

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) CARTER, GENE	2. Court or Organization U.S. DISTRICT COURT (MAINE)	3. Date of Report 5/2/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 156 FEDERAL STREET PORTLAND, MAINE 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED  
MAY 9 10 31 AM '05  
FINANCIAL DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

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5/2/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. \_\_\_\_\_

**B. Spouse's Non-Investment Income** (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. \_\_\_\_\_

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. \_\_\_\_\_

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. ** NOTHING TO REPORT **		

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions)									
1. Maine Health & Higher Education FAC	A	Interest	K	T	redemption	7/01	J	B	
2. US Gov't Treasury Notes - Maturing 11/15/05 - 11/15/15	A	Interest	L	T	partial sale	5/13	L	E	
3. Royce-Micro-Cap. Realty Trust, Inc.	A	Dividend	K	T	partial sale	11/01	J	C	
4. Berkshire Hathaway Cl. B	A	Dividend	J	T	partial sale	1/09	J	B	
5. U.S. Govt Treasury Notes Maturing 11/15/22	A	Interest	K	T					
6. Davis NY Venture Fund Class A	A	Dividend	L	T	partial Sale	11/01	J	A	
7. Strategic Global Income Fund	A	Dividend	J	T	partial sale	11/05	J	A	
8. Strategic Global Income Fund	A	Dividend	J	T	sale	11/01	K	D	
9. Royce Value Trust Inc.	B	Dividend	K	T	partial sale	11/01	J	B	
10. Royce Value Trust Inc.	B	Dividend	K	T	partial sale	7/09	J	B	
11. American Balanced Fund	B	Dividend	K	T					
12. American Balanced Fund	J	Dividend	J	T	buy	1/07	J		
13. American Balanced Fund	J	Dividend	J	T	Buy	2/12	J		
14. American Balanced Fund	J	Dividend	J	T	Buy	3/15	J		
15. American Balanced Fund	J	Dividend	J	T	Buy	4/14	J		
16. American Balanced Fund	J	Dividend	J	T	Buy	4/20	J		
17. American Balanced Fund	J	Dividend	J	T	Buy	5/07	J		
18. American Balanced Fund	J	Dividend	J	T	Buy	7/14	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. American Balanced Fund	J	Dividend	J	T	Buy	8/06	J		
20. American Balanced Fund	J	Dividend	J	T	Buy	9/21	J		
21. American Balanced Fund	J	Dividend	J	T	Buy	10/21	J		
22. American Balanced Fund	J	Dividend	J	T	Buy	11/05	J		
23. American Balanced Fund	J	Dividend	J	T	Buy	12/10	J		
24. Hartford Capital Appreciation Fund	B	Dividend	K	T	Sale	1/09	J	C	
25. Newmont Mining Co.	A	Dividend	K	T					
26. Duke Realty Inc.	C	Dividend	J	T					
27. Fording CDM Coal Trust	D	Dividend	J	T	partial sale	1/09	J	A	
28. Enerplus Resources	D	Dividend	J	T	sale	5/13	J	C	
29. Kinder Morgan Energy	C	Dividend	J	T					
30. Pengrowth Energy Trust	D	Dividend	J	T	sale	5/13	J	C	
31. Duke Realty Corp.	D	Dividend	J	T					
32. Pimco Corporate Opportunity Fund	E	Dividend	K	T					
33. First Eagle Gold Fund	E	Dividend	K	T					
34. FPA Capital Fund	E	Dividend	K	T					
35. Hartford Capital Appreciation Fund	E	Dividend	K	T					
36. Oakmark Fund Class I	E	Dividend	K	T	partial sale	11/01	J	A	

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Third Avenue Value Fund	E	Dividend	K	T	partial sale	11/01	J	A	
38. Ace Limited Bermuda	B	Dividend	J	T					
39. Becton Dickson	B	Dividend	J	T					
40. Dineen Energy Corp.	B	Dividend	J	T					
41. HCA Inc.	B	Dividend	J	T					
42. Timberwest Forest CRP Stapled	B	Dividend	J	T					
43. Aberdeen Asia Pac. Income Fund	K	Dividend	K	T	buy	11/01	K		
44. Petroleum Brasileiro	J	Dividend	J	T	buy	5/13	J		
45. Newmont Mining Corp.	J	Dividend	J	T	buy	5/13			
46. Gold Corp. Inc New	J	Dividend	J	T	buy	5/13			
47. U.S. Treasury Note-Int-Prot-Due 7/15/13	K	Interest	K	T	buy	5/13			
48. U.S. Treasury Note-Int-Prot-Due 7/15/12	K	Interest	K	T	buy	5/13			
49. First Eagle Gold Fund, Class A	J	Dividend	J	T	buy	5/13			
50. Plum Creek Timber Co.	J	Dividend	J	T	buy	5/13			
51. BHP Billiton Ltd.	J	Dividend	J	J	buy	5/13			
52. TINT Bond-Due 11/15/12	A	Interest	K	K	sold	5/13			
53. TINT Bond-Due 11/15/13	A	Interest	K	K	sold	5/13			

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 7, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544