.	Chase Bank account # 1	Α	Interest	κ	Т	ļ				
					ļ					
2.	Blackhawk Bank account # 1	Α	Interest	κ	Т					
								i		
3.	Blackhawk Bank account # 2	А	Interest	J	T					,
١٠.	Diackinawk Balik account # 2	^	meresi	'		Ì				
<u> </u>	Disabbasel Bash assessed # 2		_	) 	<u> </u>					1 1
4.	Blackhawk Bank account # 3	A	Interest	L	Т					
<u> </u>										1
5.	Harris N.A. Bank Account # 1	A	Interest	κ	Т					
<u> </u>										
6.	Alpine Bank CD	A	Interest			Closed	08/21/10	J		
7.	Scottrade Bank deposit program	A	Interest	L	Т					
8.	Universal Inforainment Systems Corporation	A	Dividend	J	т			i		<u> </u>
•		'`	Dividend		• •			İ		
9.	Fairholme Fund	D	Dividend	М	т	Buy	12/17/10	J		
ļ <sup>7</sup> .	1 attronne i und		Dividend	IVI	'	Buy	12/1//10	,		
<u> </u>		1			:			<u> </u>		<u> </u>
10.	Trust # 1, trustee and income beneficiary		None	J	Т		-	{		
		<u> </u>								
11.	- Drugstore.com stock									
<u> </u>										
12.	Trust # 2, trustee and income beneficiary		None	J	Т					
13.	- Drugstore.com stock									
								1		
14.	1RA # 1	Α	Int./Div.	J	т		İ	İ		
		.		•	·					
15	- Time Warner, Inc. stock					Cold	06/16/10	,		
13.	- Time warner, me. sock			Í		Sold	00/10/10	J		
16	Time Warren C. He lee week			<u> </u>	<u> </u>					
10.	- Time Warner Cable Inc. stock					Sold	06/16/10	J		
			l					ļ		
17.	- AOL Inc.					Sold	06/16/10	j		
							.			
	•									

1. Income Gain Codes; (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000

F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$5(x),000

P3 = \$25,000,001 - \$50,000,000 Q = Approsal R

Q =Appraisal U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000

K = \$15,001 - \$50,000O = \$500,000 + \$1,000,000

R =Cost (Real Estate Only) V =Other C =\$2,501 - \$5,000 111 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S ≠Assessment W =Estimated 112 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000

T =Cash Market

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### VII INVESTMENTS and TRUSTS - in

A.  Description of Assets  (including trust assets)		B. me during ting period	C. Gross value at end of reporting period			D. Transactions during reporting period			period
Place "{X}" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (c.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18 Yamana Gold Inc		<del></del>							
9. 1RA # 2	A	Int./Div.	J	Т	<u>'</u>				
0 Drugstore.com stock									
21 CitiGroup									
22. Real Estate # 1, Winnebago County, Illinois (2005, \$100,000)		None	L	R					
3. Real Estate # 2, Winnebago County, Illinois (2003, \$25,000)		None	К	R					
4. Real Estate # 3, Winnebago County, Illinois (\$60,349)		None	L	S					

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

Q =Appraisal

U =Book Value

W #Estimated

E =\$15,001 - \$50,000

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#### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII, Line 14, the IRA was converted from a Traditional IRA to a Roth IRA

Part VII, Line 19, the IRA was converted from a Traditional IRA to a Roth IRA

Part VII, Line 22 was purchased on July 12, 2005 for \$100,000.

Part VII, Line 23 was purchased on September 15, 2003 for \$25,000.

Part VII, Line 24, the assessed value is \$60,349.

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#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544