AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lynch, Gerard E.	U.S. Court of Appeals, Second Circuit	05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
500 Pearl Street New York, NY 10007	Reviewing Officer________ Date______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Professor of Law	Columbia University
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Lynch, Gerard E.	05/11/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	1/1/10	Columbia University	$25,470.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	1/1/10	Flushing Hospital
2.	1/1/10	Private Practice of Psychotherapy
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Yale University	March 26	New Haven, CT	Teaching Class	Transportation
2.	American Constitution Society	October 4 - 5	Washington, DC	Conference	Transportation, Lodging, Meals
3.					
4.					
5.					

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Lynch, Gerard E.	05/11/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lynch, Gerard E.	05/11/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	M	T					
2. Merrill Lynch Money Market	B	Dividend	L	T					
3. Ameriprise Money Market	B	Interest	N	T	Buy (add'l)	08/31/10	M		
4. Blackrock NY Muni Inc. Tr.	A	Dividend	J	T					
5. Gabelli Utility Trust PFD	A	Dividend	J	T					
6. ING Savings Account	A	Interest	J	T					
7. AGIC Con. Fd.	A	Dividend	J	T					Formerly Nich. Applegate
8. ING Clarion Global Equity Fund	A	Dividend	J	T					
9. PIMCO NY Muni Fnc. Fd.	A	Dividend	J	T					
10. Blackrock Global Allocation B	A	Dividend	J	T					
11. Triborough B TA Bonds		None	L	T					
12. Alliance Bernstein Gr./Inc. Fund A&B	A	Dividend	J	T					
13. Eaton Vance Enhanced Equity Fd.	A	Dividend	J	T					
14. Eaton Vance Tax Managed Global Fund	A	Dividend	J	T					
15. Nuveen Municipal Value	A	Dividend	K	T					
16. Oppenheimer Main St. A	A	Dividend	K	T					
17. PIMCO Floating Rate Strategy FD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Lynch, Gerard E.	05/11/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Putnam Int'l. Gr. Fund	A	Dividend	J	T					
19. Alpine Total Dynamic Div. Fd.	A	Dividend	J	T					
20. Invesco Tax Free Int. Shares	A	Dividend	J	T					Formerly AIM
21. Eaton Vance Floating Rate Inc. Tr.	A	Dividend	J	T					
22. Rochester Muni Fd. Cl A	B	Dividend	K	T					
23. Templeton Dev. Mkt. Trust A	A	Dividend	J	T					
24. Rydex Ser Tr. Juno Fd.		None	J	T					
25. NYS Trans. Fin.	B	Interest			Redeemed	05/03/10	K		
26. Franklin Utilities	A	Dividend	J	T					
27. SunAmerica New Century Fd. A		None	J	T					
28. TIAA-CREF		None	O	T					
29. Franklin Income Fd. C	A	Dividend	J	T					
30. ING Risk Managed Nat. Res. Fd.	A	Dividend	J	T					
31. Nuveen Global Fd.	B	Dividend	J	T					
32. NYC Bonds	A	Interest	J	T					
33. Port Authority Bonds	A	Interest	J	T					
34. NYS Dorm Auth. Bonds	B	Interest	K	T	Redeemed (part)	11/01/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Hartford Corp. Apprec. Fd.	A	Dividend	J	T					
36. Fidelity Adv. Div. Int'l.	A	Dividend	J	T					
37. Metro Trans. Auth. NY	B	Interest	L	T					
38. Lord Abbett NY TIF	B	Interest	K	T					
39. PIMCO Long-term U.S. GOVT.	A	Interest	J	T					
40. Putnam Amer-govt. Income FD	A	Interest	J	T					
41. Seligman NY Muni FD	A	Interest	K	T					
42. Fidelity Adv. Govt. Income FD	A	Interest	J	T					
43. Franklin U.S. Govt. Sel. FD	B	Dividend	K	T					
44. Lord Abbett Short Duration Income FD	A	Dividend	J	T					
45. Amer. Exp. Cent Bk	A	Interest			Redeemed	08/31/10	K		
46. Hartford Inflation Plus FD	A	Dividend	J	T					Formerly Hartford US Govt.
47. Rental Property, New York, NY (2002, $272,500)	D	Rent	N	R					
48. Advisers Disciplined Trust Unit 226	A	Dividend			Sold	02/04/10	J		
49. SPDR Series Trust	A	Dividend	J	T					
50. Nassau County Bonds	A	Interest	K	T					
51. Franklin NY Tax Free	A	Interest	K	T	Buy	04/15/10	K		

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52. Fidelity Adv. Strategic	A	Dividend	J	T	Buy	12/08/10	J		
53. Vanguard Consumer Staples	A	Dividend	J	T	Buy	12/08/10	J		
54. Vanguard Info Tech	A	Dividend	J	T	Buy	12/08/10	J		
55. Vanguard Emerg. Mkts.	A	Dividend	J	T	Buy	12/08/10	J		
56. Vanguard High Dvd. Yield	A	Dividend	J	T	Buy	12/08/10	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerard E. Lynch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544