



UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS

GEORGE P. KAZEN  
U.S. DISTRICT JUDGE

P.O. BOX 1060  
LAREDO, TEXAS 78042  
(956) 726-2237  
[REDACTED]

June 6, 2007

Honorable Ortrie D. Smith, Chair  
Judicial Conference Committee on  
Financial Disclosure  
One Columbus Circle, N.E.  
Washington, D. C. 20544

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Re: Calendar Year 2006 Filing

Dear Judge Smith:

With respect to the first observation in your letter of May 29, 2007, I perhaps am confused. You refer to Part VII, Page 5, Line 23, Column A, and you observe that the (X) should be used when an asset was owned during a previous reporting period but was exempt from disclosure. I intended to use the (X) for precisely that reason. This is a bank account which previously was not listed because it did not meet the value requirement, but did meet the requirement in 2006. I concede that the (X) should more properly have been placed after rather than before the word "Account," but otherwise I thought I was following the rules.

My reply to your second observation is more complicated. My [REDACTED] and her [REDACTED] inherited what I have regularly listed as a ranch in Shackelford County, Texas. She subsequently sold most of the surface but retained the mineral interests. As I understand it, "Royalty Interest-Parcel 5" was a mineral lease signed solely by one of the [REDACTED] sometime ago. During calendar year 2006, it was determined that the other [REDACTED] were entitled to a share of the royalties. Thus, my [REDACTED] did not actually purchase this interest.

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In a sense, it was an indirect inheritance although I am uncertain as to the precise time of the [REDACTED] signing of the lease. My impression is that it occurred after the death of [REDACTED] but before the death of the [REDACTED]. I have described the asset as per the instructions but if your Committee wishes for me to disclose the name and address of the current mineral lessee, I am prepared to do so.

Sincerely yours,

[REDACTED]  
George [REDACTED]

GPK/gs

FOR CALENDAR YEAR 2006

<b>1. Person Reporting (last name, first, middle initial)</b> Kazen, George P	<b>2. Court or Organization</b> U.S.D.C., Southern Dist. Texas	<b>3. Date of Report</b> 04/30/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U. S. District Judge--Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> P. O. Box 1060 Laredo, Texas 78042	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lifetime Director	Boys & Girls' Clubs of Laredo
2.		
3.		
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Kazen, George P

Date of Report

04/30/2007

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Bar Association, Tampa Bay Chapter	Airfare, three nights hotel, some meals, Sentencing Guidelines Seminar, May 31-June 2, Miami Beach, Florida
2.	
3.	
4.	
5.	

**FINANCIAL DISCLOSURE REPORT**

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Laredo Country Club	Honorary Membership (No dues but pay for any services)	\$ 1,800.00
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Royalty Interest Webb County, Texas		None	J	W					
2. Hilltop Farms, Ltd. (1.8%) Webb County, Texas	D	Distribution	L	U					
3. Royalty Interest-Parcel 1, Shackelford County, Texas	B	Royalty	J	W					
4. Royalty Interest-Parcel 2, Shackelford County, Texas	A	Royalty	J	W					
5. Undivided 1/3 Interest, Ranch, Shackelford County, Texas		None	M	W					
6. MFS Mutual Funds-IRA		None	K	T					
7. MFS Emerging Growth A									
8. MFS Emerging Growth B									
9. MFS Sector Fund A									
10. Centennial Money Market Fund Account	A	Interest	J	T					
11. Nationwide Health Properties Stock (Stock) (IRA)	A	Dividend	J	T					
12. ING Annuity	A	Interest	M	T	Buy	5/2	L		Resource Horizons Group
13. Royalty Interest-Parcel 3 Shackelford County, Texas	B	Royalty	J	W					
14. Real Estate, Bowie County, Texas		None	O	W					
15. Royalty Interest, Dickens County, Texas	C	Royalty	J	W					
16. Coin Collection		None	J	W					
17. Duke Energy Corp. New (stock) (IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Teppco Partners, L.P. (Stock) (IRA)	A	Dividend	J	T					
19. Texas Community Bank Accounts	D	Interest	N	T					
20. USAA Federal Savings Bank Account	A	Interest	K	T					
21. Royalty Interest--Parcel 4-- Shackelford County, Texas	D	Royalty	K	W					
22. American Balanced Fund-A	B	Dividend	M	T					
23. Laredo National Bank (X) Account		None	J	T					
24. Royalty interest--Parcel 5--Shackelford County, Texas	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	P4 =More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Paragraph VII, Line 17: During 2006, Cinergy was bought by Duke Energy Corporation New



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/30/07

NOTE: AN INDIVIDUAL WHOSE NAME APPEARS ON THIS REPORT WHO DOES NOT FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations).

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544