

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wilkinson, III. J H	2. Court or Organization 4th US Circuit Court of Appeals	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 255 W Main Street Rm 230 Charlottesville, Va. 22902	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1	
2	
3	
4	
5	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1 To date	I will receive no salary from my former employer, the University of Va Law School There will be no continuing participation (cntd Part VIII)
2	
3	

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Name of Person Reporting Wilkinson, III, J H.	Date of Report 05/10/2010
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2		
3		
4		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1	
2	
3.	
4	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children, see pp 25-27 of filing instructions)

NONE (No reportable reimbursements)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	American Constitution Society	June 19, 2009	Washington, DC	Panel	Lodging
2.	University of South Carolina Law School	October 14, 2009	Columbia, SC	Panel	Lodging
3	The Federalist Society	November 12, 2009	Washington, DC	Panel	Lunch/Dinner
4					
5					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1	TRUST #1								
2	-Albermarle Corp Common Stock	A	Dividend	K	T				
3	-Altria Group, Inc Common Stock	A	Dividend	J	T				
4	-Philip Morris Intl Common Stock	A	Dividend	K	T				
5	-AT&T Common Stock	D	Dividend	L	T				
6	-Deere & Company Common Stock	C	Dividend	M	T				
7	-Dupont E I De Nemours & Co. Common Stock	C	Dividend	L	T				
8	-Duke Energy Corp Common Stock	C	Dividend	L	T				
9	-General Electric Co Common Stock	B	Dividend	L	T				
10	-Hewlett Packard Common Stock	B	Dividend	N	T	Sold (part)	11/13/09	K	
11	-Johnson & Johnson Common Stock	B	Dividend	K	T				
12	-Kraft Common Stock	A	Dividend	J	T				
13	-Lilly Eli & Co Common Stock	B	Dividend	K	T				
14	-Media General Inc. Common Stock	A	Dividend	J	T				
15	-Proctor & Gamble Co Common Stock	D	Dividend	N	T	Sold (part)	11/13/09	J	
16	-Providence & Worcester RR Common Stock	A	Dividend	K	T				
17	-Royal Dutch Petro Shell Co Common Stock	D	Dividend	M	T				

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	F = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18	-Schering Plough Corp. Common Stock	A	Dividend	L	T	Merged (with line 19)	11/05/09	L	B	
19.	-Merck & Co. Common Stock	C	Dividend	L	T					
20	-Spectra Energy Corp. Common Stock	B	Dividend	K	T					
21.	-SunTrust Bank Inc. Common Stock	A	Dividend	K	T					
22	-Wyeth & Co. Common Stock	B	Dividend	L	T	Merged (with line 23)	11/16/09	L	F	
23.	-Pfizer Inc. Common Stock	B	Dividend	K	T					
24.	-Ridgeworth Fd-Largecap Core Eqty.	A	Dividend	J	T	Sold (part)	11/10/09	M		
25	-Ridgeworth Fd-Sm Cap Growth	A	Dividend	K	T	Sold (part)	11/10/09	K		
26	-Ridgeworth Fd-Sm Cap Val Eqty	A	Dividend	J	T	Sold (part)	11/10/09	K		
27	Ridgeworth Fd Mid-Cap Core Equity	A	Dividend	M	T	Buy	11/10/09	L		
28	-Ridgeworth Fd-Intl Equity	D	Dividend	N	T	Sold (part)	11/10/09	K	A	
29.	-Ridgeworth Fd Intl Equity Index	D	Dividend	N	T	Buy	11/10/09	N		
30.	-Ridgeworth Fd-Largecap Grth Stk	A	Dividend	J	T	Sold (part)	11/10/09	J		
31.	-Ridgeworth Fd-Aggressive Growth	A	Dividend	K	T	Sold (part)	11/10/09	L	B	
32.	-Ridgeworth Fd-Va Inter Mun Bd	E	Interest	O	T	Buy (add'l)	11/10/09	K		
33.	-Ridgeworth Fd-Va Tax Free Mmkt	A	Interest	M	T					
34.										

1 Income Gain Codes (See Columns B1 and D4)
 2 Value Codes (See Columns C1 and D3)
 3 Value Method Codes (See Column C 2)

A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
P3 = \$25,000,001 - \$50,000,000	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market
U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. IRA # 1									
36. -Ridgeworth Fd-Sm Cap Growth	A	Dividend			Sold	10/26/09	J		
37. -Ridgeworth Fd-MidCap Core Eq	A	Dividend			Sold	07/13/09	J		
38. -Ridgeworth Fd-MidCap Val Eq	A	Dividend	J	T					
39. -Ridgeworth Fd-LargeCap Core Eq	A	Dividend			Sold	07/13/09	K		
40. -Ridgeworth Fd-LargeCap Grth Stk	A	Dividend	K	T	Buy (add'l)	07/13/09	K		
41. -Ridgeworth Fd-Large Cap Value Eqty	A	Dividend	K	T	Buy (add'l)	07/13/09	K		
42. -Ridgeworth Fd-Aggressive Growth	A	Dividend	J	T	Sold (part)	07/13/09	J		
43. -Ridgeworth Fd-Emerging Growth	A	Dividend	J	T	Sold (part)	07/13/09	J		
44. -Ridgeworth Fd-Intl Equity	A	Dividend			Sold	07/13/09	J		
45. -Ridgeworth Fd-Intl 130/30	A	Dividend	J	T					
46. -Ridgeworth Fd-Intl Equity Index	A	Dividend	K	T	Buy	07/13/09	J		
47. -Ridgeworth Fd-Prime Qlty Mmkt	A	Interest	J	T					
48.									
49. TRUST #2									
50. -Albemarle Corp Common Stock	A	Dividend	J	T					
51. -AT & T Common Stock	B	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D4):
 A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P1 = \$25,000,001 - \$50,000,000

2. Value Codes (See Columns C1 and D3):
 B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000

3. Value Method Codes (See Column C2):
 Q - Appraisal
 U - Book Value

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$20,000,000

R - Cost (Real Estate Only)
 V - Other

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000

S - Assessment
 W - Estimated

T = Cash Market

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NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		52	-Dupont E I Nemours & Co. Common Stock	A	Dividend	J	T			
53	-Duke Energy Corp Common Stock	A	Dividend	J	T					
54	-Hewlett Packard Common Stock	A	Dividend	L	T					
55	-Johnson & Johnson Common Stock	B	Dividend	L	T					
56	-Lincoln Natl Corp Common Stock	A	Dividend	L	T	Sold (part)	11/13/09	J	D	
57	-Royal Dutch Petro Shell Common Stock	B	Dividend	K	T					
58	-Spectra Energy Corp Common Stock	A	Dividend	J	T					
59	-Schering Plough Corp Common Stock	A	Dividend	K	T	Merged (with line 60)	11/05/09	K	B	
60	-Merck & Co Common Stock	B	Dividend	K	T					
61	-Wyeth Common Stock	A	Dividend	K	T	Merged (with line 62)	10/16/09	J	D	
62	-Pfizere Inc Common Stock	A	Dividend	J	T					
63	-IShares Tr S&P Sm Cap 600 Value Indcs Fd	A	Dividend			Sold	11/13/09	K		
64	-Ridgeworth Fd-LargeCap Core Equity	A	Dividend			Sold	11/10/09	L		
65	-Ridgeworth Fd-SmalICap Growth Stk	A	Dividend			Sold	11/10/09	J		
66	-Ridgeworth Fd-Intl Equity	A	Dividend			Sold	11/10/09	K		
67	-Ridgeworth Fd-Aggressive Growth Stk	A	Dividend	K	T					
68.	-Ridgeworth Fd-Va Inter Mun Bd	A	Interest	K	T	Buy (add'l)	11/10/09	M		

1 Income Gain Codes (See Columns B1 and D4)
 2 Value Codes (See Columns C1 and D3)
 3 Value Method Codes (See Column C2)

A - \$1,000 or less
 F - \$50,001 - \$100,000
 I - \$15,000 or less
 N - \$250,001 - \$500,000
 P3 - \$25,000,001 - \$50,000,000
 Q - Appraisal
 U - Book Value

B - \$1,001 - \$2,500
 G - \$100,001 - \$1,000,000
 K - \$15,001 - \$50,000
 O - \$500,001 - \$1,000,000
 R - Cost (Real Estate Only)
 V - Other

C - \$2,501 - \$5,000
 H1 - \$1,000,001 - \$5,000,000
 L - \$50,001 - \$100,000
 P1 - \$1,000,001 - \$5,000,000
 P4 - More than \$50,000,000
 S - Assessment
 W - Estimated

D - \$5,001 - \$15,000
 H2 - More than \$5,000,000
 M - \$100,001 - \$250,000
 P2 - \$5,000,001 - \$25,000,000
 T - Cash Market

E - \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Ridgeworth Fd-Va Tax Free MM	A	Interest	K	T					
70.									
71. DEPOSIT ACCOUNTS									
72. SunTrust Interest Checking #1	A	Interest	K	T					
73 SunTrust Checking #2		None	J	T					
74 SunTrust Checking #3		None	J	T					
75									
76 TRUST #3									
77. -Ridgeworth Fd-LargeCap core Equity	B	Dividend	M	T					
78 -Ridgeworth Fd-Smallcap Growth Stk	A	Dividend	J	T					
79 -Ridgeworth Fd-Intl Equity	B	Dividend	L	T					
80 -Ridgeworth Fd-Intl Fd 130/30	A	Dividend	J	T					
81. -Ridgeworth Fd-Midcap Core Equity	A	Dividend	J	T					
82 -Ridgeworth Fd-Largecap Growth Stk	A	Dividend	K	T					
83 -Ridgeworth Fd-Aggressive Growth Stk	A	Dividend	K	T					
84. -Ridgeworth Fd-Lmerging Growth Stk	A	Dividend	K	T					
85 -Ridgeworth Fd-LargeCap Val Equity	A	Dividend	J	T					

1 Income Gain Codes A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 2 Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 3 Value Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Ridgeworth Fd-Midcap Val Equity	A	Dividend	J	Γ					
87. -Ridgeworth Fd-Va Inter Mun Bd	D	Interest	M	T					
88. -Ridgeworth Fd-Va Tax-Free MMkt	A	Interest	K	T					

1 Income Gain Codes (See Columns B 1 and D 4)	A = \$1,000 or less I = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C 1 and D 3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	I = Cash Market	
3 Value Method Codes (See Column C 2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part II: Agreements.

Re: I will rec, contd. ...in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Trust #1

My Revocable Trust-

IRA

My current IRA-
Account is invested entirely in SunTrust Bank mutual funds, named Ridgeworth Funds-

Trust #2

Revocable Trust-

Trust #3

Irrev. GST Trust for me (from [redacted] trust)-

This Trust was created from [redacted] Trust ([redacted] Rev. Trust became Irrev Interim Trust-after [redacted] death) which was split into two shares, after IRS approval was received, for [redacted] and me. My share later became this trust. When it was initially funded, it only held cash, but it has now been fully invested. The overall asset allocation now includes various asset classes represented by a diverse group of SunTrust Bank mutual funds (Ridgeworth Funds) items 77 through 88

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544