

# FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) Lynch, Gerard E	2. Court or Organization US District Court - SDNY	3. Date of Report 5/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - active	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 40 Foley Square New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Professor of Law	Columbia University

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	1/1/03	Columbia University	\$23,000

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	1/1/03	Flushing Hospital
2.	1/1/03	Private practice of psychotherapy

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Columbia University	Jan. 3-5; Washington DC: conference of Association of American Law Schools (transportation, room, meals)
2.	Federal Bar Council	Feb. 15-23; Kapalua, HI: taught in CLE programs (transportation, room, meals)
3.	American Law Institute	June 21-22; Philadelphia, PA: conference on revision of Model Penal Code (transportation, room, meals)
4.	New York Council of Defense Lawyers	Oct. 17-18; Rye, NY: moderated panel (room, meals)
5.	Federal Bar Council	Oct. 18-19; Old Saybrook, CT: taught CLE program (room, meals)
6.	The Aspen Institute	Nov. 14-16; Wye, MD: conference on international law (transportation, room, meals)

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	if not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Citibank accounts	A	Interest	L	T					
2. Merrill Lynch Money Market	A	Dividend	K	T					
3. John Hancock US Global Growth			K	T					
4. H&R Block Money Market	A	Interest	L	T					
5. IOS Capital Inc NT	A	Interest			SELL	6/16	J	A	
6. Nuveen Qual Pfd Fd.	A	Dividend			SELL	3/10	J	A	
7. Blackrock NY Muni Inc. Tr.	A	Dividend	J	T					
8. Gabelli Utility Trust PFD	A	Dividend	J	T	BUY	7/28	J		
9. ABN Amro Cap Fd. Tr. I	A	Dividend			SELL	9/30	J		
10. Nicholas Applegate Con. Fd.	A	Dividend	J	T	BUY	3/31	J		
11. PLC Capital Trust	A	Dividend			REDEEM	10/25			
12. PIMCO NY Muni Fnc Fd.	A	Interest	J	T					
13. ML Global Allocation B	A	Dividend	J	T	BUY	7/29	J		
14. Triborough BTA Bonds	B	Interest	K	T	Partial Red	1/3	J		
15. Alliance Bernstein Gr/Inc. Fund A&B	A	Dividend	K	T	BUY	4/9	K		
16. Alliance High Yield CI B	A	Dividend	J	T					
17. Alliance Premier CI B	A	Dividend			SELL	10/9	J		
18. Nuveen Municipal Value	B	Dividend	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Oppenheimer Main St A	B	Dividend	K	T					
20.									
21. Oppenheimer Conv Sec Cl B	A	Dividend	K	T					
22. Putnam Health Serv Tr Cl B			J	T					
23. Putnam Intl Gr Fund Cl B			K	T					
24. Seligman Lg Cap Val Cl B	A	Dividend	K	T					
25. Columbia Growth Stock Cl B			J	T					formerly called Liberty
26. AIM Tax Free Int Shares	A	Dividend	J	T					
27.									
28. Rochester Muni Fd Cl A	D	Dividend	M	T					
29. Templeton Dev. Mkt. Trust A			J	T					
30. Yonkers NY Indl Dev. Agency	A	Interest	K	T					
31.									
32.									
33.									
34.									
35.									
36.									

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Nuveen Tx Free Unit TRINSD	B	Interest	K	T					
38. SunAmerica Strat. Inv. Fd. A	A	Dividend	J	T					
39. TIAA-CREF			N	T					
40.									
41. Pioneer Fd. Cl B	A	Dividend	J	T					
42. Citibank IRA			J	T					
43.									
44. Alliance Tech Fd Cl B	A	Dividend	K	T					
45. Federated Intl Small Co Fd			J	T					
46.									
47. John Hancock Small Cap Fd			J	T					
48.									
49.									
50.									
51. NYS Dorm Auth Bonds	A	Interest	J	T					

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/10/04

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS****Mail signed original and 3 additional copies to:**

**Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544**